

Existing MFD			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 1201. Definitions	1201	(2) "National Hearing Officer"	No equivalent section (NES)	NES		R. 1201. Definitions	1201	(2) "Hearing Office"	Adopt change of name to "Hearing Office".
R. 1A. Definitions	1A	"public member"	R. 1201. Definitions	1201	(2) "public member"	No equivalent section (NES)	NES		R. 1201. Definitions	1201	(2) "public member"	Adopt modification to existing IDPCR Rule defined term to specify that a public member be from a relevant District.
No equivalent section (NES)	NES		R. 1201. Definitions	1201	(2) "shared office premises"	No equivalent section (NES)	NES		R. 1201. Definitions	1201	(2) "shared office premises"	Adopt modification to provide further details regarding types of entities with which Dealer Members share premises.
No equivalent section (NES)	NES		R. 2101. Introduction	2101	(1)	No equivalent section (NES)	NES		R. 2101. Introduction	2101	(1)	Adopt existing IDPCR Rule provision with a clear drafting modification.
No equivalent section (NES)	NES		R. 2101. Introduction	2101	(2)	No equivalent section (NES)	NES		R. 2101. Introduction	2101	(2)-(3)(ii)	Adopt existing IDPCR Rule provision with a clear drafting modification.
No equivalent section (NES)	NES		R. 2102. Definitions	2102	(1) "industry investor"	No equivalent section (NES)	NES		R. 2102. Definitions	2102	(1) "industry investor"	Adopt existing IDPCR Rule defined term.
No equivalent section (NES)	NES		R. 2102. Definitions	2102	(1) "qualified independent underwriter"	No equivalent section (NES)	NES		R. 2102. Definitions	2102	(1) "qualified independent underwriter"	Adopt existing IDPCR Rule defined term.
R. 8.4 Ownership	8.4	(c)-(d)	R. 2102. Definitions	2102	(1) "significant equity interest"	S. 11.9. Registrant acquiring a registered firm's securities or assets; S. 11.10. Registered firm whose securities are acquired	11.9; 11.10		R. 2102. Definitions	2102	(1) "significant equity interest"	Adopt existing IDPCR Rule defined term with a clear drafting modification.
No equivalent section (NES)	NES		R. 2103. Dealer Members must have Corporation approval to issue subordinated debt	2103	(1)	S. 12.2. Subordination agreement	12.2	(1)-(2)	R. 2103. Dealer Members must have Corporation approval to issue subordinated debt	2103	(1)	Adopt existing IDPCR Rule provision.
No equivalent section (NES)	NES		R. 2103. Dealer Members must have Corporation approval to issue subordinated debt	2103	(2)	S. 12.2. Subordination agreement	12.2	(1)-(2)	R. 2103. Dealer Members must have Corporation approval to issue subordinated debt	2103	(2)	Adopt existing IDPCR Rule provision.
No equivalent section (NES)	NES		R. 2104. Repayments and additional subordinated debt	2104	(1)	S. 12.2. Subordination agreement	12.2	(3)	R. 2104. Repayments and additional subordinated debt	2104	(1)	Adopt existing IDPCR Rule provision.
No equivalent section (NES)	NES		R. 2105. Agreements with the Corporation	2105	(1)	No equivalent section (NES)	NES		R. 2105. Agreements with the Corporation	2105	(1)	Adopt existing IDPCR Rule provision.
No equivalent section (NES)	NES		R. 2106. Corporation notification of changes of ownership	2106	(1)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing IDPCR Rule provision as it is not required under the MFD Rules or corresponding securities laws.
No equivalent section (NES)	NES		R. 2106. Corporation notification of changes of ownership	2106	(2)(i)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing IDPCR Rule provision as it is not required under the MFD Rules or corresponding securities laws.
No equivalent section (NES)	NES		R. 2106. Corporation notification of changes of ownership	2106	(2)(ii)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing IDPCR Rule provision as it is not required under the MFD Rules or corresponding securities laws.
No equivalent section (NES)	NES		R. 2107. Ownership of another Dealer Member	2107	(1)(i)	No equivalent section (NES)	NES		R. 2106. Ownership of another Dealer Member	2106	(1)(i)	Adopt existing IDPCR Rule provision.
No equivalent section (NES)	NES		R. 2107. Ownership of another Dealer Member	2107	(1)(ii)	No equivalent section (NES)	NES		R. 2106. Ownership of another Dealer Member	2106	(1)(ii)	Adopt existing IDPCR Rule provision.

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R. 8.4 Ownership	8.4		R. 2108. Ownership of a significant equity interest and ownership of assets	2108	(7)	S. 11.10. Registered firm whose securities are acquired	11.1		R. 2107. Ownership of a significant equity interest and ownership of assets	2107	(7)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2109. A Dealer Member's ownership of another Dealer Member	2109	(1)	S. 11.9. Registrant acquiring a registered firm's securities or assets	11.9		R. 2108. A Dealer Member's ownership of another Dealer Member	2108	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2110. Public ownership	2110	(1)	S. 11.10. Registered firm whose securities are acquired	11.1		R. 2109. Public ownership	2109	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2110. Public ownership	2110	(2)(i)	S. 11.10. Registered firm whose securities are acquired	11.1		R. 2109. Public ownership	2109	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2110. Public ownership	2110	(2)(ii)	S. 11.10. Registered firm whose securities are acquired	11.1		R. 2109. Public ownership	2109	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2110. Public ownership	2110	(2)(iii)	S. 11.10. Registered firm whose securities are acquired	11.1		R. 2109. Public ownership	2109	(2)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2110. Public ownership	2110	(3)(i)	S. 11.10. Registered firm whose securities are acquired	11.1		R. 2109. Public ownership	2109	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2110. Public ownership	2110	(3)(ii)	S. 11.10. Registered firm whose securities are acquired	11.1		R. 2109. Public ownership	2109	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2110. Public ownership	2110	(4)	S. 11.10. Registered firm whose securities are acquired	11.1		R. 2109. Public ownership	2109	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2111. Public distribution of a Dealer Member's securities	2111	(1)(i)	No equivalent section (NES)	NES		R. 2110. Public distribution of a Dealer Member's securities	2110	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2111. Public distribution of a Dealer Member's securities	2111	(1)(ii)	No equivalent section (NES)	NES		R. 2110. Public distribution of a Dealer Member's securities	2110	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2111. Public distribution of a Dealer Member's securities	2111	(2)	No equivalent section (NES)	NES		R. 2110. Public distribution of a Dealer Member's securities	2110	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2111. Public distribution of a Dealer Member's securities	2111	(3)	No equivalent section (NES)	NES		R. 2110. Public distribution of a Dealer Member's securities	2110	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2112. Take-over bids or amalgamations	2112	(1)	No equivalent section (NES)	NES		R. 2111. Take-over bids or amalgamations	2111	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2112. Take-over bids or amalgamations	2112	(2)	No equivalent section (NES)	NES		R. 2111. Take-over bids or amalgamations	2111	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2112. Take-over bids or amalgamations	2112	(3)(i)	No equivalent section (NES)	NES		R. 2111. Take-over bids or amalgamations	2111	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2112. Take-over bids or amalgamations	2112	(3)(ii)	No equivalent section (NES)	NES		R. 2111. Take-over bids or amalgamations	2111	(3)(ii)	Adopt existing IDPC Rule provision.

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Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 2113. Secondary distribution of securities	2113	(1)	No equivalent section (NES)	NES		R. 2112. Secondary distribution of securities	2112	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2114. Soliciting trades in a Dealer Member's securities	2114	(1)(i)	No equivalent section (NES)	NES		R. 2113. Soliciting trades in a Dealer Member's securities	2113	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2114. Soliciting trades in a Dealer Member's securities	2114	(1)(ii)	No equivalent section (NES)	NES		R. 2113. Soliciting trades in a Dealer Member's securities	2113	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2114. Soliciting trades in a Dealer Member's securities	2114	(2)	No equivalent section (NES)	NES		R. 2113. Soliciting trades in a Dealer Member's securities	2113	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2114. Soliciting trades in a Dealer Member's securities	2114	(3)	No equivalent section (NES)	NES		R. 2113. Soliciting trades in a Dealer Member's securities	2113	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2115. Dealer Member's securities in client accounts	2115	(1)	No equivalent section (NES)	NES		R. 2114. Dealer Member's securities in client accounts	2114	(1)	Adopt existing IDPC Rule provision with modified reference to defined term "Investment Dealer Member".
No equivalent section (NES)	NES		R. 2115. Dealer Member's securities in client accounts	2115	(2)	No equivalent section (NES)	NES		R. 2114. Dealer Member's securities in client accounts	2114	(2)	Adopt existing IDPC Rule provision with modified reference to defined term "Investment Dealer Member".
No equivalent section (NES)	NES		R. 2116. Research reports	2116	(1)	No equivalent section (NES)	NES		R. 2115. Research reports	2115	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2117. Corporation approvals	2117	(1)	S. 11.9. Registrant acquiring a registered firm's securities or assets; S. 11.10. Registered firm whose securities are acquired	11.9; 11.10		R. 2116. Corporation approvals	2116	(1)	Adopt modification to include reference to Rule 2200 under which a Dealer Member must obtain approval.
No equivalent section (NES)	NES		R. 2117. Corporation approvals	2117	(2)	S. 11.9. Registrant acquiring a registered firm's securities or assets; S. 11.10. Registered firm whose securities are acquired	11.9; 11.10		R. 2116. Corporation approvals	2116	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2117. Corporation approvals	2117	(3)	S. 11.9. Registrant acquiring a registered firm's securities or assets; S. 11.10. Registered firm whose securities are acquired	11.9; 11.10		R. 2116. Corporation approvals	2116	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2117. Corporation approvals	2117	(4)	S. 11.9. Registrant acquiring a registered firm's securities or assets; S. 11.10. Registered firm whose securities are acquired	11.9; 11.10		R. 2116. Corporation approvals	2116	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2118. - 2199. Reserved.	2118 - 2199		No equivalent section (NES)	NES		R. 2117. - 2199. Reserved.	2117 - 2199		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 2201. Introduction	2201	(1)	Adopt new introductory provision specifying that Rule 2200 sets out requirements for a Dealer Member when organizing and managing its business and activities.
No equivalent section (NES)	NES		R. 2201. Introduction	2201	(1)	No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2)-(3)	Adopt existing IDPC Rule provision but separated into two paragraphs for clear drafting.
No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2) Part A	No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2) Part A	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2) Part A.1	No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2) Part A.1	Adopt existing IDPC Rule provision.

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No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2) Part A.2	No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2) Part A.2	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2) Part A.3	No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2) Part A.3	Adopt existing IDPC Rule provision with modified reference to non-derivatives business.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2) Part A.4	Adopt new provision with reference to shared office premises.
No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2) Part B	No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2) Part B	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2) Part C	No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2) Part C	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2) Part D	No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2) Part D	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2) Part E	No equivalent section (NES)	NES		R. 2201. Introduction	2201	(2) Part E	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2202. Business locations	2202	(1)	No equivalent section (NES)	NES		R. 2202. Business locations	2202	(1)	Adopt existing IDPC Rule provision with clear drafting modification.
No equivalent section (NES)	NES		R. 2203. - 2204. Reserved.	2203 - 2204		No equivalent section (NES)	NES		R. 2203. - 2204. Reserved.	2203 - 2204		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2205. Holding companies	2205	(1)	No equivalent section (NES)	NES		R. 2205. Holding companies	2205	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2205. Holding companies	2205	(2)(i)	No equivalent section (NES)	NES		R. 2205. Holding companies	2205	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2205. Holding companies	2205	(2)(ii)	No equivalent section (NES)	NES		R. 2205. Holding companies	2205	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2206. Related companies	2206	(1)	S. 11.9. Registrant acquiring a registered firm's securities or assets	11.9		R. 2206. Related companies	2206	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2206. Related companies	2206	(2)	S. 11.9. Registrant acquiring a registered firm's securities or assets	11.9		R. 2206. Related companies	2206	(2)	Adopt existing IDPC Rule provision with added reference to derivatives brokers, dealers, and advisers.
R. 3.2.4 Related Member Guarantees	3.2.4		R. 2206. Related companies	2206	(3)(i)	No equivalent section (NES)	NES		R. 2206. Related companies	2206	(3)(i)	Adopt existing IDPC Rule provision.
R. 3.2.4 Related Member Guarantees	3.2.4		R. 2206. Related companies	2206	(3)(ii)	No equivalent section (NES)	NES		R. 2206. Related companies	2206	(3)(ii)	Adopt existing IDPC Rule provision.
R. 3.2.4 Related Member Guarantees	3.2.4		R. 2206. Related companies	2206	(3)(iii)	No equivalent section (NES)	NES		R. 2206. Related companies	2206	(3)(iii)	Adopt existing IDPC Rule provision.

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R. 3.2.4 Related Member Guarantees	3.2.4		R. 2206. Related companies	2206	(4)	No equivalent section (NES)	NES		R. 2206. Related companies	2206	(4)	Adopt existing IDPC Rule provision.
R. 3.2.4 Related Member Guarantees	3.2.4		R. 2206. Related companies	2206	(5)	No equivalent section (NES)	NES		R. 2206. Related companies	2206	(5)	Adopt modification to give CIRO staff the ability to provide relief.
No equivalent section (NES)	NES		R. 2207. Approval as an order execution only account services provider	2207	(1)	No equivalent section (NES)	NES		R. 2207. Approval as an order execution only account services provider	2207	(1)	Adopt existing IDPC Rule provision with modified reference to the defined term "Investment Dealer Member".
No equivalent section (NES)	NES		R. 2207. Approval as an order execution only account services provider	2207	(2)	No equivalent section (NES)	NES		R. 2207. Approval as an order execution only account services provider	2207	(2)	Adopt existing IDPC Rule provision with modified reference to the defined term "Investment Dealer Member".
No equivalent section (NES)	NES		R. 2207. Approval as an order execution only account services provider	2207	(3)	No equivalent section (NES)	NES		R. 2207. Approval as an order execution only account services provider	2207	(3)	Adopt existing IDPC Rule provision with modified reference to the defined term "Investment Dealer Member".
No equivalent section (NES)	NES		R. 2207. Approval as an order execution only account services provider	2207	(4)	No equivalent section (NES)	NES		R. 2207. Approval as an order execution only account services provider	2207	(4)	Adopt existing IDPC Rule provision with modified reference to the defined term "Investment Dealer Member".
No equivalent section (NES)	NES		R. 2207. Approval as an order execution only account services provider	2207	(5)	No equivalent section (NES)	NES		R. 2207. Approval as an order execution only account services provider	2207	(5)	Adopt existing IDPC Rule provision with modified reference to the defined term "Investment Dealer Member".
No equivalent section (NES)	NES		R. 2208. - 2214. Reserved.	2208 - 2214		No equivalent section (NES)	NES		R. 2208. - 2214. Reserved.	2208 - 2214		Adopt existing IDPC Rule provision.
R. 600 Information Reporting Requirements	600	(15.1)	R. 2215. Business other than securities	2215	(1)	No equivalent section (NES)	NES		R. 2215. Business other than securities or derivatives	2215	(1)	Adopt existing IDPC Rule provision with added reference to non-derivatives related business.
R. 600 Information Reporting Requirements	600	(15.1)	R. 2215. Business other than securities	2215	(2)(i)	No equivalent section (NES)	NES		R. 2215. Business other than securities or derivatives	2215	(2)(i)	Adopt existing IDPC Rule provision with added reference to non-derivatives related business.
R. 600 Information Reporting Requirements	600	(15.1)	R. 2215. Business other than securities	2215	(2)(ii)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing IDPC Rule provision so that notification is no longer required for where the Dealer Member owns an interest in a corporation and is not responsible for that corporation's liabilities.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(1)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing IDPC Rule provision in order to adopt a modified version that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(2)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing IDPC Rule provision in order to adopt a modified version that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(3)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing IDPC Rule provision in order to adopt a modified version that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(4)(i)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing IDPC Rule provision in order to adopt a modified version that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(4)(ii)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing IDPC Rule provision in order to adopt a modified version that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(4)(iii)	No equivalent section (NES)	NES		R. 2216. General requirements	2216	(1)(i)	Adopt a modified version of the existing IDPC provision that can be applicable to all Dealer Members.

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No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 2216. General requirements	2216	(1)(ii)	Adopt new provision regarding privacy and confidentiality of records in shared office premises.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(5)(i)	No equivalent section (NES)	NES		R. 2216. General requirements	2216	(1)(iii)	Adopt a modified version of the existing IDPC provision that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(5)(ii)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing IDPC Rule provision in order to adopt a modified version that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(5)(iii)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing IDPC Rule provision in order to adopt a modified version that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(6)	No equivalent section (NES)	NES		R. 2218. Privacy and confidentiality	2218	(1)	Adopt a modified version of the existing IDPC provision.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(7)	No equivalent section (NES)	NES		R. 2217. Signage and disclosures	2217	(1)	Adopt a modified version of the existing IDPC provision that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(8)	No equivalent section (NES)	NES		R. 2217. Signage and disclosures	2217	(2)	Adopt a modified version of the existing IDPC provision that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(9)	No equivalent section (NES)	NES		R. 2217. Signage and disclosures	2217	(3)	Adopt a modified version of the existing IDPC provision with a reference to the CRO Membership Disclosure Policy and the IPF Disclosure Policy.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(10)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing IDPC Rule provision in order to adopt a modified version that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(11)(i)	No equivalent section (NES)	NES		R. 2218. Privacy and confidentiality	2218	(2)(i)	Adopt a modified version of the existing IDPC provision that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(11)(ii)	No equivalent section (NES)	NES		R. 2218. Privacy and confidentiality	2218	(2)(ii)	Adopt a modified version of the existing IDPC provision that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(12)(i)	No equivalent section (NES)	NES		R. 2218. Privacy and confidentiality	2218	(3)(i)	Adopt a modified version of the existing IDPC provision that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(12)(ii)	No equivalent section (NES)	NES		R. 2218. Privacy and confidentiality	2218	(3)(ii)	Adopt a modified version of the existing IDPC provision that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(13)(i)	No equivalent section (NES)	NES		R. 2218. Privacy and confidentiality	2218	(4)(i)	Adopt a modified version of the existing IDPC provision that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(13)(ii)	No equivalent section (NES)	NES		R. 2218. Privacy and confidentiality	2218	(4)(ii)	Adopt a modified version of the existing IDPC provision that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(14)	No equivalent section (NES)	NES		R. 2218. Privacy and confidentiality	2218	(5)	Adopt a modified version of the existing IDPC provision that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(15)(i)	No equivalent section (NES)	NES		R. 2219. Permitted and restricted activities	2219	(3)(i)	Adopt a modified version of the existing IDPC provision that can be applicable to all Dealer Members.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 2216. Shared office premises	2216	(18)(ii)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing IDPC Rule provision in order to adopt a modified version that can be applicable to all Dealer Members.
