



Citation: 2021 BCSECCOM 163

## Order

### Shijun “Clark” Zhu

#### *Securities Act, RSBC 1996, c. 418 (the Act)*

#### **Background**

- ¶ 1 The Executive Director of the British Columbia Securities Commission has entered into a settlement agreement with Shijun “Clark” Zhu (Zhu), a copy of which is attached as Schedule A.

#### **Order**

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders (the Order) that:

1. For the later of ten (10) years or until he has paid the amount at paragraph 2.2 and fulfilled his undertaking at paragraph 10 of the settlement agreement attached as Schedule A, Zhu is prohibited:
  - (a) under section 161(1)(b)(ii), from trading in any securities or derivatives, except that Zhu may trade in accounts in his own name with a person registered to trade in securities under the Act, if Zhu has first provided the registered representative with a copy of the Order before any trade takes place;
  - (b) under section 161(1)(d)(iii), from becoming or acting as a registrant or promoter;
  - (c) under section 161(1)(d)(iv), from advising or otherwise acting in a management or consultative capacity in connection with activities in the securities or derivatives markets;
  - (d) under section 161(1)(d)(v), from engaging in promotional activities by or on behalf of an issuer, security holder or party to a derivative, or another person that is reasonably expected to benefit from the promotional activity; and
  - (e) under section 161(1)(d)(vi), from engaging in promotional activities on Zhu’s own behalf in respect of circumstances that would reasonably be expected to benefit him.



2. Zhu pay to the Commission \$38,639.69 pursuant to section 161(1)(g) of the Act.

*Peter J Brady*

*May 5 2021 12:30 PM -07:00*

¶ 3 Peter J. Brady  
Executive Director