No equivalent section (NES)	NES		R. 2217. - 2219. Reserved.	2217 - 2219		No equivalent section (NES)	NES		No equivalent section (NES)	NES		New provisions 2217 to 2219 to be adopted and no longer reserved.
No equivalent section (NES)	NES		R. 2220. Introduction	2220	(1)	No equivalent section (NES)	NES		R. 2220. Introduction	2220	(1)	Adopt existing IDPC Rule provision.
R. 8.3.2 Notice of Letter of Resignation; R. 8.3.4 Notice that Resignation Effective	8.3.2; 8.3.4		R. 2221. Notice of intention to resign	2221	(1)	No equivalent section (NES)	NES		R. 2221. Notice of intention to resign	2221	(1)(i); (1)(ii)	Adopt existing IDPC Rule provision with clear drafting modification.
R. 8.3.1 Letter of Resignation			R. 2222. Letter of resignation and supporting documents	2222	(1)(i)	No equivalent section (NES)	NES		R. 2222. Letter of resignation and supporting documents	2222	(1)(i)	Adopt existing IDPC Rule provision.
R. 8.3.1 Letter of Resignation			R. 2222. Letter of resignation and supporting documents	2222	(1)(ii)	No equivalent section (NES)	NES		R. 2222. Letter of resignation and supporting documents	2222	(1)(ii)	Adopt existing IDPC Rule provision.
CIRO By-Law No. 1	3.10		R. 2223. Acquisition and resignation	2223	(1)(i)	No equivalent section (NES)	NES		R. 2223. Acquisition and resignation	2223	(1)(i)(a); (1)(i)(b)	Adopt existing IDPC Rule provision with clear drafting modification.
CIRO By-Law No. 1	3.10		R. 2223. Acquisition and resignation	2223	(1)(ii)	No equivalent section (NES)	NES		R. 2223. Acquisition and resignation	2223	(1)(ii)	Adopt existing IDPC Rule provision.
CIRO By-Law No. 1	3.7; 3.10		R. 2224. Amalgamation of Dealer Members	2224	(1)(i)	No equivalent section (NES)	NES		R. 2224. Amalgamation of Dealer Members	2224	(1)(i)	Adopt existing IDPC Rule provision.
CIRO By-Law No. 1	3.7; 3.10		R. 2224. Amalgamation of Dealer Members	2224	(1)(ii)	No equivalent section (NES)	NES		R. 2224. Amalgamation of Dealer Members	2224	(1)(ii)	Adopt existing IDPC Rule provision.
CIRO By-Law No. 2	3.10		R. 2225. Amalgamation with a non-Dealer Member	2225	(1)(i)	No equivalent section (NES)	NES		R. 2225. Amalgamation with a non-Dealer Member	2225	(1)(i)	Adopt existing IDPC Rule provision.
CIRO By-Law No. 3	3.10		R. 2225. Amalgamation with a non-Dealer Member	2225	(1)(ii)	No equivalent section (NES)	NES		R. 2225. Amalgamation with a non-Dealer Member	2225	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2226. Effective date of resignation	2226	(1)(i)	No equivalent section (NES)	NES		R. 2226. Effective date of resignation	2226	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2226. Effective date of resignation	2226	(1)(ii)	No equivalent section (NES)	NES		R. 2226. Effective date of resignation	2226	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2226. Effective date of resignation	2226	(1)(iii)	No equivalent section (NES)	NES		R. 2226. Effective date of resignation	2226	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2226. Effective date of resignation	2226	(1)(iv)	No equivalent section (NES)	NES		R. 2226. Effective date of resignation	2226	(1)(iv)	Adopt modification to give CIRO staff the ability to approve resignation.
No equivalent section (NES)	NES		R. 2226. Effective date of resignation	2226	(2)	No equivalent section (NES)	NES		R. 2226. Effective date of resignation	2226	(2)	Adopt modification to give CIRO staff the ability to provide relief.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 2226. Effective date of resignation	2226	(3)	No equivalent section (NES)	NES		R. 2226. Effective date of resignation	2226	(3)	Adopt existing IDPC Rule provision with clear drafting modification.
No equivalent section (NES)	NES		R. 2227. Payment of Corporation fees	2227	(1)	No equivalent section (NES)	NES		R. 2227. Payment of Corporation fees	2227	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2227. Payment of Corporation fees	2227	(2)(i)	No equivalent section (NES)	NES		R. 2227. Payment of Corporation fees	2227	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2227. Payment of Corporation fees	2227	(2)(ii)	No equivalent section (NES)	NES		R. 2227. Payment of Corporation fees	2227	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2227. Payment of Corporation fees	2227	(2)(iii)	No equivalent section (NES)	NES		R. 2227. Payment of Corporation fees	2227	(2)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2228. Inactive Dealer Members	2228	(1)	S. 10.2. If IIROC membership is revoked or suspended; S. 10.3. If MFDA membership is revoked or suspended	10.2; 10.3		R. 2228. Inactive Dealer Members	2228	(1)	Adopt modification to give CIRO staff the ability to manage inactive Dealer Member applications.
No equivalent section (NES)	NES		R. 2228. Inactive Dealer Members	2228	(2)	S. 10.2. If IIROC membership is revoked or suspended; S. 10.3. If MFDA membership is revoked or suspended	10.2; 10.3		R. 2228. Inactive Dealer Members	2228	(2)	Adopt modification to give CIRO staff the ability to manage inactive Dealer Member applications.
No equivalent section (NES)	NES		R. 2228. Inactive Dealer Members	2228	(3)	S. 10.2. If IIROC membership is revoked or suspended; S. 10.3. If MFDA membership is revoked or suspended	10.2; 10.3		R. 2228. Inactive Dealer Members	2228	(3)	Adopt existing IDPC Rule provision with clear drafting modification.
No equivalent section (NES)	NES		R. 2228. Inactive Dealer Members	2228	(4)(i)	S. 10.2. If IIROC membership is revoked or suspended; S. 10.3. If MFDA membership is revoked or suspended	10.2; 10.3		R. 2228. Inactive Dealer Members	2228	(4)(i)	Adopt modification to give CIRO staff the ability to manage inactive Dealer Member applications.
No equivalent section (NES)	NES		R. 2228. Inactive Dealer Members	2228	(4)(ii)	S. 10.2. If IIROC membership is revoked or suspended; S. 10.3. If MFDA membership is revoked or suspended	10.2; 10.3		R. 2228. Inactive Dealer Members	2228	(4)(ii)	Adopt modification to give CIRO staff the ability to manage inactive Dealer Member applications.
No equivalent section (NES)	NES		R. 2228. Inactive Dealer Members	2228	(5)	S. 10.2. If IIROC membership is revoked or suspended; S. 10.3. If MFDA membership is revoked or suspended	10.2; 10.3		R. 2228. Inactive Dealer Members	2228	(5)	Adopt modification to give CIRO staff the ability to manage inactive Dealer Member applications.
No equivalent section (NES)	NES		R. 2229. - 2244. Reserved.	2229 - 2244		No equivalent section (NES)	NES		R. 2229. - 2244. Reserved.	2229 - 2244		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2245. Introduction	2245	(1)	No equivalent section (NES)	NES		R. 2245. Introduction	2245	(1)(i) - (1)(iii)	Adopt modifications to outline CIRO criteria for business change notifications.
R. 600 Information Reporting Requirements	600	(9), (11.1), (13)-(15)	R. 2246. Dealer Member's notice of changes to the Corporation	2246	(1)(i)	No equivalent section (NES)	NES		R. 2246. Dealer Member's notice of changes to the Corporation	2246	(1)(i)	Adopt existing IDPC Rule provision.
R. 600 Information Reporting Requirements	600	(9), (11.1), (13)-(15)	R. 2246. Dealer Member's notice of changes to the Corporation	2246	(1)(ii)	No equivalent section (NES)	NES		R. 2246. Dealer Member's notice of changes to the Corporation	2246	(1)(ii)	Adopt existing IDPC Rule provision.
R. 600 Information Reporting Requirements	600	(9), (11.1), (13)-(15)	R. 2246. Dealer Member's notice of changes to the Corporation	2246	(1)(iii)	No equivalent section (NES)	NES		R. 2246. Dealer Member's notice of changes to the Corporation	2246	(1)(iii)	Adopt existing IDPC Rule provision.
R. 600 Information Reporting Requirements	600	(9), (11.1), (13)-(15)	R. 2246. Dealer Member's notice of changes to the Corporation	2246	(1)(iv)	No equivalent section (NES)	NES		R. 2246. Dealer Member's notice of changes to the Corporation	2246	(1)(iv)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
R. 600 Information Reporting Requirements	600	(9), (11.1), (13)-(15)	R. 2246. Dealer Member's notice of changes to the Corporation	2246	(2)	No equivalent section (NES)	NES		R. 2246. Dealer Member's notice of changes to the Corporation	2246	(2)	Adopt modifications to specify minimum 20 day prenotice requirement.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 2246. Dealer Member's notice of changes to the Corporation	2246	(3)(i)	Adopt new approval requirement provision for business changes involving offering retail clients any highly-leveraged securities or derivatives.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 2246. Dealer Member's notice of changes to the Corporation	2246	(3)(ii)	Adopt new approval requirement provision for business changes involving offering retail clients previously approved highly-leveraged securities or derivatives that are to be based on a new underlying interest.
R. 600 Information Reporting Requirements	600	(9), (11.1), (13)-(15)	R. 2247. Notice of review	2247	(1)	No equivalent section (NES)	NES		R. 2247. Notice of review	2247	(1)	Adopt existing IDPC Rule provision with modification given numbering of New DC Rule 2246.
No equivalent section (NES)	NES		R. 2248. - 2264. Reserved.	2248 - 2264		No equivalent section (NES)	NES		R. 2248. - 2264. Reserved.	2248 - 2264		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2265. Introduction	2265	(1)	No equivalent section (NES)	NES		R. 2265. Introduction	2265	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2266. Branch office members	2266	(1)	No equivalent section (NES)	NES		R. 2266. Branch office members	2266	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2267. Branch office member's representation	2267	(1)(i)	No equivalent section (NES)	NES		R. 2267. Branch office member's representation	2267	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2267. Branch office member's representation	2267	(1)(ii)	No equivalent section (NES)	NES		R. 2267. Branch office member's representation	2267	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2268. Fees	2268	(1)	No equivalent section (NES)	NES		R. 2268. Fees	2268	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2269. - 2279. Reserved.	2269 - 2279		No equivalent section (NES)	NES		R. 2269. - 2279. Reserved.	2269 - 2279		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2280. Introduction	2280	(1)	No equivalent section (NES)	NES		R. 2280. Introduction	2280	(1)	Adopt existing IDPC Rule provision with clear drafting modification.
R. 1.1.7 Business Names, Styles, Etc.	1.1.7	(a)	R. 2281. Trade names	2281	(1)	No equivalent section (NES)	NES		R. 2281. Trade names	2281	(1)	Adopt existing IDPC Rule provision.
R. 1.1.7 Business Names, Styles, Etc.	1.1.7	(c), (f)	R. 2281. Trade names	2281	(2)	No equivalent section (NES)	NES		R. 2281. Trade names	2281	(2)	Adopt existing IDPC Rule provision.
R. 1.1.7 Business Names, Styles, Etc.	1.1.7	(c), (f)	R. 2281. Trade names	2281	(3)(i)	No equivalent section (NES)	NES		R. 2281. Trade names	2281	(3)(i)	Adopt existing IDPC Rule provision.
R. 1.1.7 Business Names, Styles, Etc.	1.1.7	(c), (f)	R. 2281. Trade names	2281	(3)(ii)	No equivalent section (NES)	NES		R. 2281. Trade names	2281	(3)(ii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
R. 1.1.7 Business Names, Styles, Etc.	1.1.7	(g)	R. 2281. Trade names	2281	(4)	No equivalent section (NES)		NES	R. 2281. Trade names	2281	(4)	Adopt existing IDPC Rule provision.
R. 1.1.7 Business Names, Styles, Etc.	1.1.7	(d)	R. 2282. Corporation notification	2282	(1)(i)	No equivalent section (NES)		NES	R. 2282. Corporation notification	2282	(1)(i)	Adopt existing IDPC Rule provision.
R. 1.1.7 Business Names, Styles, Etc.	1.1.7	(d)	R. 2282. Corporation notification	2282	(1)(ii)	No equivalent section (NES)		NES	R. 2282. Corporation notification	2282	(1)(ii)	Adopt existing IDPC Rule provision.
R. 1.1.7 Business Names, Styles, Etc.	1.1.7	(h)	R. 2282. Corporation notification	2282	(2)(i)	No equivalent section (NES)		NES	R. 2282. Corporation notification	2282	(2)(i)	Adopt existing IDPC Rule provision.
R. 1.1.7 Business Names, Styles, Etc.	1.1.7	(h)	R. 2282. Corporation notification	2282	(2)(ii)	No equivalent section (NES)		NES	R. 2282. Corporation notification	2282	(2)(ii)	Adopt existing IDPC Rule provision.
R. 1.1.7 Business Names, Styles, Etc.	1.1.7	(h)	R. 2282. Corporation notification	2282	(2)(iii)	No equivalent section (NES)		NES	R. 2282. Corporation notification	2282	(2)(iii)	Adopt existing IDPC Rule provision.
R. 1.1.7 Business Names, Styles, Etc.	1.1.7	(b)	R. 2283. Displaying the full legal name	2283	(1)	S. 14.2. Relationship disclosure information		14.2	R. 2283. Displaying the full legal name	2283	(1)	Adopt existing IDPC Rule provision.
R. 1.1.7 Business Names, Styles, Etc.	1.1.7	(b)	R. 2283. Displaying the full legal name	2283	(2)	S. 14.2. Relationship disclosure information		14.2	R. 2283. Displaying the full legal name	2283	(2)	Adopt existing IDPC Rule provision.
R. 1.1.7 Business Names, Styles, Etc.	1.1.7	(b)	R. 2283. Displaying the full legal name	2283	(3)	S. 14.2. Relationship disclosure information		14.2	R. 2283. Displaying the full legal name	2283	(3)	Adopt existing IDPC Rule provision with modified reference to types of communication materials.
R. 1.1.7 Business Names, Styles, Etc.	1.1.7	(e)	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent provision adopted.
No equivalent section (NES)		NES	R. 2284. Investor protection fund membership disclosure requirements for Dealer Member	2284	(1)(i)	S. 14.14.1. Additional statements	14.14.1	(2)-(2.1)	R. 2284. Investor protection fund membership disclosure requirements for Dealer Member	2284	(1)(i)	Adopt existing IDPC Rule provision with clear drafting modification.
R. 5.3.2 Content of Account Statement	5.3.2	(e)	R. 2284. Investor protection fund membership disclosure requirements for Dealer Member	2284	(1)(ii)	S. 14.14.1. Additional statements	14.14.1	(2)-(2.1)	R. 2284. Investor protection fund membership disclosure requirements for Dealer Member	2284	(1)(ii)	Adopt existing IDPC Rule provision with clear drafting modification.
R. 5.3.2 Content of Account Statement	5.3.2	(e)	R. 2284. Investor protection fund membership disclosure requirements for Dealer Member	2284	(1)(iii)	S. 14.14.1. Additional statements	14.14.1	(2)-(2.1)	R. 2284. Investor protection fund membership disclosure requirements for Dealer Member	2284	(1)(iii)	Adopt existing IDPC Rule provision with clear drafting modification.
R. 2.13 Disclosure of Corporation Membership	2.13		R. 2285. Corporation membership disclosure requirements for Dealer Members	2285	(1)(i)	No equivalent section (NES)		NES	R. 2285. Corporation membership disclosure requirements for Dealer Members	2285	(1)(i)	Adopt existing IDPC Rule provision with clear drafting modification.
R. 2.13 Disclosure of Corporation Membership	2.13		R. 2285. Corporation membership disclosure requirements for Dealer Members	2285	(1)(ii)	No equivalent section (NES)		NES	R. 2285. Corporation membership disclosure requirements for Dealer Members	2285	(1)(ii)	Adopt existing IDPC Rule provision with clear drafting modification.
No equivalent section (NES)		NES	R. 2286. - 2299. Reserved.	2286 - 2299		No equivalent section (NES)		NES	R. 2286. - 2299. Reserved.	2286 - 2299		Adopt existing IDPC Rule provision.
No equivalent section (NES)		NES	R. 2301. Introduction	2301	(1)	No equivalent section (NES)		NES	R. 2301. Introduction	2301	(1)	Adopt modified provision specifying that Rule 2300 refers to Dealer Member requirements when engaging an agent to conduct securities and derivatives related business on its behalf.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 2302. Principal and agent relationships	2302	(1)	No equivalent section (NES)	NES		R. 2302. Principal and agent relationships	2302	(1)	Adopt existing IDPC Rule provision with modified reference to derivatives.
No equivalent section (NES)	NES		R. 2302. Principal and agent relationships	2302	(2)	No equivalent section (NES)	NES		R. 2302. Principal and agent relationships	2302	(2)	Adopt existing IDPC Rule provision with modified reference to derivatives.
R. 2.4.1 Payment of Commissions to Unregistered Corporation	2.4.1	(b)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 2302. Principal and agent relationships	2302	(3)(i)(a)(I)	Adopt provision in order to incorporate existing permission of directed commissions for mutual fund dealers.
R. 2.4.1 Payment of Commissions to Unregistered Corporation	2.4.1	(b)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 2302. Principal and agent relationships	2302	(3)(i)(a)(II)	Adopt provision in order to incorporate existing permission of directed commissions for mutual fund dealers.
R. 2.4.1 Payment of Commissions to Unregistered Corporation	2.4.1	(b)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 2302. Principal and agent relationships	2302	(3)(i)(b)(I)	Adopt provision in order to incorporate existing permission of directed commissions for mutual fund dealers.
R. 2.4.1 Payment of Commissions to Unregistered Corporation	2.4.1	(b)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 2302. Principal and agent relationships	2302	(3)(i)(b)(II)	Adopt provision in order to incorporate existing permission of directed commissions for mutual fund dealers.
R. 2.4.1 Payment of Commissions to Unregistered Corporation	2.4.1	(b)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 2302. Principal and agent relationships	2302	(3)(ii)	Adopt provision in order to incorporate existing permission of directed commissions for mutual fund dealers.
R. 2.4.1 Payment of Commissions to Unregistered Corporation	2.4.1	(b)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 2302. Principal and agent relationships	2302	(3)(iii)	Adopt provision in order to incorporate existing permission of directed commissions for mutual fund dealers.
R. 2.4.1 Payment of Commissions to Unregistered Corporation	2.4.1	(b)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 2302. Principal and agent relationships	2302	(3)(iv)(a)(I)	Adopt provision in order to incorporate existing permission of directed commissions for mutual fund dealers.
R. 2.4.1 Payment of Commissions to Unregistered Corporation	2.4.1	(b)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 2302. Principal and agent relationships	2302	(3)(iv)(a)(II)	Adopt provision in order to incorporate existing permission of directed commissions for mutual fund dealers.
R. 2.4.1 Payment of Commissions to Unregistered Corporation	2.4.1	(b)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 2302. Principal and agent relationships	2302	(3)(iv)(b)	Adopt provision in order to incorporate existing permission of directed commissions for mutual fund dealers.
R. 2.4.1 Payment of Commissions to Unregistered Corporation	2.4.1	(b)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 2302. Principal and agent relationships	2302	(3)(iv)(c)	Adopt provision in order to incorporate existing permission of directed commissions for mutual fund dealers.
R. 2.4.1 Payment of Commissions to Unregistered Corporation	2.4.1	(b)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 2302. Principal and agent relationships	2302	(4)	Adopt provision in order to incorporate existing permission of directed commissions for mutual fund dealers.
No equivalent section (NES)	NES		R. 2303. Written agreement between the Dealer Member and the Corporation	2303	(1)	No equivalent section (NES)	NES		R. 2303. Written agreement between the Dealer Member and the Corporation	2303	(1)	Adopt existing IDPC Rule provision with modified reference to derivatives.
No equivalent section (NES)	NES		R. 2303. Written agreement between the Dealer Member and the Corporation	2303	(2)(i)	No equivalent section (NES)	NES		R. 2303. Written agreement between the Dealer Member and the Corporation	2303	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2303. Written agreement between the Dealer Member and the Corporation	2303	(2)(ii)	No equivalent section (NES)	NES		R. 2303. Written agreement between the Dealer Member and the Corporation	2303	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2303. Written agreement between the Dealer Member and the Corporation	2303	(3)	No equivalent section (NES)	NES		R. 2303. Written agreement between the Dealer Member and the Corporation	2303	(3)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 2304. Written agreement between the Dealer Member and its agents	2304	(7)(xi)	No equivalent section (NES)	NES		R. 2304. Written agreement between the Dealer Member and its agents	2304	(7)(xi)	Adopt existing IDPC Rule provision with modified reference to derivatives.
No equivalent section (NES)	NES		R. 2304. Written agreement between the Dealer Member and its agents	2304	(7)(xii)	No equivalent section (NES)	NES		R. 2304. Written agreement between the Dealer Member and its agents	2304	(7)(xii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 2305. - 2399. Reserved.	2305 - 2399		No equivalent section (NES)	NES		R. 2305. - 2399. Reserved.	2305 - 2399		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4701. Introduction	4701	(1) Part A	No equivalent section (NES)	NES		R. 4701. Introduction	4701	(1) Part A	Adopt a revised version of existing IDPC Rule introduction section.
No equivalent section (NES)	NES		R. 4701. Introduction	4701	(1) Part B	No equivalent section (NES)	NES		R. 4701. Introduction	4701	(1) Part B	Adopt a revised version of existing IDPC Rule introduction section.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 4701. Introduction	4701	(1) Part C	Adopt new IDPC Rule introduction section applicable to specific transactions
No equivalent section (NES)	NES		R. 4702. - 4709. Reserved.			No equivalent section (NES)	NES		R. 4702. - 4709. Reserved.			Adopt existing IDPC Rule reserved section
No equivalent section (NES)	NES		R. 4710. Introduction	4710	(1)	No equivalent section (NES)	NES		R. 4710. Introduction	4710	(1)	Adopt a revised version of existing IDPC Rule introduction section.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 4711. Definitions	4711	(1)	Adopt a new IDPC Rule definition "significant business disruption" to reflect Derivatives Rule Modernization Project revisions
No equivalent section (NES)	NES		R. 4711. Creating a business continuity plan	4711	(1)	No equivalent section (NES)	NES		R. 4712. Creating a business continuity plan	4712	(1)	Adopt and renumber existing IDPC Rule provision 4711 as 4712
No equivalent section (NES)	NES		R. 4712. Business continuity plan procedures	4712	(1)	No equivalent section (NES)	NES		R. 4713. Business continuity plan procedures	4713	(1)	Adopt and renumber existing IDPC Rule provision 4712 as 4713
No equivalent section (NES)	NES		R. 4712. Business continuity plan procedures	4712	(2)	No equivalent section (NES)	NES		R. 4713. Business continuity plan procedures	4713	(2)	Adopt and renumber existing IDPC Rule provision 4712 as 4713
No equivalent section (NES)	NES		R. 4712. Business continuity plan procedures	4712	(3)	No equivalent section (NES)	NES		R. 4713. Business continuity plan procedures	4713	(3)	Adopt and renumber existing IDPC Rule provision 4712 as 4713
No equivalent section (NES)	NES		R. 4713. Update business continuity plan	4713	(1)	No equivalent section (NES)	NES		R. 4714. Update business continuity plan	4714	(1)	Adopt and renumber existing IDPC Rule provision 4713 as 4714
No equivalent section (NES)	NES		R. 4714. Annual review and test	4714	(1)(i)	No equivalent section (NES)	NES		R. 4715. Annual review and test	4715	(1)(i)	Adopt and renumber existing IDPC Rule provision 4714 as 4715
No equivalent section (NES)	NES		R. 4714. Annual review and test	4714	(1)(ii)	No equivalent section (NES)	NES		R. 4715. Annual review and test	4715	(1)(ii)	Adopt and renumber existing IDPC Rule provision 4714 as 4715
No equivalent section (NES)	NES		R. 4714. Annual review and test	4714	(2)	No equivalent section (NES)	NES		R. 4715. Annual review and test	4715	(2)	Adopt and renumber existing IDPC Rule provision 4714 as 4715

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 4714. Annual review and test	4714	(3)	No equivalent section (NES)	NES		R. 4715. Annual review and test	4715	(3)	Adopt and renumber existing IDPC Rule provision 4714 as 4715
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 4716. Notice of disruption and invoking the business continuity plan	4716	(1)(i)	Adopt new IDPC Rule provision to reflect Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 4716. Notice of disruption and invoking the business continuity plan	4716	(1)(ii)	Adopt new IDPC Rule provision to reflect Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 4716. Notice of disruption and invoking the business continuity plan	4716	(1)(iii)	Adopt new IDPC Rule provision to reflect Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 4716. Notice of disruption and invoking the business continuity plan	4716	(1)(iv)	Adopt new IDPC Rule provision to reflect Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 4716. Notice of disruption and invoking the business continuity plan	4716	(2)(i)	Adopt new IDPC Rule provision to reflect Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 4716. Notice of disruption and invoking the business continuity plan	4716	(2)(ii)	Adopt new IDPC Rule provision to reflect Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 4716. Notice of disruption and invoking the business continuity plan	4716	(2)(iii)	Adopt new IDPC Rule provision to reflect Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES		R. 4715. – 4749. Reserved.			No equivalent section (NES)	NES		R. 4717. – 4749. Reserved.			Adopt a revised IDPC Rule reserved section
No equivalent section (NES)	NES		R. 4750. Introduction	4750		No equivalent section (NES)	NES		R. 4750. Introduction	4750		Adopt a revised IDPC Rule introduction section which clarifies difference between Part B and new Part C
No equivalent section (NES)	NES		R. 4751. Definitions	4751	(1) "acceptable trade matching utility"	No equivalent section (NES)	NES		R. 4751. Definitions	4751	(1) "acceptable trade matching utility"	Adopt existing IDPC Rule defined term.
No equivalent section (NES)	NES		R. 4751. Definitions	4751	(1) "eligible securities"	No equivalent section (NES)	NES		R. 4751. Definitions	4751	(1) "eligible securities"	Adopt existing IDPC Rule defined term.
No equivalent section (NES)	NES		R. 4751. Definitions	4751	(1) "non-exchange trade"	No equivalent section (NES)	NES		R. 4751. Definitions	4751	(1) "non-exchange trade"	Adopt existing IDPC Rule defined term.
No equivalent section (NES)	NES		R. 4751. Definitions	4751	(1) "participant"	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing IDPC Rule defined term
No equivalent section (NES)	NES		R. 4751. Definitions	4751	(1) "settlement service"	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing IDPC Rule defined term
No equivalent section (NES)	NES		R. 4752. Use of a clearing corporation	4752	(1)	No equivalent section (NES)	NES		R. 4752. Use of a clearing corporation	4752	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4752. Use of a clearing corporation	4752	(2)	No equivalent section (NES)	NES		R. 4752. Use of a clearing corporation	4752	(2)	Adopt a revised IDPC Rule provision which includes reference to new Part C

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 4752. Use of a clearing corporation	4752	(3)	No equivalent section (NES)	NES		R. 4752. Use of a clearing corporation	4752	(3)	Adopt a revised IDPC Rule provision which includes reference to new Part C
No equivalent section (NES)	NES		R. 4753. Use of a trade matching utility	4753	(1)(i)	No equivalent section (NES)	NES		R. 4753. Use of a trade matching utility	4753	(1)(i)	Adopt a revised IDPC Rule provision which clarifies application to CDS participants
No equivalent section (NES)	NES		R. 4753. Use of a trade matching utility	4753	(1)(ii)	No equivalent section (NES)	NES		R. 4753. Use of a trade matching utility	4753	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4754. Trade classification where a Dealer Member enters a trade into the matching utility	4754	(1)	No equivalent section (NES)	NES		R. 4754. Trade classification where a Dealer Member enters a trade into the matching utility	4754	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4755. Trade classification where a Dealer Member does not enter a trade into the matching utility	4755	(1)	No equivalent section (NES)	NES		R. 4755. Trade classification where a Dealer Member does not enter a trade into the matching utility	4755	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4756. Trade matching quarterly compliant trade percentage	4756	(1)(i)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing IDPC Rule provision to reflect T+1 settlement project amendments
No equivalent section (NES)	NES		R. 4756. Trade matching quarterly compliant trade percentage	4756	(1)(ii)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing IDPC Rule provision to reflect T+1 settlement project amendments
No equivalent section (NES)	NES		R. 4756. Trade matching quarterly compliant trade percentage	4756	(2)	No equivalent section (NES)	NES		R. 4756. Trade matching quarterly compliant trade percentage	4756	(1)	Adopt a revised version of existing IDPC Rule which amends rule subclause numbering
No equivalent section (NES)	NES		R. 4756. Trade matching quarterly compliant trade percentage	4756	(3)	No equivalent section (NES)	NES		R. 4756. Trade matching quarterly compliant trade percentage	4756	(2)	Adopt a revised version of existing IDPC Rule to reflect T+1 settlement project amendments
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 4757. – 4769. Reserved.			Adopt new IDPC Rule reserved section
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 4770. Introduction	4770		Adopt new IDPC Rule Introduction section
No equivalent section (NES)	NES		R. 4751. Definitions	4751	(1) "depository eligible transactions"	No equivalent section (NES)	NES		R. 4771. Definitions	4771	(1) "CDS depository eligible transactions"	Adopt and renumber existing IDPC definition section 4751 as 4771 Adopt a revised version of existing IDPC Rule definition referencing CDS specifically
No equivalent section (NES)	NES		R. 4757. Payment or delivery through client settlement agent	4757	(1)(i)	No equivalent section (NES)	NES		R. 4772. Payment or delivery through client settlement agent	4772	(1)(i)	Adopt and renumber existing IDPC Rule provision 4757 as 4772
No equivalent section (NES)	NES		R. 4757. Payment or delivery through client settlement agent	4757	(1)(ii)	No equivalent section (NES)	NES		R. 4772. Payment or delivery through client settlement agent	4772	(1)(ii)	Adopt and renumber existing IDPC Rule provision 4757 as 4772
No equivalent section (NES)	NES		R. 4757. Payment or delivery through client settlement agent	4757	(1)(iii)	No equivalent section (NES)	NES		R. 4772. Payment or delivery through client settlement agent	4772	(1)(iii)	Adopt and renumber existing IDPC Rule provision 4757 as 4772
No equivalent section (NES)	NES		R. 4757. Payment or delivery through client settlement agent	4757	(1)(iv)(a)	No equivalent section (NES)	NES		R. 4772. Payment or delivery through client settlement agent	4772	(1)(iv)(a)	Adopt and renumber existing IDPC Rule provision 4757 as 4772
No equivalent section (NES)	NES		R. 4757. Payment or delivery through client settlement agent	4757	(1)(iv)(b)	No equivalent section (NES)	NES		R. 4772. Payment or delivery through client settlement agent	4772	(1)(iv)(b)	Adopt and renumber existing IDPC Rule provision 4757 as 4772 Adopt a revised version of existing IDPC Rule for T+1 settlement

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 4757. Payment or delivery through client settlement agent	4757	(1)(v)(a)	No equivalent section (NES)	NES		R. 4772. Payment or delivery through client settlement agent	4772	(1)(v)(a)	Adopt and renumber existing IDPCR Rule provision 4757 as 4772
No equivalent section (NES)	NES		R. 4757. Payment or delivery through client settlement agent	4757	(1)(v)(b)	No equivalent section (NES)	NES		R. 4772. Payment or delivery through client settlement agent	4772	(1)(v)(b)	Adopt and renumber existing IDPCR Rule provision 4757 as 4772
No equivalent section (NES)	NES		R. 4758. Early registration of securities	4758	(1)	No equivalent section (NES)	NES		R. 4773. Early registration of securities	4773	(1)	Adopt and renumber existing IDPCR Rule provision 4758 as 4773
No equivalent section (NES)	NES		R. 4758. Early registration of securities	4758	(2)	No equivalent section (NES)	NES		R. 4773. Early registration of securities	4773	(2)	Adopt and renumber existing IDPCR Rule provision 4758 as 4773
No equivalent section (NES)	NES		R. 4758. Early registration of securities	4758	(3)	No equivalent section (NES)	NES		R. 4773. Early registration of securities	4773	(3)	Adopt and renumber existing IDPCR Rule provision 4758 as 4773
No equivalent section (NES)	NES		R. 4759. Repurchase agreement or reverse repurchase agreement transactions and option granting transactions with clients	4759	(1)(i)	No equivalent section (NES)	NES		R. 4774. Repurchase agreement or reverse repurchase agreement transactions and option granting transactions with clients	4774	(1)(i)	Adopt and renumber existing IDPCR Rule provision 4759 as 4774
No equivalent section (NES)	NES		R. 4759. Repurchase agreement or reverse repurchase agreement transactions and option granting transactions with clients	4759	(1)(ii)	No equivalent section (NES)	NES		R. 4774. Repurchase agreement or reverse repurchase agreement transactions and option granting transactions with clients	4774	(1)(ii)	Adopt and renumber existing IDPCR Rule provision 4759 as 4774
No equivalent section (NES)	NES		R. 4759. Repurchase agreement or reverse repurchase agreement transactions and option granting transactions with clients	4759	(1)(iii)	No equivalent section (NES)	NES		R. 4774. Repurchase agreement or reverse repurchase agreement transactions and option granting transactions with clients	4774	(1)(iii)	Adopt and renumber existing IDPCR Rule provision 4759 as 4774
No equivalent section (NES)	NES		R. 4760. When issued trading	4760	(1)(i)	No equivalent section (NES)	NES		R. 4775. When issued trading	4775	(1)(i)	Adopt and renumber existing IDPCR Rule provision 4760 as 4775. Adopt a revised version of existing IDPCR Rule for T+1 settlement
No equivalent section (NES)	NES		R. 4760. When issued trading	4760	(1)(ii)	No equivalent section (NES)	NES		R. 4775. When issued trading	4775	(1)(ii)	Adopt and renumber existing IDPCR Rule provision 4760 as 4775. Adopt a revised version of existing IDPCR Rule for T+1 settlement
No equivalent section (NES)	NES		R. 4760. When issued trading	4760	(1)(iii)	No equivalent section (NES)	NES		R. 4775. When issued trading	4775	(1)(iii)	Adopt and renumber existing IDPCR Rule provision 4760 as 4775
No equivalent section (NES)	NES		R. 4761. Tax payments	4761	(1)	No equivalent section (NES)	NES		R. 4776. Tax payments	4776	(1)	Adopt and renumber existing IDPCR Rule provision 4761 as 4776
No equivalent section (NES)	NES		R. 4762. – 4799. Reserved.			No equivalent section (NES)	NES		R. 4777. – 4799. Reserved.			Adopt a revised IDPCR Rule reserved section
No equivalent section (NES)	NES		R. 4801. Introduction	4801	(1) Part A.1	No equivalent section (NES)	NES		R. 4801. Introduction	4801	(1) Part A.1	Adopt a revised version of existing IDPCR Rule introduction section.
No equivalent section (NES)	NES		R. 4801. Introduction	4801	(1) Part A.2	No equivalent section (NES)	NES		R. 4801. Introduction	4801	(1) Part A.2	Adopt a revised version of existing IDPCR Rule introduction section.
No equivalent section (NES)	NES		R. 4801. Introduction	4801	(1) Part A.3	No equivalent section (NES)	NES		R. 4801. Introduction	4801	(1) Part A.3	Adopt a revised version of existing IDPCR Rule introduction section.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 4801. Introduction	4801	(1) Part B.1	No equivalent section (NES)	NES		R. 4801. Introduction	4801	(1) Part B.1	Adopt existing IDPCR Rule provision.
No equivalent section (NES)	NES		R. 4801. Introduction	4801	(1) Part B.2	No equivalent section (NES)	NES		R. 4801. Introduction	4801	(1) Part B.2	Adopt existing IDPCR Rule provision.
No equivalent section (NES)	NES		R. 4802. Introduction	4802	(1)	No equivalent section (NES)	NES		R. 4802. Introduction	4802	(1)	Adopt existing IDPCR Rule provision.
No equivalent section (NES)	NES		R. 4751. Definitions	4751	(1) "good delivery securities"	No equivalent section (NES)	NES		R. 4803. Definitions	4803	(1) "good delivery securities"	Adopt and renumber existing IDPCR definition section 4751 as 4803
No equivalent section (NES)	NES		R. 4751. Definitions	4751	(1) "qualified Canadian trust company"	No equivalent section (NES)	NES		R. 4803. Definitions	4803	(1) "qualified Canadian trust company"	Adopt and renumber existing IDPCR definition section 4751 as 4803
No equivalent section (NES)	NES		R. 4803. Fixed income accrued interest	4803	(1)	No equivalent section (NES)	NES		R. 4804. Fixed income accrued interest	4804	(1)	Adopt and renumber existing IDPCR Rule provision 4803 as 4804
No equivalent section (NES)	NES		R. 4803. Fixed income accrued interest	4803	(2)	No equivalent section (NES)	NES		R. 4804. Fixed income accrued interest	4804	(2)	Adopt and renumber existing IDPCR Rule provision 4803 as 4804
No equivalent section (NES)	NES		R. 4803. Fixed income accrued interest	4803	(3)	No equivalent section (NES)	NES		R. 4804. Fixed income accrued interest	4804	(3)	Adopt and renumber existing IDPCR Rule provision 4803 as 4804
No equivalent section (NES)	NES		R. 4803. Fixed income accrued interest	4803	(4)	No equivalent section (NES)	NES		R. 4804. Fixed income accrued interest	4804	(4)	Adopt and renumber existing IDPCR Rule provision 4803 as 4804
No equivalent section (NES)	NES		R. 4803. Fixed income accrued interest	4803	(5)	No equivalent section (NES)	NES		R. 4804. Fixed income accrued interest	4804	(5)	Adopt and renumber existing IDPCR Rule provision 4803 as 4804
No equivalent section (NES)	NES		R. 4803. Fixed income accrued interest	4803	(6)	No equivalent section (NES)	NES		R. 4804. Fixed income accrued interest	4804	(6)	Adopt and renumber existing IDPCR Rule provision 4803 as 4804
No equivalent section (NES)	NES		R. 4803. Fixed income accrued interest	4803	(7)	No equivalent section (NES)	NES		R. 4804. Fixed income accrued interest	4804	(7)	Adopt and renumber existing IDPCR Rule provision 4803 as 4804 Adopt a revised version of existing IDPCR Rule for T+1 settlement
No equivalent section (NES)	NES		R. 4803. Fixed income accrued interest	4803	(8)	No equivalent section (NES)	NES		R. 4804. Fixed income accrued interest	4804	(8)	Adopt and renumber existing IDPCR Rule provision 4803 as 4804 Adopt a revised version of existing IDPCR Rule for T+1 settlement
No equivalent section (NES)	NES		R. 4803. Fixed income accrued interest	4803	(9)	No equivalent section (NES)	NES		R. 4804. Fixed income accrued interest	4804	(9)	Adopt and renumber existing IDPCR Rule provision 4803 as 4804
No equivalent section (NES)	NES		R. 4804. Fixed income trading units	4804	(1)	No equivalent section (NES)	NES		R. 4805. Fixed income trading units	4805	(1)	Adopt and renumber existing IDPCR Rule provision 4804 as 4805
No equivalent section (NES)	NES		R. 4804. Fixed income trading units	4804	(2)(i)(a)	No equivalent section (NES)	NES		R. 4805. Fixed income trading units	4805	(2)(i)(a)	Adopt and renumber existing IDPCR Rule provision 4804 as 4805
No equivalent section (NES)	NES		R. 4804. Fixed income trading units	4804	(2)(i)(b)	No equivalent section (NES)	NES		R. 4805. Fixed income trading units	4805	(2)(i)(b)	Adopt and renumber existing IDPCR Rule provision 4804 as 4805

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 4805. Fixed income delivery	4805	(7)(vi)	No equivalent section (NES)	NES		R. 4806. Fixed income delivery	4806	(7)(vi)	Adopt and renumber existing IDPCR Rule provision 4805 as 4806
No equivalent section (NES)	NES		R. 4805. Fixed income delivery	4805	(7)(vii)	No equivalent section (NES)	NES		R. 4806. Fixed income delivery	4806	(7)(vii)	Adopt and renumber existing IDPCR Rule provision 4805 as 4806
No equivalent section (NES)	NES		R. 4805. Fixed income delivery	4805	(7)(viii)	No equivalent section (NES)	NES		R. 4806. Fixed income delivery	4806	(7)(viii)	Adopt and renumber existing IDPCR Rule provision 4805 as 4806
No equivalent section (NES)	NES		R. 4805. Fixed income delivery	4805	(7)(ix)	No equivalent section (NES)	NES		R. 4806. Fixed income delivery	4806	(7)(ix)	Adopt and renumber existing IDPCR Rule provision 4805 as 4806
No equivalent section (NES)	NES		R. 4805. Fixed income delivery	4805	(7)(x)	No equivalent section (NES)	NES		R. 4806. Fixed income delivery	4806	(7)(x)	Adopt and renumber existing IDPCR Rule provision 4805 as 4806
No equivalent section (NES)	NES		R. 4805. Fixed income delivery	4805	(8)(i)	No equivalent section (NES)	NES		R. 4806. Fixed income delivery	4806	(8)(i)	Adopt and renumber existing IDPCR Rule provision 4805 as 4806
No equivalent section (NES)	NES		R. 4805. Fixed income delivery	4805	(8)(ii)	No equivalent section (NES)	NES		R. 4806. Fixed income delivery	4806	(8)(ii)	Adopt and renumber existing IDPCR Rule provision 4805 as 4806
No equivalent section (NES)	NES		R. 4806. Fixed income redemption payment	4806	(1)(i)	No equivalent section (NES)	NES		R. 4807. Fixed income redemption payment	4807	(1)(i)	Adopt and renumber existing IDPCR Rule provision 4806 as 4807
No equivalent section (NES)	NES		R. 4806. Fixed income redemption payment	4806	(1)(ii)	No equivalent section (NES)	NES		R. 4807. Fixed income redemption payment	4807	(1)(ii)	Adopt and renumber existing IDPCR Rule provision 4806 as 4807
No equivalent section (NES)	NES		R. 4807. Stock trading units	4807	(1)	No equivalent section (NES)	NES		R. 4808. Stock trading units	4808	(1)	Adopt and renumber existing IDPCR Rule provision 4807 as 4808
No equivalent section (NES)	NES		R. 4807. Stock trading units	4807	(2)(i)(a)	No equivalent section (NES)	NES		R. 4808. Stock trading units	4808	(2)(i)(a)	Adopt and renumber existing IDPCR Rule provision 4807 as 4808
No equivalent section (NES)	NES		R. 4807. Stock trading units	4807	(2)(i)(b)	No equivalent section (NES)	NES		R. 4808. Stock trading units	4808	(2)(i)(b)	Adopt and renumber existing IDPCR Rule provision 4807 as 4808
No equivalent section (NES)	NES		R. 4807. Stock trading units	4807	(2)(i)(c)	No equivalent section (NES)	NES		R. 4808. Stock trading units	4808	(2)(i)(c)	Adopt and renumber existing IDPCR Rule provision 4807 as 4808
No equivalent section (NES)	NES		R. 4807. Stock trading units	4807	(3)	No equivalent section (NES)	NES		R. 4808. Stock trading units	4808	(3)	Adopt and renumber existing IDPCR Rule provision 4807 as 4808
No equivalent section (NES)	NES		R. 4807. Stock trading units	4807	(4)	No equivalent section (NES)	NES		R. 4808. Stock trading units	4808	(4)	Adopt and renumber existing IDPCR Rule provision 4807 as 4808
No equivalent section (NES)	NES		R. 4807. Stock trading units	4807	(5)	No equivalent section (NES)	NES		R. 4808. Stock trading units	4808	(5)	Adopt and renumber existing IDPCR Rule provision 4807 as 4808
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(1)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(1)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(2)(i)(a)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(2)(i)(a)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(2)(ii)(a)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(2)(ii)(a)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(2)(ii)(b)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(2)(ii)(b)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809 Adopt a revised version of existing IDPCR Rule for T+1 settlement
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(2)(ii)(c)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(2)(ii)(c)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(3)(i)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(3)(i)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(4)(i)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(4)(i)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809 Adopt a revised version of existing IDPCR Rule for T+1 settlement
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(4)(ii)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(4)(ii)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809 Adopt a revised version of existing IDPCR Rule for T+1 settlement
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(5)(i)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(5)(i)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(5)(ii)(a)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(5)(ii)(a)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(5)(ii)(b)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(5)(ii)(b)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(5)(ii)(c)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(5)(ii)(c)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(5)(ii)(d)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(5)(ii)(d)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(5)(iii)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(5)(iii)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(6)(i)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(6)(i)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(6)(ii)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(6)(ii)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(6)(iii)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(6)(iii)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(6)(iv)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(6)(iv)	Adopt and renumber existing IDPCR Rule provision 4808 as 4809

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(6)(v)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(6)(v)	Adopt and renumber existing IDPC Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(6)(vi)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(6)(vi)	Adopt and renumber existing IDPC Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(6)(vii)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(6)(vii)	Adopt and renumber existing IDPC Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(6)(viii)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(6)(viii)	Adopt and renumber existing IDPC Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(7)(i)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(7)(i)	Adopt and renumber existing IDPC Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4808. Stock delivery	4808	(7)(ii)	No equivalent section (NES)	NES		R. 4809. Stock delivery	4809	(7)(ii)	Adopt and renumber existing IDPC Rule provision 4808 as 4809
No equivalent section (NES)	NES		R. 4809. Stock dividend claims	4809	(1)	No equivalent section (NES)	NES		R. 4810. Stock dividend claims	4810	(1)	Adopt and renumber existing IDPC Rule provision 4809 as 4810
No equivalent section (NES)	NES		R. 4810. Buy-ins	4810	(1)(i)(a)	No equivalent section (NES)	NES		R. 4811. Buy-ins	4811	(1)(i)(a)	Adopt and renumber existing IDPC Rule provision 4810 as 4811 Adopt a revised version of existing IDPC Rule for T+1 settlement
No equivalent section (NES)	NES		R. 4810. Buy-ins	4810	(1)(i)(b)	No equivalent section (NES)	NES		R. 4811. Buy-ins	4811	(1)(i)(b)	Adopt and renumber existing IDPC Rule provision 4810 as 4811 Adopt a revised version of existing IDPC Rule for T+1 settlement
No equivalent section (NES)	NES		R. 4810. Buy-ins	4810	(1)(i)(c)	No equivalent section (NES)	NES		R. 4811. Buy-ins	4811	(1)(i)(c)	Adopt and renumber existing IDPC Rule provision 4810 as 4811 Adopt a revised version of existing IDPC Rule for T+1 settlement
No equivalent section (NES)	NES		R. 4810. Buy-ins	4810	(1)(ii)(a)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repealed to reflect T+1 settlement project amendments
No equivalent section (NES)	NES		R. 4810. Buy-ins	4810	(1)(ii)(b)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repealed to reflect T+1 settlement project amendments
No equivalent section (NES)	NES		R. 4810. Buy-ins	4810	(1)(ii)(c)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repealed to reflect T+1 settlement project amendments
No equivalent section (NES)	NES		R. 4810. Buy-ins	4810	(1)(iii)	No equivalent section (NES)	NES		R. 4811. Buy-ins	4811	(1)(ii)	Adopt a revised version of existing IDPC Rule which amends rule subclause numbering
No equivalent section (NES)	NES		R. 4810. Buy-ins	4810	(1)(iv)	No equivalent section (NES)	NES		R. 4811. Buy-ins	4811	(1)(iii)	Adopt a revised version of existing IDPC Rule which amends rule subclause numbering
No equivalent section (NES)	NES		R. 4810. Buy-ins	4810	(1)(v)(a)	No equivalent section (NES)	NES		R. 4811. Buy-ins	4811	(1)(iv)(a)	Adopt a revised version of existing IDPC Rule which amends rule subclause numbering
No equivalent section (NES)	NES		R. 4810. Buy-ins	4810	(1)(v)(b)	No equivalent section (NES)	NES		R. 4811. Buy-ins	4811	(1)(iv)(b)	Adopt a revised version of existing IDPC Rule which amends rule subclause numbering

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 4811. – 4849. Reserved.			No equivalent section (NES)	NES		R. 4812. – 4849. Reserved.			Adopt a revised IDPC Rule reserved section
R. 2.12.2 Transfers	2.12.2		R. 4850. Introduction	4850	(1) Part B.1	No equivalent section (NES)	NES		R. 4850. Introduction	4850	(1) Part B.1	Adopt existing IDPC Rule introduction section.
R. 2.12.2 Transfers	2.12.2		R. 4850. Introduction	4850	(2) Part B.2	No equivalent section (NES)	NES		R. 4850. Introduction	4850	(2) Part B.2	Adopt existing IDPC Rule introduction section.
R. 2.12.1 Definitions	2.12.1	(a)	R. 4851. Definitions	4851	(1) "account transfer"	No equivalent section (NES)	NES		R. 4851. Definitions	4851	(1) "account transfer"	Adopt existing IDPC Rule defined term.
R. 2.12.1 Definitions	2.12.1	(b)	R. 4851. Definitions	4851	(1) "delivering Dealer Member"	No equivalent section (NES)	NES		R. 4851. Definitions	4851	(1) "delivering Dealer Member"	Adopt existing IDPC Rule defined term.
No equivalent section (NES)	NES		R. 4851. Definitions	4851	(1) "partial account"	No equivalent section (NES)	NES		R. 4851. Definitions	4851	(1) "partial account"	Adopt existing IDPC Rule defined term.
R. 2.12.1 Definitions	2.12.1	(c)	R. 4851. Definitions	4851	(1) "receiving Dealer Member"	No equivalent section (NES)	NES		R. 4851. Definitions	4851	(1) "receiving Dealer Member"	Adopt existing IDPC Rule defined term.
No equivalent section (NES)	NES		R. 4851. Definitions	4851	(1) "recognized depository"	No equivalent section (NES)	NES		R. 4851. Definitions	4851	(1) "recognized depository"	Adopt existing IDPC Rule defined term.
No equivalent section (NES)	NES		R. 4852. Transferring a full or partial account	4852	(1)	No equivalent section (NES)	NES		R. 4852. Transferring a full or partial account	4852	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4853. Transfer through a recognized depository	4853	(1)	No equivalent section (NES)	NES		R. 4853. Transfer through a recognized depository	4853	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4854. Communications between Dealer Members	4854	(1)	No equivalent section (NES)	NES		R. 4854. Communications between Dealer Members	4854	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4854. Communications between Dealer Members	4854	(2)	No equivalent section (NES)	NES		R. 4854. Communications between Dealer Members	4854	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4854. Communications between Dealer Members	4854	(3)	No equivalent section (NES)	NES		R. 4854. Communications between Dealer Members	4854	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4854. Communications between Dealer Members	4854	(4)(i)	No equivalent section (NES)	NES		R. 4854. Communications between Dealer Members	4854	(4)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4854. Communications between Dealer Members	4854	(4)(ii)	No equivalent section (NES)	NES		R. 4854. Communications between Dealer Members	4854	(4)(ii)	Adopt existing IDPC Rule provision.
R. 2.12.2 Transfers	2.12.2	first sentence	R. 4855. Receiving Dealer Member - responsibilities for documents	4855	(1)	No equivalent section (NES)	NES		R. 4855. Receiving Dealer Member - responsibilities for documents	4855	(1)	Adopt existing IDPC Rule provision.
R. 2.12.2 Transfers	2.12.2	second sentence	No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal existing MFD Rule as receiving and delivering dealer responsibilities are prescriptively detailed in adopted IDPC Rule provisions

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 4855. Receiving Dealer Member - responsibilities for documents	4855	(2)(i)	No equivalent section (NES)	NES		R. 4855. Receiving Dealer Member - responsibilities for documents	4855	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4855. Receiving Dealer Member - responsibilities for documents	4855	(2)(ii)	No equivalent section (NES)	NES		R. 4855. Receiving Dealer Member - responsibilities for documents	4855	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4855. Receiving Dealer Member - responsibilities for documents	4855	(3)	No equivalent section (NES)	NES		R. 4855. Receiving Dealer Member - responsibilities for documents	4855	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4856. Delivering Dealer Member - response to request for transfer	4856	(1)(i)	No equivalent section (NES)	NES		R. 4856. Delivering Dealer Member - response to request for transfer	4856	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4856. Delivering Dealer Member - response to request for transfer	4856	(1)(ii)	No equivalent section (NES)	NES		R. 4856. Delivering Dealer Member - response to request for transfer	4856	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4856. Delivering Dealer Member - response to request for transfer	4856	(2)	No equivalent section (NES)	NES		R. 4856. Delivering Dealer Member - response to request for transfer	4856	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4857. Asset transfer	4857	(1)	No equivalent section (NES)	NES		R. 4857. Asset transfer	4857	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4857. Asset transfer	4857	(2)(i)	No equivalent section (NES)	NES		R. 4857. Asset transfer	4857	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4857. Asset transfer	4857	(2)(ii)	No equivalent section (NES)	NES		R. 4857. Asset transfer	4857	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4857. Asset transfer	4857	(2)(iii)	No equivalent section (NES)	NES		R. 4857. Asset transfer	4857	(2)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4858. Transfer impediment	4858	(1)	No equivalent section (NES)	NES		R. 4858. Transfer impediment	4858	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4858. Transfer impediment	4858	(2)	No equivalent section (NES)	NES		R. 4858. Transfer impediment	4858	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4858. Transfer impediment	4858	(3)	No equivalent section (NES)	NES		R. 4858. Transfer impediment	4858	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4859. Failure to settle	4859	(1)(i)	No equivalent section (NES)	NES		R. 4859. Failure to settle	4859	(1)(i)	Adopt a revised version of existing IDPC Rule with modified section reference
No equivalent section (NES)	NES		R. 4859. Failure to settle	4859	(1)(ii)	No equivalent section (NES)	NES		R. 4859. Failure to settle	4859	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4859. Failure to settle	4859	(1)(iii)	No equivalent section (NES)	NES		R. 4859. Failure to settle	4859	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4859. Failure to settle	4859	(2)	No equivalent section (NES)	NES		R. 4859. Failure to settle	4859	(2)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 4860. Non-certificated mutual funds	4860	(1)(i)	No equivalent section (NES)	NES		R. 4860. Non-certificated mutual funds	4860	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4860. Non-certificated mutual funds	4860	(1)(ii)	No equivalent section (NES)	NES		R. 4860. Non-certificated mutual funds	4860	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4860. Non-certificated mutual funds	4860	(1)(iii)	No equivalent section (NES)	NES		R. 4860. Non-certificated mutual funds	4860	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4861. Interest or dividend receipt balances	4861	(1)	No equivalent section (NES)	NES		R. 4861. Interest or dividend receipt balances	4861	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4862. Margin	4862	(1)	No equivalent section (NES)	NES		R. 4862. Margin	4862	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4862. Margin	4862	(2)	No equivalent section (NES)	NES		R. 4862. Margin	4862	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4863. Responsibility for margining account	4863	(1)	No equivalent section (NES)	NES		R. 4863. Responsibility for margining account	4863	(1)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES		R. 4864. Fees and charges	4864	(1)	No equivalent section (NES)	NES		R. 4864. Fees and charges	4864	(1)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES		R. 4865. Corporation exemption	4865	(1)	No equivalent section (NES)	NES		R. 4865. Corporation exemption	4865	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4865. Corporation exemption	4865	(2)	No equivalent section (NES)	NES		R. 4865. Corporation exemption	4865	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4866. Bulk account movements exemption	4866	(1)	S. 14.11. Selling or assigning client accounts	14.11		R. 4866. Bulk account movements exemption	4866	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4866. Bulk account movements exemption	4866	(2)	S. 14.11. Selling or assigning client accounts	14.11		R. 4866. Bulk account movements exemption	4866	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4866. Bulk account movements exemption	4866	(3)	S. 14.11. Selling or assigning client accounts	14.11		R. 4866. Bulk account movements exemption	4866	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4867. – 4899. Reserved.			No equivalent section (NES)	NES		R. 4867. – 4899. Reserved.			Adopt existing IDPC Rule reserved section
No equivalent section (NES)	NES		R. 4901. Introduction	4901	(1)	No equivalent section (NES)	NES		R. 4901. Introduction	4901	(1)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES		R. 4902. - 4909. Reserved.			No equivalent section (NES)	NES		R. 4902. - 4909. Reserved.			Adopt existing IDPC Rule reserved section
No equivalent section (NES)	NES		R. 4910. Introduction	4910	(1)(i)	No equivalent section (NES)	NES		R. 4910. Introduction	4910	(1)(i)	Adopt a revised version of existing IDPC Rule introduction section to reflect Derivatives Rule Modernization Project revisions.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 4910. Introduction	4910	(1)(ii)	No equivalent section (NES)	NES		R. 4910. Introduction	4910	(1)(ii)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES		R. 4910. Introduction	4910	(1)(iii)	No equivalent section (NES)	NES		R. 4910. Introduction	4910	(1)(iii)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES		R. 4911. Reserved.			No equivalent section (NES)	NES		R. 4911. Reserved.			Adopt existing IDPC Rule reserved section
No equivalent section (NES)	NES		R. 4912. Risk management process	4912	(1)	No equivalent section (NES)	NES		R. 4912. Risk management process	4912	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4912. Risk management process	4912	(2)	No equivalent section (NES)	NES		R. 4912. Risk management process	4912	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4912. Risk management process	4912	(3)(i)	No equivalent section (NES)	NES		R. 4912. Risk management process	4912	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4912. Risk management process	4912	(3)(ii)	No equivalent section (NES)	NES		R. 4912. Risk management process	4912	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4912. Risk management process	4912	(4)	No equivalent section (NES)	NES		R. 4912. Risk management process	4912	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4913. Role of board of directors	4913	(1)	No equivalent section (NES)	NES		R. 4913. Role of board of directors	4913	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4913. Role of board of directors	4913	(2)	No equivalent section (NES)	NES		R. 4913. Role of board of directors	4913	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(i)(a)	No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(i)(b)	No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(i)(c)	No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(i)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(i)(d)	No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(i)(d)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(i)(e)	No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(i)(e)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(ii)	No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(iii)	No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(iii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(iv)	No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(v)	No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(v)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(vi)	No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(vi)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(vii)	No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(vii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(viii)	No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(viii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(ix)	No equivalent section (NES)	NES		R. 4914. Role of an appropriate Executive	4914	(1)(ix)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4915. Pricing	4915	(1)	No equivalent section (NES)	NES		R. 4915. Pricing	4915	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4915. Pricing	4915	(2)	No equivalent section (NES)	NES		R. 4915. Pricing	4915	(2)	Adopt a revised version of existing IDPC Rule to reflect Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES		R. 4915. Pricing	4915	(3)(i)	No equivalent section (NES)	NES		R. 4915. Pricing	4915	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4915. Pricing	4915	(3)(ii)	No equivalent section (NES)	NES		R. 4915. Pricing	4915	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4915. Pricing	4915	(3)(iii)	No equivalent section (NES)	NES		R. 4915. Pricing	4915	(3)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4915. Pricing	4915	(4)	No equivalent section (NES)	NES		R. 4915. Pricing	4915	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 4916. – 4999. Reserved.			No equivalent section (NES)	NES		R. 4916. – 4999. Reserved.			Adopt existing IDPC Rule reserved section
No equivalent section (NES)	NES		R. 8101. Introduction	8101	(1)	No equivalent section (NES)	NES		R. 8101. Introduction	8101	(1)	Adopt existing IDPC Rule provision.
R. 6.1 Power to Conduct Examinations and Investigations	6.1		R. 8102. Conducting investigations	8102	(1)	No equivalent section (NES)	NES		R. 8102. Conducting investigations	8102	(1)	Adopt existing IDPC Rule provision and modify it to refer to "securities laws" defined term.
R. 6.2.1	6.2.1	(a)	R. 8103. Investigation powers	8103	(1)(i)	No equivalent section (NES)	NES		R. 8103. Investigation powers	8103	(1)(i)	Adopt existing IDPC Rule provision.
R. 6.2.1	6.2.1	(b)	R. 8103. Investigation powers	8103	(1)(i)(ii)	No equivalent section (NES)	NES		R. 8103. Investigation powers	8103	(1)(i)(ii)	Adopt existing IDPC Rule provision with plain language modification.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
R. 6.2.1	6.2.1	(c)	R. 8103. Investigation powers	8103	(1)(i)(iii)	No equivalent section (NES)		NES	R. 8103. Investigation powers	8103	(1)(i)(iii)	Adopt existing IDPC Rule provision with plain language modification.
R. 6.2.1	6.2.1	(e)	R. 8103. Investigation powers	8103	(1)(i)(iv)	No equivalent section (NES)		NES	R. 8103. Investigation powers	8103	(1)(i)(iv)	Adopt existing IDPC Rule provision.
R. 6.2.2	6.2.2		R. 8103. Investigation powers	8103	(2)	No equivalent section (NES)		NES	R. 8103. Investigation powers	8103	(2)	Adopt existing IDPC Rule provision.
R. 6.2.3	6.2.3	(a)	R. 8103. Investigation powers	8103	(3)(i)	No equivalent section (NES)		NES	R. 8103. Investigation powers	8103	(3)(i)	Adopt existing IDPC Rule provision.
R. 6.2.3	6.2.3	(b)	R. 8103. Investigation powers	8103	(3)(ii)	No equivalent section (NES)		NES	R. 8103. Investigation powers	8103	(3)(ii)	Adopt existing IDPC Rule provision with plain language modification.
R. 6.2.3	6.2.3	(c)	R. 8103. Investigation powers	8103	(3)(iii)	No equivalent section (NES)		NES	R. 8103. Investigation powers	8103	(3)(iii)	Adopt existing IDPC Rule provision with plain language modification.
R. 6.2.1	6.2.1	(f)	R. 8104. Obligations of Regulated Persons and other persons	8104	(1)	No equivalent section (NES)		NES	R. 8104. Obligations of Regulated Persons and other persons	8104	(1)	Adopt existing IDPC Rule provision.
R. 6.2.1	6.2.1	(f)	R. 8104. Obligations of Regulated Persons and other persons	8104	(2)	No equivalent section (NES)		NES	R. 8104. Obligations of Regulated Persons and other persons	8104	(2)	Adopt existing IDPC Rule provision.
R. 6.2.1	6.2.1	(f)	R. 8104. Obligations of Regulated Persons and other persons	8104	(3)	No equivalent section (NES)		NES	R. 8104. Obligations of Regulated Persons and other persons	8104	(3)	Adopt existing IDPC Rule provision.
R. 6.2.4	6.2.4		R. 8104. Obligations of Regulated Persons and other persons	8104	(4)	No equivalent section (NES)		NES	R. 8104. Obligations of Regulated Persons and other persons	8104	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8104. Obligations of Regulated Persons and other persons	8104	(5)	No equivalent section (NES)		NES	R. 8104. Obligations of Regulated Persons and other persons	8104	(5)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8105. Right to counsel	8105	(1)	No equivalent section (NES)		NES	R. 8105. Right to counsel	8105	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(1)(i)	No equivalent section (NES)		NES	R. 8106. Confidentiality of investigations	8106	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(1)(ii)	No equivalent section (NES)		NES	R. 8106. Confidentiality of investigations	8106	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(1)(iii)	No equivalent section (NES)		NES	R. 8106. Confidentiality of investigations	8106	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(1)(iv)	No equivalent section (NES)		NES	R. 8106. Confidentiality of investigations	8106	(1)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(1)(v)	No equivalent section (NES)		NES	R. 8106. Confidentiality of investigations	8106	(1)(v)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(i)	No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(i)(a)	No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(i)(b)	No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(i)(c)	No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(i)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(i)(d)	No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(i)(d)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(iii)	No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(iv)(a)	No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(iv)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(iv)(b)	No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(iv)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(iv)(c)	No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(2)(iv)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(3)	No equivalent section (NES)	NES		R. 8106. Confidentiality of investigations	8106	(3)	Adopt existing IDPC Rule provision.
R. 7.4.1.4 Jurisdiction	7.4.1.4		R. 8107. Continuing jurisdiction	8107	(1)(i)	No equivalent section (NES)	NES		R. 8107. Continuing jurisdiction	8107	(1)(i)	Adopt existing IDPC Rule provision.
R. 7.4.1.4 Jurisdiction	7.4.1.4		R. 8107. Continuing jurisdiction	8107	(1)(ii)	No equivalent section (NES)	NES		R. 8107. Continuing jurisdiction	8107	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 8107. Continuing jurisdiction	8107	(1)(iii)	Adopt reference to Mutual Fund Dealers Association.
R. 7.4.1.4 Jurisdiction	7.4.1.4		R. 8107. Continuing jurisdiction	8107	(1)(iii)	No equivalent section (NES)	NES		R. 8107. Continuing jurisdiction	8107	(1)(iv)	Adopt existing IDPC Rule provision.
R. 7.4.1.4 Jurisdiction	7.4.1.4		R. 8107. Continuing jurisdiction	8107	(1)(iv)	No equivalent section (NES)	NES		R. 8107. Continuing jurisdiction	8107	(1)(v)	Adopt existing IDPC Rule provision.
R. 7.4.1.4 Jurisdiction	7.4.1.4		R. 8107. Continuing jurisdiction	8107	(1)(v)	No equivalent section (NES)	NES		R. 8107. Continuing jurisdiction	8107	(1)(vi)	Adopt existing IDPC Rule provision.
R. 7.4.1.4 Jurisdiction	7.4.1.4		R. 8107. Continuing jurisdiction	8107	(1)(vi)	No equivalent section (NES)	NES		R. 8107. Continuing jurisdiction	8107	(1)(vii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 8107. Continuing jurisdiction	8107	(1)(viii)	Adopt reference to the Mutual Fund Dealers Association.
R. 7.4.1.4 Jurisdiction	7.4.1.4		R. 8107. Continuing jurisdiction	8107	(1)(vii)	No equivalent section (NES)	NES		R. 8107. Continuing jurisdiction	8107	(1)(ix)	Adopt existing IDPC Rule provision.
R. 7.4.1.4 Jurisdiction	7.4.1.4		R. 8107. Continuing jurisdiction	8107	(1)(viii)	No equivalent section (NES)	NES		R. 8107. Continuing jurisdiction	8107	(1)(x)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8018. - 8199. Reserved.	8018 - 8199		No equivalent section (NES)	NES		R. 8018. - 8199. Reserved.	8018 - 8199		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8201. Introduction	8201	(1)	No equivalent section (NES)	NES		R. 8201. Introduction	8201	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8201. Introduction	8201	(2)	No equivalent section (NES)	NES		R. 8201. Introduction	8201	(2)	Adopt existing IDPC Rule provision and modify it to refer to "applicable laws" defined term.
No equivalent section (NES)	NES		R. 8201. Introduction	8201	(3) Part A	No equivalent section (NES)	NES		R. 8201. Introduction	8201	(3) Part A	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8201. Introduction	8201	(3) Part B	No equivalent section (NES)	NES		R. 8201. Introduction	8201	(3) Part B	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8202. Definitions	8202	(1) "decision"	No equivalent section (NES)	NES		R. 8202. Definitions	8202	(1) "decision"	Adopt existing IDPC Rule provision.
ROP. 1.2 Definitions	ROP. 1.2	"hearing"	R. 8202. Definitions	8202	(1) "disciplinary hearing"	No equivalent section (NES)	NES		R. 8202. Definitions	8202	(1) "disciplinary hearing"	Adopt existing IDPC Rule provision.
R. 7.2.3	7.2.3		R. 8203. Hearings	8203	(1)	No equivalent section (NES)	NES		R. 8203. Hearings	8203	(1)	Adopt existing IDPC Rule provision.
ROP. 1.5 General Powers of a Panel	ROP. 1.5		R. 8203. Hearings	8203	(2)	No equivalent section (NES)	NES		R. 8203. Hearings	8203	(2)	Adopt existing IDPC Rule provision.
ROP. 1.6 Admissibility of Evidence; ROP. 13.4 Evidence by Sworn Statement	ROP. 1.6; ROP. 13.4		R. 8203. Hearings	8203	(3)	No equivalent section (NES)	NES		R. 8203. Hearings	8203	(3)	Adopt existing IDPC Rule provision.
ROP. 13.3 Evidence by Witness	ROP. 13.3		R. 8203. Hearings	8203	(4)	No equivalent section (NES)	NES		R. 8203. Hearings	8203	(4)	Adopt existing IDPC Rule provision.
R. 7.3.5 Open to the Public; R. 7.4.3.12 Open to the Public	7.3.5; 7.4.3.12		R. 8203. Hearings	8203	(5)(i)	No equivalent section (NES)	NES		R. 8203. Hearings	8203	(5)(i)	Adopt existing IDPC Rule provision.
ROP. 1.8 Hearings Open to the Public	ROP. 1.8		R. 8203. Hearings	8203	(5)(i)	No equivalent section (NES)	NES		R. 8203. Hearings	8203	(5)(i)	Adopt existing IDPC Rule provision.
R. 7.3.5 Open to the Public; R. 7.4.3.12 Open to the Public	7.3.5; 7.4.3.12		R. 8203. Hearings	8203	(5)(ii)	No equivalent section (NES)	NES		R. 8203. Hearings	8203	(5)(ii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
ROP. 1.8 Hearings Open to the Public	ROP. 1.8		R. 8203. Hearings	8203	(5)(ii)	No equivalent section (NES)		NES	R. 8203. Hearings	8203	(5)(ii)	Adopt existing IDPC Rule provision.
R. 7.3.5 Open to the Public; R. 7.4.3.12 Open to the Public	7.3.5; 7.4.3.12		R. 8203. Hearings	8203	(5)(iii)	No equivalent section (NES)		NES	R. 8203. Hearings	8203	(5)(iii)	Adopt existing IDPC Rule provision.
ROP. 1.8 Hearings Open to the Public	ROP. 1.8		R. 8203. Hearings	8203	(5)(iii)	No equivalent section (NES)		NES	R. 8203. Hearings	8203	(5)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8203. Hearings	8203	(5)(iv)	No equivalent section (NES)		NES	R. 8203. Hearings	8203	(5)(iv)	Adopt existing IDPC Rule provision.
ROP. 3.1 Representation before a Panel	ROP. 3.1		R. 8203. Hearings	8203	(6)	No equivalent section (NES)		NES	R. 8203. Hearings	8203	(6)	Adopt existing IDPC Rule provision.
ROP. 15.2 Notice and Public Access; ROP. 24.9 Order	ROP. 15.2; ROP. 24.9		R. 8203. Hearings	8203	(7)	No equivalent section (NES)		NES	R. 8203. Hearings	8203	(7)	Adopt existing IDPC Rule provision.
R. 7.4.6.1 Effect in All Regions	7.4.6.1		R. 8204. Application and effective date of decisions	8204	(1)	No equivalent section (NES)		NES	R. 8204. Application and effective date of decisions	8204	(1)	Adopt existing IDPC Rule provision.
R. 7.4.3.10 Stay Pending Review of an Application	7.4.3.10		R. 8204. Application and effective date of decisions	8204	(2)	No equivalent section (NES)		NES	R. 8204. Application and effective date of decisions	8204	(2)	Adopt existing IDPC Rule provision.
R. 7.4.3.10 Stay Pending Review of an Application	7.4.3.10		R. 8204. Application and effective date of decisions	8204	(3)	No equivalent section (NES)		NES	R. 8204. Application and effective date of decisions	8204	(3)	Adopt existing IDPC Rule provision.
R. 7.4.3.10 Stay Pending Review of an Application	7.4.3.10		R. 8204. Application and effective date of decisions	8204	(4)	No equivalent section (NES)		NES	R. 8204. Application and effective date of decisions	8204	(4)	Adopt existing IDPC Rule provision with additional reference to monetary sanctions.
No equivalent section (NES)	NES		R. 8205. Commencement of enforcement proceedings	8205	(1)	No equivalent section (NES)		NES	R. 8205. Commencement of enforcement proceedings	8205	(1)	Adopt existing IDPC Rule provision and modify it to refer to "applicable laws" defined term.
No equivalent section (NES)	NES		R. 8205. Commencement of enforcement proceedings	8205	(2)	No equivalent section (NES)		NES	R. 8205. Commencement of enforcement proceedings	8205	(2)	Adopt existing IDPC Rule provision.
R. 7.4.1.4 Jurisdiction	7.4.1.4 (b)		R. 8206. Limitation	8206	(1)(i)	No equivalent section (NES)		NES	R. 8206. Limitation	8206	(1)(i)	Adopt existing IDPC Rule provision.
R. 7.4.1.4 Jurisdiction	7.4.1.4 (b)		R. 8206. Limitation	8206	(1)(ii)	No equivalent section (NES)		NES	R. 8206. Limitation	8206	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8206. Limitation	8206	(1)(iii)	Adopt reference to the Mutual Fund Dealers Association.
R. 7.4.1.4 Jurisdiction	7.4.1.4 (b)		R. 8206. Limitation	8206	(1)(iii)	No equivalent section (NES)		NES	R. 8206. Limitation	8206	(1)(iv)	Adopt existing IDPC Rule provision.
R. 7.4.1.4 Jurisdiction	7.4.1.4 (b)		R. 8206. Limitation	8206	(1)(iv)	No equivalent section (NES)		NES	R. 8206. Limitation	8206	(1)(v)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
R. 7.4.1.4 Jurisdiction	7.4.1.4	(b)	R. 8206. Limitation	8206	(1)(v)	No equivalent section (NES)		NES	R. 8206. Limitation	8206	(1)(vi)	Adopt existing IDPC Rule provision.
R. 7.4.1.4 Jurisdiction	7.4.1.4	(b)	R. 8206. Limitation	8206	(1)(vi)	No equivalent section (NES)		NES	R. 8206. Limitation	8206	(1)(vii)	Adopt existing IDPC Rule provision.
R. 7.4.1.4 Jurisdiction	7.4.1.4	(b)	R. 8206. Limitation	8206	(1)(vii)	No equivalent section (NES)		NES	R. 8206. Limitation	8206	(1)(viii)	Adopt existing IDPC Rule provision.
R. 7.4.1.4 Jurisdiction	7.4.1.4	(b)	R. 8206. Limitation	8206	(1)(viii)	No equivalent section (NES)		NES	R. 8206. Limitation	8206	(1)(ix)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8206. Limitation	8206	(1)(x)	Adopt reference to the Mutual Fund Dealers Association.
R. 7.4.1.4 Jurisdiction	7.4.1.4	(b)	R. 8206. Limitation	8206	(2)	No equivalent section (NES)		NES	R. 8206. Limitation	8206	(2)	Adopt existing IDPC Rule provision.
R. 7.4.1.4 Jurisdiction	7.4.1.4	(b)	R. 8206. Limitation	8206	(3)	No equivalent section (NES)		NES	R. 8206. Limitation	8206	(3)	Adopt existing IDPC Rule provision.
R. 7.4.1.3 Continuation of Liability	7.4.1.3		R. 8207. Amounts owing to the Corporation	8207	(1)	No equivalent section (NES)		NES	R. 8207. Amounts owing to the Corporation	8207	(1)	Adopt existing IDPC Rule provision.
R. 7.3.6.2 Attendance or Production	7.3.6.2		R. 8208. Powers of compulsion	8208	(1)	No equivalent section (NES)		NES	R. 8208. Powers of compulsion	8208	(1)	Adopt existing IDPC Rule provision.
R. 7.3.6.2 Attendance or Production	7.3.6.2		R. 8208. Powers of compulsion	8208	(2)	No equivalent section (NES)		NES	R. 8208. Powers of compulsion	8208	(2)	Adopt existing IDPC Rule provision.
R. 7.3.6.3 Required Attendance of Employee or Agent of Member	7.3.6.3		R. 8208. Powers of compulsion	8208	(3)	No equivalent section (NES)		NES	R. 8208. Powers of compulsion	8208	(3)	Adopt existing IDPC Rule provision.
R. 7.4.1.2 Members	7.4.1.2	(a)	R. 8209. Sanctions for Dealer Members	8209	(1)(i)	No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(1)(i)	Adopt existing IDPC Rule provision and modify it to refer to "applicable laws" defined term and failures to carry out agreements.
No equivalent section (NES)	NES		R. 8209. Sanctions for Dealer Members	8209	(1)(ii)	No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(1)(ii)	Adopt existing IDPC Rule provision.
R. 7.4.1.2 Members	7.4.1.2	(b)(i)	R. 8209. Sanctions for Dealer Members	8209	(1)(iii)(a)	No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(1)(iii)(a)	Adopt increased maximum fine of \$10,000,000
R. 7.4.1.2 Members	7.4.1.2	(b)(ii)	R. 8209. Sanctions for Dealer Members	8209	(1)(iii)(b)	No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(1)(iii)(b)	Adopt existing IDPC Rule provision.
R. 7.4.1.2 Members	7.4.1.2	(c)	R. 8209. Sanctions for Dealer Members	8209	(1)(iv)	No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(1)(iv)	Adopt existing IDPC Rule provision.
R. 7.4.1.2 Members	7.4.1.2	(f)	R. 8209. Sanctions for Dealer Members	8209	(1)(v)	No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(1)(v)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
R. 7.4.1.2 Members	7.4.1.2	(e)	R. 8209. Sanctions for Dealer Members	8209	(1)(vi)	No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(1)(vi)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8209. Sanctions for Dealer Members	8209	(1)(vii)	No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(1)(vii)	Adopt existing IDPC Rule provision.
R. 7.4.1.2 Members	7.4.1.2	(g)	R. 8209. Sanctions for Dealer Members	8209	(1)(viii)	No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(1)(viii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8209. Sanctions for Dealer Members	8209	(1)(ix)	No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(1)(ix)	Adopt existing IDPC Rule provision.
R. 7.4.1.2 Members	7.4.1.2		R. 8209. Sanctions for Dealer Members	8209	(2)	No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(2)	Adopt existing IDPC Rule provision.
R. 7.4.1.2 Members	7.4.1.2		R. 8209. Sanctions for Dealer Members	8209	(3)	No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(4)(i)	Adopt modified version of MFD Rule considerations for a hearing panel when exercising its discretion to appoint a monitor.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(4)(ii)	Adopt modified version of MFD Rule considerations for a hearing panel when exercising its discretion to appoint a monitor.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(4)(iii)	Adopt modified version of MFD Rule considerations for a hearing panel when exercising its discretion to appoint a monitor.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(4)(iv)	Adopt modified version of MFD Rule considerations for a hearing panel when exercising its discretion to appoint a monitor.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(4)(v)	Adopt modified version of MFD Rule considerations for a hearing panel when exercising its discretion to appoint a monitor.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(4)(vi)	Adopt modified version of MFD Rule considerations for a hearing panel when exercising its discretion to appoint a monitor.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(4)(vii)	Adopt modified version of MFD Rule considerations for a hearing panel when exercising its discretion to appoint a monitor.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(4)(viii)	Adopt modified version of MFD Rule considerations for a hearing panel when exercising its discretion to appoint a monitor.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(4)(ix)	Adopt modified version of MFD Rule considerations for a hearing panel when exercising its discretion to appoint a monitor.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8209. Sanctions for Dealer Members	8209	(4)(x)	Adopt modified version of MFD Rule considerations for a hearing panel when exercising its discretion to appoint a monitor.
R. 7.4.1.1 Approved Persons	7.4.1.1	(a)	R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(i)	No equivalent section (NES)		NES	R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(i)	Adopt existing IDPC Rule provision and modify it to refer to "applicable laws" defined term and failures to carry out agreements.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(ii)	No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(ii)	Adopt existing IDPC Rule provision.
R. 7.4.1.1 Approved Persons	7.4.1.1	(b)(i)	R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(iii)(a)	No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(iii)(a)	Adopt increased maximum fine of \$10,000,000
R. 7.4.1.1 Approved Persons	7.4.1.1	(b)(ii)	R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(iii)(b)	No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(iii)(b)	Adopt existing IDPC Rule provision.
R. 7.4.1.1 Approved Persons	7.4.1.1	(c)	R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(iv)	No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(iv)	Adopt modified version of existing IDPC Rule provisions that allow hearing panels to prohibit, revoke or bar an individual Regulated Person's approval or authority to conduct securities related business.
R. 7.4.1.1 Approved Persons	7.4.1.1	(f)	R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(v)	No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(v)	Adopt existing IDPC Rule provision.
R. 7.4.1.1 Approved Persons	7.4.1.1	(e)	R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(vi)	No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(vi)	Adopt modified version of existing IDPC Rule provisions that allow hearing panels to prohibit, revoke or bar an individual Regulated Person's approval or authority to conduct securities and derivatives related business.
R. 7.4.1.1 Approved Persons	7.4.1.1	(d)	R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(vii)	No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(vii)	Adopt modified version of existing IDPC Rule provisions that allow hearing panels to prohibit, revoke or bar an individual Regulated Person's approval or authority to conduct securities and derivatives related business.
No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(viii)	No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(viii)	Adopt modified version of existing IDPC Rule provisions that allow hearing panels to prohibit, revoke or bar an individual Regulated Person's approval or authority to conduct securities and derivatives related business.
No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(ix)	No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(ix)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(x)	No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(1)(x)	Adopt existing IDPC Rule provision.
R. 7.4.1.1 Approved Persons	7.4.1.1		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(2)	No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(2)	Adopt existing IDPC Rule provision.
R. 7.4.1.1 Approved Persons	7.4.1.1		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(3)	No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(4)	No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(5)	Adopt prohibition from engaging an individual who is barred or suspended from employment with an investment dealer.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(6)	Adopt prohibition from engaging an individual who is barred or suspended from employment with an investment dealer.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(7)	Adopt prohibition from engaging an individual who is barred or suspended from employment with an investment dealer.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(8)(i)	Adopt prohibition from engaging an individual who is barred or suspended from employment with an investment dealer.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 8210. Sanctions for Regulated Persons other than Dealer Members	8210	(8)(ii)	Adopt prohibition from engaging an individual who is barred or suspended from employment with an investment dealer.
R. 7.4.3.1 Approved Persons; R. 7.4.3.2 Members	7.4.3.1; 7.4.3.2		R. 8211. Temporary orders	8211	(1)	No equivalent section (NES)	NES		R. 8211. Temporary orders	8211	(1)	Adopt existing IDPC Rule provision.
R. 7.4.3.1 Approved Persons; R. 7.4.3.2 Members	7.4.3.1; 7.4.3.2		R. 8211. Temporary orders	8211	(2)(i)	No equivalent section (NES)	NES		R. 8211. Temporary orders	8211	(2)(i)	Adopt existing IDPC Rule provision.
R. 7.4.3.1 Approved Persons; R. 7.4.3.2 Members	7.4.3.1; 7.4.3.2		R. 8211. Temporary orders	8211	(2)(ii)	No equivalent section (NES)	NES		R. 8211. Temporary orders	8211	(2)(ii)	Adopt existing IDPC Rule provision.
R. 7.4.3.1 Approved Persons; R. 7.4.3.2 Members	7.4.3.1; 7.4.3.2		R. 8211. Temporary orders	8211	(2)(iii)	No equivalent section (NES)	NES		R. 8211. Temporary orders	8211	(2)(iii)	Adopt existing IDPC Rule provision.
R. 7.4.3.1 Approved Persons; R. 7.4.3.2 Members	7.4.3.1; 7.4.3.2		R. 8211. Temporary orders	8211	(3)	No equivalent section (NES)	NES		R. 8211. Temporary orders	8211	(3)	Adopt existing IDPC Rule provision.
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(1)	No equivalent section (NES)	NES		R. 8212. Protective orders	8212	(1)	Adopt existing IDPC Rule provision.
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(2)(i)	No equivalent section (NES)	NES		R. 8212. Protective orders	8212	(2)(i)	Adopt existing IDPC Rule provision.
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(2)(ii)	No equivalent section (NES)	NES		R. 8212. Protective orders	8212	(2)(ii)	Adopt existing IDPC Rule provision.
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(2)(iii)	No equivalent section (NES)	NES		R. 8212. Protective orders	8212	(2)(iii)	Adopt existing IDPC Rule provision.
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(2)(iv)	No equivalent section (NES)	NES		R. 8212. Protective orders	8212	(2)(iv)	Adopt existing IDPC Rule provision.
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(2)(v)	No equivalent section (NES)	NES		R. 8212. Protective orders	8212	(2)(v)	Adopt existing IDPC Rule provision.
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(2)(vi)	No equivalent section (NES)	NES		R. 8212. Protective orders	8212	(2)(vi)	Adopt existing IDPC Rule provision with a plain language modification.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 8212. Protective orders	8212	(2)(vii)	Adopt power of a hearing panel to issue a protective order when a Regulated Person has been charged with contravening certain laws such as theft.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(2)(vii)(a)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(2)(viii)(a)	Adopt existing IDPC Rule provision.
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(2)(vii)(b)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(2)(viii)(b)	Adopt existing IDPC Rule provision with added reference to examinations.
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(2)(viii)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(2)(ix)(a)	Adopt existing IDPC Rule provision.
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(2)(viii)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(2)(ix)(b)	Adopt existing IDPC Rule provision.
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(2)(viii)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(2)(ix)(c)	Adopt power of a hearing panel to issue protective orders when Dealer Members have not complied with their terms and conditions.
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(3)(i)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(3)(i)	Adopt existing IDPC Rule provision.
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(3)(ii)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(3)(ii)	Adopt existing IDPC Rule provision.
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(3)(iii)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(3)(iii)	Adopt existing IDPC Rule provision.
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(3)(iv)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(3)(iv)	Adopt existing IDPC Rule provision with a plain language modification.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(3)(v)	Adopt power of a hearing panel to issue a protective order when a Regulated Person other than a Dealer Member has been charged with contravening certain laws such as theft.
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(3)(v)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(3)(vi)	Adopt existing IDPC Rule provision.
R. 7.4.3.2 Members	7.4.3.2		R. 8212. Protective orders	8212	(3)(vi)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(3)(vii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(3)(viii)	Adopt power of a hearing panel to issue a protective order when a person is incapacitated.
R. 7.4.3.3 Powers of a Hearing Panel	7.4.3.3		R. 8212. Protective orders	8212	(4)(i)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(4)(i)	Adopt existing IDPC Rule provision.
R. 7.4.3.3 Powers of a Hearing Panel	7.4.3.3		R. 8212. Protective orders	8212	(4)(ii)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(4)(ii)	Adopt existing IDPC Rule provision.
R. 7.4.3.3 Powers of a Hearing Panel	7.4.3.3		R. 8212. Protective orders	8212	(4)(iii)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(4)(iii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
R. 7.4.3.3 Powers of a Hearing Panel	7.4.3.3		R. 8212. Protective orders	8212	(4)(iv)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(4)(iv)	Adopt existing IDPC Rule provision.
R. 7.4.3.3 Powers of a Hearing Panel	7.4.3.3		R. 8212. Protective orders	8212	(4)(v)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(4)(v)	Adopt existing IDPC Rule provision.
R. 7.4.3.3 Powers of a Hearing Panel	7.4.3.3		R. 8212. Protective orders	8212	(4)(vi)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(4)(vi)	Adopt existing IDPC Rule provision.
R. 7.4.3.3 Powers of a Hearing Panel	7.4.3.3		R. 8212. Protective orders	8212	(4)(vii)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(4)(vii)	Adopt existing IDPC Rule provision.
R. 7.4.3.6 Review of an Application	7.4.3.6		R. 8212. Protective orders	8212	(5)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(5)	Adopt existing IDPC Rule provision.
R. 7.4.3.7 Timing of a Review	7.4.3.7		R. 8212. Protective orders	8212	(6)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(6)	Adopt existing IDPC Rule provision.
R. 7.4.3.8 Review Panel	7.4.3.8		R. 8212. Protective orders	8212	(7)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(7)	Adopt existing IDPC Rule provision.
R. 7.4.3.10 Stay Pending Review of an Application	7.4.3.10		R. 8212. Protective orders	8212	(8)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(8)	Adopt existing IDPC Rule provision.
R. 7.4.3.11 Powers of a Hearing Panel on a Review of an Application	7.4.3.11		R. 8212. Protective orders	8212	(9)(i)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(9)(i)	Adopt existing IDPC Rule provision.
R. 7.4.3.11 Powers of a Hearing Panel on a Review of an Application	7.4.3.11		R. 8212. Protective orders	8212	(9)(ii)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(9)(ii)	Adopt existing IDPC Rule provision.
R. 7.4.3.11 Powers of a Hearing Panel on a Review of an Application	7.4.3.11		R. 8212. Protective orders	8212	(9)(iii)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(9)(iii)	Adopt existing IDPC Rule provision.
R. 7.4.3.11 Powers of a Hearing Panel on a Review of an Application	7.4.3.11		R. 8212. Protective orders	8212	(9)(iv)	No equivalent section (NES)		NES	R. 8212. Protective orders	8212	(9)(iv)	Adopt existing IDPC Rule provision.
R. 7.4.7.1 Powers of a Monitor	7.4.7.1		R. 8213. Monitor	8213	(1)	No equivalent section (NES)		NES	R. 8213. Monitor	8213	(1)	Adopt existing IDPC Rule provision.
R. 7.4.7.1 Powers of a Monitor	7.4.7.1		R. 8213. Monitor	8213	(2)(i)	No equivalent section (NES)		NES	R. 8213. Monitor	8213	(2)(i)	Adopt existing IDPC Rule provision.
R. 7.4.7.1 Powers of a Monitor	7.4.7.1		R. 8213. Monitor	8213	(2)(ii)	No equivalent section (NES)		NES	R. 8213. Monitor	8213	(2)(ii)	Adopt existing IDPC Rule provision.
R. 7.4.7.1 Powers of a Monitor	7.4.7.1		R. 8213. Monitor	8213	(2)(iii)	No equivalent section (NES)		NES	R. 8213. Monitor	8213	(2)(iii)	Adopt existing IDPC Rule provision with plain language modification.
R. 7.4.7.1 Powers of a Monitor	7.4.7.1		R. 8213. Monitor	8213	(2)(iv)	No equivalent section (NES)		NES	R. 8213. Monitor	8213	(2)(iv)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
R. 7.4.7.1 Powers of a Monitor	7.4.7.1		R. 8213. Monitor	8213	(2)(v)	No equivalent section (NES)	NES		R. 8213. Monitor	8213	(2)(v)	Adopt existing IDPC Rule provision.
R. 7.4.7.1 Powers of a Monitor	7.4.7.1		R. 8213. Monitor	8213	(2)(vi)	No equivalent section (NES)	NES		R. 8213. Monitor	8213	(2)(vi)	Adopt existing IDPC Rule provision.
R. 7.4.7.1 Powers of a Monitor	7.4.7.1		R. 8213. Monitor	8213	(2)(vii)	No equivalent section (NES)	NES		R. 8213. Monitor	8213	(2)(vii)	Adopt existing IDPC Rule provision.
R. 7.4.7.1 Powers of a Monitor	7.4.7.1		R. 8213. Monitor	8213	(2)(viii)	No equivalent section (NES)	NES		R. 8213. Monitor	8213	(2)(viii)	Adopt existing IDPC Rule provision.
R. 7.4.7.1 Powers of a Monitor	7.4.7.1		R. 8213. Monitor	8213	(2)(ix)	No equivalent section (NES)	NES		R. 8213. Monitor	8213	(2)(ix)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8213. Monitor	8213	(3)	No equivalent section (NES)	NES		R. 8213. Monitor	8213	(3)	Adopt existing IDPC Rule provision.
R. 7.4.7.2 Expenses of the Monitor	7.4.7.2		R. 8213. Monitor	8213	(4)	No equivalent section (NES)	NES		R. 8213. Monitor	8213	(4)	Adopt existing IDPC Rule provision.
ROP. 26.2 Terms, Conditions and Costs	ROP. 26.2		R. 8213. Monitor	8213	(4)	No equivalent section (NES)	NES		R. 8213. Monitor	8213	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8213. Monitor	8213	(5)	No equivalent section (NES)	NES		R. 8213. Monitor	8213	(5)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8213. Monitor	8213	(6)	No equivalent section (NES)	NES		R. 8213. Monitor	8213	(6)	Adopt existing IDPC Rule provision.
ROP. 26.1 Factors to Consider for Appointment of a Monitor	ROP. 26.1		No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		
R. 7.4.2 Costs	7.4.2		R. 8214. Costs	8214	(1)	No equivalent section (NES)	NES		R. 8214. Costs	8214	(1)	Adopt existing IDPC Rule provision.
R. 7.4.2 Costs	7.4.2		R. 8214. Costs	8214	(2)(i)	No equivalent section (NES)	NES		R. 8214. Costs	8214	(2)(i)	Adopt existing IDPC Rule provision.
R. 7.4.2 Costs	7.4.2		R. 8214. Costs	8214	(2)(ii)	No equivalent section (NES)	NES		R. 8214. Costs	8214	(2)(ii)	Adopt existing IDPC Rule provision.
R. 7.4.2 Costs	7.4.2		R. 8214. Costs	8214	(2)(iii)	No equivalent section (NES)	NES		R. 8214. Costs	8214	(2)(iii)	Adopt existing IDPC Rule provision.
R. 7.4.2 Costs	7.4.2		R. 8214. Costs	8214	(2)(iv)	No equivalent section (NES)	NES		R. 8214. Costs	8214	(2)(iv)	Adopt existing IDPC Rule provision.
R. 7.4.2 Costs	7.4.2		R. 8214. Costs	8214	(2)(v)	No equivalent section (NES)	NES		R. 8214. Costs	8214	(2)(v)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
R. 7.4.4.1 Power to Enter into Settlement Agreement	7.4.4.1		R. 8215. Settlements and settlement hearings	8215	(1)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(1)	Adopt existing IDPC Rule provision.
R. 7.4.4.2 Contents of Settlement Agreement	7.4.4.2		R. 8215. Settlements and settlement hearings	8215	(2)(i)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(2)(i)	Adopt existing IDPC Rule provision.
ROP. 14.1 Contents of Settlement Agreements	ROP. 14.1		R. 8215. Settlements and settlement hearings	8215	(2)(i)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(2)(i)	Adopt existing IDPC Rule provision.
R. 7.4.4.2 Contents of Settlement Agreement	7.4.4.2		R. 8215. Settlements and settlement hearings	8215	(2)(ii)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(2)(ii)	Adopt existing IDPC Rule provision.
ROP. 14.1 Contents of Settlement Agreements	ROP. 14.1		R. 8215. Settlements and settlement hearings	8215	(2)(ii)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(2)(ii)	Adopt existing IDPC Rule provision.
R. 7.4.4.2 Contents of Settlement Agreement	7.4.4.2		R. 8215. Settlements and settlement hearings	8215	(2)(iii)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(2)(iii)	Adopt existing IDPC Rule provision.
ROP. 14.1 Contents of Settlement Agreements	ROP. 14.1		R. 8215. Settlements and settlement hearings	8215	(2)(iii)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(2)(iii)	Adopt existing IDPC Rule provision.
R. 7.4.4.7 No Appeal of Acceptance or Rejection of Settlement Agreement	7.4.4.7		R. 8215. Settlements and settlement hearings	8215	(2)(iv)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(2)(iv)	Adopt existing IDPC Rule provision.
R. 7.4.4.2 Contents of Settlement Agreement	7.4.4.2		R. 8215. Settlements and settlement hearings	8215	(2)(v)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(2)(v)	Adopt existing IDPC Rule provision.
ROP. 14.1 Contents of Settlement Agreements	ROP. 14.1		R. 8215. Settlements and settlement hearings	8215	(2)(v)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(2)(v)	Adopt existing IDPC Rule provision.
R. 7.4.4.2 Contents of Settlement Agreement	7.4.4.2		R. 8215. Settlements and settlement hearings	8215	(2)(vi)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(2)(vi)	Adopt existing IDPC Rule provision.
ROP. 14.1 Contents of Settlement Agreements	ROP. 14.1		R. 8215. Settlements and settlement hearings	8215	(2)(vi)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(2)(vi)	Adopt existing IDPC Rule provision.
R. 7.4.4.2 Contents of Settlement Agreement	7.4.4.2		R. 8215. Settlements and settlement hearings	8215	(2)(vii)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(2)(vii)	Adopt existing IDPC Rule provision.
ROP. 14.1 Contents of Settlement Agreements	ROP. 14.1		R. 8215. Settlements and settlement hearings	8215	(2)(vii)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(2)(vii)	Adopt existing IDPC Rule provision.
R. 7.4.4.2 Contents of Settlement Agreement	7.4.4.2		R. 8215. Settlements and settlement hearings	8215	(2)(viii)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(2)(viii)	Adopt existing IDPC Rule provision.
ROP. 14.1 Contents of Settlement Agreements	ROP. 14.1		R. 8215. Settlements and settlement hearings	8215	(2)(viii)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(2)(viii)	Adopt existing IDPC Rule provision.
R. 7.4.4.2 Contents of Settlement Agreement	7.4.4.2		R. 8215. Settlements and settlement hearings	8215	(2)(ix)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(2)(ix)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
ROP. 14.1 Contents of Settlement Agreements	ROP. 14.1		R. 8215. Settlements and settlement hearings	8215	(2)(ix)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(2)(ix)	Adopt existing IDPC Rule provision.
R. 7.4.4.6 Without Prejudice	7.4.4.6		R. 8215. Settlements and settlement hearings	8215	(3)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(3)	Adopt existing IDPC Rule provision.
ROP. 14.1 Contents of Settlement Agreements	ROP. 14.1	(1)(c)	R. 8215. Settlements and settlement hearings	8215	(4)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(4)	Adopt existing IDPC Rule provision.
R. 7.4.4.3 Review and Determination by Hearing Panel	7.4.4.3	(a)-(b)	R. 8215. Settlements and settlement hearings	8215	(5)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(5)	Adopt existing IDPC Rule provision.
R. 7.4.4.4 Binding Upon Acceptance or Imposition	7.4.4.4		R. 8215. Settlements and settlement hearings	8215	(6)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(6)	Adopt existing IDPC Rule provision.
R. 7.4.4.4 Binding Upon Acceptance or Imposition	7.4.4.4		R. 8215. Settlements and settlement hearings	8215	(7)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(7)	Adopt existing IDPC Rule provision.
R. 7.4.4.5 Rejection of Settlement Agreement by Hearing Panel	7.4.4.5		R. 8215. Settlements and settlement hearings	8215	(8)(i)(a)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(8)(i)(a)	Adopt existing IDPC Rule provision.
ROP. 15.2 Notice and Public Access	ROP. 15.2	(4)-(5)	R. 8215. Settlements and settlement hearings	8215	(8)(i)(a)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(8)(i)(a)	Adopt existing IDPC Rule provision.
R. 7.4.4.5 Rejection of Settlement Agreement by Hearing Panel	7.4.4.5		R. 8215. Settlements and settlement hearings	8215	(8)(i)(b)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(8)(i)(b)	Adopt existing IDPC Rule provision.
ROP. 15.2 Notice and Public Access	ROP. 15.2	(4)-(5)	R. 8215. Settlements and settlement hearings	8215	(8)(i)(b)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(8)(i)(b)	Adopt existing IDPC Rule provision. with removal of reference to charges.
R. 7.4.4.5 Rejection of Settlement Agreement by Hearing Panel	7.4.4.5		R. 8215. Settlements and settlement hearings	8215	(8)(ii)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(8)(ii)	Adopt existing IDPC Rule provision.
ROP. 15.2 Notice and Public Access	ROP. 15.2	(4)-(5)	R. 8215. Settlements and settlement hearings	8215	(8)(ii)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(8)(ii)	Adopt existing IDPC Rule provision.
R. 7.4.4.5 Rejection of Settlement Agreement by Hearing Panel	7.4.4.5		R. 8215. Settlements and settlement hearings	8215	(9)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(9)	Adopt existing IDPC Rule provision.
ROP. 15.2 Notice and Public Access	ROP. 15.2	(4)-(5)	R. 8215. Settlements and settlement hearings	8215	(9)	No equivalent section (NES)		NES	R. 8215. Settlements and settlement hearings	8215	(9)	Adopt existing IDPC Rule provision.
R. 7.4.3.13 Failure to Pay Fee, Levy, Assessment, Fine or Costs	7.4.3.13		R. 8216. Failure to pay fine or costs	8216	(1)	No equivalent section (NES)		NES	R. 8216. Failure to pay fine or costs	8216	(1)	Adopt existing IDPC Rule provision.
R. 7.4.6.2 Review	7.4.6.2		R. 8217. Review by a securities regulatory authority	8217	(1)	No equivalent section (NES)		NES	R. 8217. Review by a securities regulatory authority	8217	(1)	Adopt existing IDPC Rule provision.
R. 7.4.3.6 Review of an Application; R. 7.4.3.7 Timing of a Review	7.4.3.6; 7.4.3.7		R. 8217. Review by a securities regulatory authority	8217	(2)	No equivalent section (NES)		NES	R. 8217. Review by a securities regulatory authority	8217	(2)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
R. 7.4.6.2 Review	7.4.6.2		R. 8217. Review by a securities regulatory authority	8217	(3)	No equivalent section (NES)		NES	R. 8217. Review by a securities regulatory authority	8217	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8218. - 8299. Reserved.	8218 - 8299		No equivalent section (NES)		NES	R. 8218. - 8299. Reserved.	8218 - 8299		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8301. Introduction	8301	(1)	No equivalent section (NES)		NES	R. 8301. Introduction	8301	(1)	Adopt existing IDPC Rule provision.
R. 1A. Definitions	1A	"Appointments Committee"	R. 8302. Definitions	8302	(1) "Appointments Committee"	No equivalent section (NES)		NES	R. 8302. Definitions	8302	(1) "Appointments Committee"	Adopt existing IDPC Rule provision.
R. 7.1.1 Establishment	7.1.1		R. 8303. District Hearing Committees	8303	(1)	No equivalent section (NES)		NES	R. 8303. District Hearing Committees	8303	(1)	Adopt existing IDPC Rule provision.
R. 7.1.2 Resident in District	7.1.2		R. 8303. District Hearing Committees	8303	(2)	No equivalent section (NES)		NES	R. 8303. District Hearing Committees	8303	(2)	Adopt existing IDPC Rule provision with modification that only industry committee members be required to reside in the district.
R. 7.1.3.1 Industry	7.1.3.1		R. 8303. District Hearing Committees	8303	(3)	No equivalent section (NES)		NES	R. 8303. District Hearing Committees	8303	(3)	Adopt existing IDPC Rule provision.
R. 7.1.3.2 Public	7.1.3.2		R. 8303. District Hearing Committees	8303	(4)	No equivalent section (NES)		NES	R. 8303. District Hearing Committees	8303	(4)	Adopt existing IDPC Rule provision.
R. 7.1.4 Chair	7.1.4		R. 8303. District Hearing Committees	8303	(5)	No equivalent section (NES)		NES	R. 8303. District Hearing Committees	8303	(5)	Adopt existing IDPC Rule provision.
R. 7.1.5.1	7.1.5.1		R. 8304. Nominations	8304	(1)	No equivalent section (NES)		NES	R. 8304. Nominations	8304	(1)	Adopt existing IDPC Rule provision.
R. 7.1.6.1	7.1.6.1		R. 8305. Appointment	8305	(1)	No equivalent section (NES)		NES	R. 8305. Appointment	8305	(1)	Adopt existing IDPC Rule provision.
R. 7.1.6.2	7.1.6.2	(a)	R. 8305. Appointment	8305	(2)(i)	No equivalent section (NES)		NES	R. 8305. Appointment	8305	(2)(i)	Adopt existing IDPC Rule provision.
R. 7.1.6.2	7.1.6.2	(b)	R. 8305. Appointment	8305	(2)(ii)	No equivalent section (NES)		NES	R. 8305. Appointment	8305	(2)(ii)	Adopt existing IDPC Rule provision.
R. 7.1.6.2	7.1.6.2	(c)	R. 8305. Appointment	8305	(2)(iii)	No equivalent section (NES)		NES	R. 8305. Appointment	8305	(2)(iii)	Adopt existing IDPC Rule provision.
R. 7.1.6.2	7.1.6.2	(d)	R. 8305. Appointment	8305	(2)(iv)	No equivalent section (NES)		NES	R. 8305. Appointment	8305	(2)(iv)	Adopt existing IDPC Rule provision.
R. 7.1.6.2	7.1.6.2	(e)	R. 8305. Appointment	8305	(2)(v)	No equivalent section (NES)		NES	R. 8305. Appointment	8305	(2)(v)	Adopt existing IDPC Rule provision.
R. 7.1.6.2	7.1.6.2	(f)	R. 8305. Appointment	8305	(2)(vi)	No equivalent section (NES)		NES	R. 8305. Appointment	8305	(2)(vi)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
R. 7.1.6.2	7.1.6.2	(g)	R. 8305. Appointment	8305	(2)(vii)	No equivalent section (NES)		NES	R. 8305. Appointment	8305	(2)(vii)	Adopt existing IDPC Rule provision.
R. 7.1.6.3	7.1.6.3	(a)	R. 8305. Appointment	8305	(3)(i)	No equivalent section (NES)		NES	R. 8305. Appointment	8305	(3)(i)	Adopt existing IDPC Rule provision.
R. 7.1.6.3	7.1.6.3	(b)	R. 8305. Appointment	8305	(3)(ii)	No equivalent section (NES)		NES	R. 8305. Appointment	8305	(3)(ii)	Adopt existing IDPC Rule provision.
R. 7.1.6.3	7.1.6.3	(c)	R. 8305. Appointment	8305	(3)(iii)	No equivalent section (NES)		NES	R. 8305. Appointment	8305	(3)(iii)	Adopt existing IDPC Rule provision.
R. 7.1.6.4	7.1.6.4		R. 8305. Appointment	8305	(4)	No equivalent section (NES)		NES	R. 8305. Appointment	8305	(4)	Adopt existing IDPC Rule provision.
R. 7.1.7.1	7.1.7.1		R. 8306. Term of appointment	8306	(1)	No equivalent section (NES)		NES	R. 8306. Term of appointment	8306	(1)	Adopt existing IDPC Rule provision.
R. 7.1.7.2	7.1.7.2		R. 8306. Term of appointment	8306	(2)	No equivalent section (NES)		NES	R. 8306. Term of appointment	8306	(2)	Adopt existing IDPC Rule provision.
R. 7.1.7.3	7.1.7.3		R. 8306. Term of appointment	8306	(3)	No equivalent section (NES)		NES	R. 8306. Term of appointment	8306	(3)	Adopt existing IDPC Rule provision.
R. 7.1.8.1	7.1.8.1	(a)	R. 8307. Removal	8307	(1)(i)	No equivalent section (NES)		NES	R. 8307. Removal	8307	(1)(i)	Adopt existing IDPC Rule provision with reference to industry members.
R. 7.1.8.1	7.1.8.1	(b)	R. 8307. Removal	8307	(1)(ii)	No equivalent section (NES)		NES	R. 8307. Removal	8307	(1)(ii)	Adopt existing IDPC Rule provision.
R. 7.1.8.1	7.1.8.1	(c)	R. 8307. Removal	8307	(1)(iii)	No equivalent section (NES)		NES	R. 8307. Removal	8307	(1)(iii)	Adopt existing IDPC Rule provision.
R. 7.1.8.1	7.1.8.1	(d)	R. 8307. Removal	8307	(1)(iv)	No equivalent section (NES)		NES	R. 8307. Removal	8307	(1)(iv)	Adopt existing IDPC Rule provision with plain language modification.
R. 7.1.8.2	7.1.8.2		R. 8307. Removal	8307	(2)	No equivalent section (NES)		NES	R. 8307. Removal	8307	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8308. - 8399. Reserved.	8308 - 8399		No equivalent section (NES)		NES	R. 8308. - 8399. Reserved.	8308 - 8399		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8401. Introduction	8401	(1)	No equivalent section (NES)		NES	R. 8401. Introduction	8401	(1)	Adopt existing IDPC Rule provision.
ROP. 1.1 Application of these Rules	ROP. 1.1		R. 8401. Introduction	8401	(2) Part A	No equivalent section (NES)		NES	R. 8401. Introduction	8401	(2) Part A	Adopt existing IDPC Rule provision.
ROP. 1.1 Application of these Rules	ROP. 1.1		R. 8401. Introduction	8401	(2) Part B	No equivalent section (NES)		NES	R. 8401. Introduction	8401	(2) Part B	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
ROP. 1.1 Application of these Rules	ROP. 1.1		R. 8401. Introduction	8401	(2) Part C	No equivalent section (NES)		NES	R. 8401. Introduction	8401	(2) Part C	Adopt existing IDPC Rule provision.
ROP. 1.1 Application of these Rules	ROP. 1.1		R. 8401. Introduction	8401	(2) Part D	No equivalent section (NES)		NES	R. 8401. Introduction	8401	(2) Part D	Adopt existing IDPC Rule provision.
ROP. 1.2 Definitions	ROP. 1.2	"appeal"	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	Repeal former MFD definition which is not required.
ROP. 1.2 Definitions	ROP. 1.2	"Appeal Panel"	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	Repeal former MFD definition which is not required.
ROP. 1.2 Definitions	ROP. 1.2	"Appellant"	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	Repeal former MFD definition which is not required.
ROP. 1.2 Definitions	ROP. 1.2	"Applicant"	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	Repeal former MFD definition which is not required.
No equivalent section (NES)	NES		R. 8402. Definitions	8402	(1) "application"	No equivalent section (NES)		NES	R. 8402. Definitions	8402	(1) "application"	Adopt existing IDPC defined term.
No equivalent section (NES)	NES		R. 8402. Definitions	8402	(1) "commencing notice"	No equivalent section (NES)		NES	R. 8402. Definitions	8402	(1) "commencing notice"	Adopt existing IDPC defined term.
No equivalent section (NES)	NES		R. 8402. Definitions	8402	(1) "decision"	No equivalent section (NES)		NES	R. 8402. Definitions	8402	(1) "decision"	Adopt existing IDPC defined term.
ROP. 1.2 Definitions	ROP. 1.2	"document"	R. 8402. Definitions	8402	(1) "document"	No equivalent section (NES)		NES	R. 8402. Definitions	8402	(1) "decision"	Merged existing IDPC and MFD defined terms as they are consistent.
ROP. 1.2 Definitions	ROP. 1.2	"electronic hearing"	R. 8402. Definitions	8402	(1) "electronic hearing"	No equivalent section (NES)		NES	No equivalent section (NES)		NES	To modernize, remove definition of "electronic hearing" and accommodate it in definition of "oral hearing".
No equivalent section (NES)	NES		R. 8402. Definitions	8402	(1) "file"	No equivalent section (NES)		NES	R. 8402. Definitions	8402	(1) "file"	Adopt existing IDPC defined term.
ROP. 1.2 Definitions	ROP. 1.2	"oral hearing"	R. 8402. Definitions	8402	(1) "oral hearing"	No equivalent section (NES)		NES	R. 8402. Definitions	8402	(1) "oral hearing"	Adopt existing IDPC defined term with modification to incorporate electronic hearings.
No equivalent section (NES)	NES		R. 8402. Definitions	8402	(1) "prehearing conference"	No equivalent section (NES)		NES	R. 8402. Definitions	8402	(1) "prehearing conference"	Adopt existing IDPC defined term.
ROP. 1.2 Definitions	ROP. 1.2	"Pre-hearing Conference Officer"	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	Repeal former MFD definition which is not required.
ROP. 1.2 Definitions	ROP. 1.2	"proceeding"	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	Repeal former MFD definition which is not required.
No equivalent section (NES)	NES		R. 8402. Definitions	8402	(1) "regulatory decision"	No equivalent section (NES)		NES	R. 8402. Definitions	8402	(1) "regulatory decision"	Adopt existing IDPC defined term.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
ROP. 1.2 Definitions	ROP. 1.2	"Requesting Party"	R. 8402. Definitions	8402	(1) "requesting party"	No equivalent section (NES)		NES	R. 8402. Definitions	8402	(1) "requesting party"	Adopt existing IDPC defined term.
ROP. 1.2 Definitions	ROP. 1.2	"Responding Party"	R. 8402. Definitions	8402	(1) "responding party"	No equivalent section (NES)		NES	R. 8402. Definitions	8402	(1) "responding party"	Adopt existing IDPC defined term.
ROP. 1.2 Definitions	ROP. 1.2	"Requesting Party"	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	Repeal former MFD Rules definition which is not required.
ROP. 1.2 Definitions	ROP. 1.2	"Secretary"	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	Repeal former MFD definition which is not required.
ROP. 1.2 Definitions	ROP. 1.2	"written hearing"	R. 8402. Definitions	8402	(1) "written hearing"	No equivalent section (NES)		NES	R. 8402. Definitions	8402	(1) "written hearing"	Adopt existing IDPC defined term.
ROP. 1.3 General principles	ROP. 1.3	(1)	R. 8403. General principles	8403	(1)	No equivalent section (NES)		NES	R. 8403. General principles	8403	(1)	Adopt existing IDPC Rule provision.
ROP. 1.7 Defect or Irregularity in Form	ROP. 1.7	(1)	R. 8403. General principles	8403	(2)	No equivalent section (NES)		NES	R. 8403. General principles	8403	(2)	Merged existing IDPC and MFD Rules.
ROP. 1.5 General Powers of a Panel	ROP. 1.5	(c)	R. 8403. General principles	8403	(3)(i)	No equivalent section (NES)		NES	R. 8403. General principles	8403	(3)(i)	Adopt existing IDPC Rule provision.
ROP. 1.5 General Powers of a Panel	ROP. 1.5		R. 8403. General principles	8403	(3)(ii)	No equivalent section (NES)		NES	R. 8403. General principles	8403	(3)(ii)	Adopt existing IDPC Rule provision.
ROP. 1.5 General Powers of a Panel	ROP. 1.5		R. 8403. General principles	8403	(3)(iii)	No equivalent section (NES)		NES	R. 8403. General principles	8403	(3)(iii)	Adopt existing IDPC Rule provision.
ROP. 1.5 General Powers of a Panel	ROP. 1.5	(b)	R. 8403. General principles	8403	(3)(iv)	No equivalent section (NES)		NES	R. 8403. General principles	8403	(3)(iv)	Adopt existing IDPC Rule provision.
ROP. 1.5 General Powers of a Panel	ROP. 1.5		R. 8403. General principles	8403	(3)(v)	No equivalent section (NES)		NES	R. 8403. General principles	8403	(3)(v)	Adopt existing IDPC Rule provision.
ROP. 1.5 General Powers of a Panel	ROP. 1.5		R. 8403. General principles	8403	(3)(vi)	No equivalent section (NES)		NES	R. 8403. General principles	8403	(3)(vi)	Adopt existing IDPC Rule provision.
ROP. 1.3 General Principles	ROP. 1.3	(2)	R. 8403. General principles	8403	(4)	No equivalent section (NES)		NES	R. 8403. General principles	8403	(4)	Adopt existing IDPC Rule provision.
ROP. 1.4 Conflicts	ROP. 1.4	(1)	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	Repeal former MFD provision which is not required.
ROP. 2.1 Computation of Time	ROP. 2.1	(1)(a)	R. 8404. Time	8404	(1)(i)	No equivalent section (NES)		NES	R. 8404. Time	8404	(1)(i)	Adopt existing IDPC Rule provision with addition of application to hearing panel orders.
ROP. 2.1 Computation of Time	ROP. 2.1	(1)(b)	R. 8404. Time	8404	(1)(ii)	No equivalent section (NES)		NES	R. 8404. Time	8404	(1)(ii)	Adopt existing IDPC Rule provision with addition of application to hearing panel orders.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
ROP. 2.1 Computation of Time	ROP. 2.1	(1)(c)	R. 8404. Time	8404	(1)(iii)	No equivalent section (NES)		NES	R. 8404. Time	8404	(1)(iii)	Adopt existing IDPC Rule provision with addition of application to hearing panel orders.
ROP. 2.1 Computation of Time	ROP. 2.1	(1)(d)	R. 8404. Time	8404	(1)(iv)	No equivalent section (NES)		NES	R. 8404. Time	8404	(1)(iv)	Modify existing IDPC Rule provision to a 5PM deadline as it is consistent with the MFD Rules.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8404. Time	8404	(1)(v)	Adopt provision regarding filing or serving a document on a day that is not a business day.
ROP. 2.2 Extension or Abridgement of Time	ROP. 2.2	(b)	R. 8404. Time	8404	(2)(i)	No equivalent section (NES)		NES	R. 8404. Time	8404	(2)(i)	Adopt existing IDPC Rule provision.
ROP. 2.2 Extension or Abridgement of Time	ROP. 2.2	(a)	R. 8404. Time	8404	(2)(ii)	No equivalent section (NES)		NES	R. 8404. Time	8404	(2)(ii)	Adopt existing IDPC Rule provision.
ROP. 3.1 Representation before a Panel	ROP. 3.1		R. 8405. Appearance and representation	8405	(1)	No equivalent section (NES)		NES	R. 8405. Appearance and representation	8405	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8405. Appearance and representation	8405	(2)	No equivalent section (NES)		NES	R. 8405. Appearance and representation	8405	(2)	Adopt existing IDPC Rule provision with modification to remove reference to facsimiles.
No equivalent section (NES)	NES		R. 8405. Appearance and representation	8405	(3)	No equivalent section (NES)		NES	R. 8405. Appearance and representation	8405	(3)	Adopt existing IDPC Rule provision with modification to remove reference to facsimiles.
ROP. 3.2 Change in Representation	ROP. 3.2	(1)	R. 8405. Appearance and representation	8405	(4)(i)	No equivalent section (NES)		NES	R. 8405. Appearance and representation	8405	(4)(i)	Adopt existing IDPC Rule provision.
ROP. 3.2 Change in Representation	ROP. 3.2	(3)	R. 8405. Appearance and representation	8405	(4)(ii)	No equivalent section (NES)		NES	R. 8405. Appearance and representation	8405	(4)(ii)	Adopt existing IDPC Rule provision.
ROP. 3.2 Change in Representation	ROP. 3.2	(2)	R. 8405. Appearance and representation	8405	(5)	No equivalent section (NES)		NES	R. 8405. Appearance and representation	8405	(5)	Adopt existing IDPC Rule provision.
ROP. 3.2 Change in Representation	ROP. 3.2	(4)	R. 8405. Appearance and representation	8405	(6)	No equivalent section (NES)		NES	R. 8405. Appearance and representation	8405	(6)	Adopt existing IDPC Rule provision.
ROP. 3.2 Change in Representation	ROP. 3.2	(4)	R. 8405. Appearance and representation	8405	(7)	No equivalent section (NES)		NES	R. 8405. Appearance and representation	8405	(7)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8405. Appearance and representation	8405	(8)(i)	No equivalent section (NES)		NES	R. 8405. Appearance and representation	8405	(8)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8405. Appearance and representation	8405	(8)(ii)	No equivalent section (NES)		NES	R. 8405. Appearance and representation	8405	(8)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8405. Appearance and representation	8405	(8)(iii)	No equivalent section (NES)		NES	R. 8405. Appearance and representation	8405	(8)(iii)	Adopt existing IDPC Rule provision.
ROP. 4.1 Parties to be Served	ROP. 4.1	(1)	R. 8406. Service and filing	8406	(1)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(1)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
R. 7.3.1.3 Notice to Members in the Case of an Individual	7.3.1.3		R. 8406. Service and filing	8406	(2)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(2)	Adopt existing IDPC Rule provision.
ROP. 7.1 Notice of Hearing	ROP. 7.1	(3)	R. 8406. Service and filing	8406	(2)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(2)	Adopt existing IDPC Rule provision.
ROP. 4.3 Manner of Service - Other Documents	ROP. 4.3	(1)(a)	R. 8406. Service and filing	8406	(3)(i)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(3)(i)	Adopt existing IDPC Rule provision.
ROP. 4.3 Manner of Service - Other Documents	ROP. 4.3	(1)(a)	R. 8406. Service and filing	8406	(3)(ii)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(3)(ii)	Adopt existing IDPC Rule provision.
ROP. 4.3 Manner of Service - Other Documents	ROP. 4.3	(1)(a)	R. 8406. Service and filing	8406	(3)(iii)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(3)(iii)	Adopt existing IDPC Rule provision.
ROP. 4.3 Manner of Service - Other Documents	ROP. 4.3	(1)(a)	R. 8406. Service and filing	8406	(3)(iv)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(3)(iv)	Adopt existing IDPC Rule provision.
ROP. 4.3 Manner of Service - Other Documents	ROP. 4.3	(1)(a)	R. 8406. Service and filing	8406	(3)(v)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(3)(v)	Adopt existing IDPC Rule provision.
ROP. 4.3 Manner of Service - Other Documents	ROP. 4.3	(1)(b)	R. 8406. Service and filing	8406	(3)(vi)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(3)(vi)	Adopt existing IDPC Rule provision.
ROP. 4.3 Manner of Service - Other Documents	ROP. 4.3	(1)(c); (1)(d)	R. 8406. Service and filing	8406	(3)(vii)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(3)(vii)	Adopt existing IDPC Rule provision with a plain language modification.
ROP. 4.3 Manner of Service - Other Documents	ROP. 4.3	(1)(e)	R. 8406. Service and filing	8406	(3)(viii)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(3)(viii)	Adopt existing IDPC Rule provision with added reference to a party's consent as per MFD Rules.
ROP. 4.2 Manner of Service - Notice of Hearing	ROP. 4.2	(1)(a)	R. 8406. Service and filing	8406	(4)(i)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(4)(i)	Adopt existing IDPC Rule provision.
ROP. 4.2 Manner of Service - Notice of Hearing	ROP. 4.2	(1)(b)	R. 8406. Service and filing	8406	(4)(ii)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(4)(ii)	Adopt existing IDPC Rule provision.
ROP. 4.2 Manner of Service - Notice of Hearing	ROP. 4.3	(1)(b)	No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8406. Service and filing	8407	(4)(iii)	Adopt existing MFD ROP provision.
ROP. 4.2 Manner of Service - Notice of Hearing	ROP. 4.2	(1)(c)	R. 8406. Service and filing	8406	(4)(iii)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(4)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8406. Service and filing	8407	(4)(v)	Adopt provision to allow for electronic transmission.
ROP. 4.2 Manner of Service - Notice of Hearing	ROP. 4.2	(1)(d)	R. 8406. Service and filing	8406	(4)(iv)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(4)(vi)	Adopt existing IDPC Rule provision.
ROP. 4.2 Manner of Service - Notice of Hearing	ROP. 4.2	(1)(d)	R. 8406. Service and filing	8406	(4)(v)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(4)(vii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
ROP. 4.4 Effective Date of Service	ROP. 4.4	(1)(a)	R. 8406. Service and filing	8406	(5)(i)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(5)(i)	Adopt existing IDPC Rule provision.
ROP. 4.4 Effective Date of Service	ROP. 4.4	(1)(b)	R. 8406. Service and filing	8406	(5)(ii)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(5)(ii)	Adopt existing IDPC Rule provision.
ROP. 4.4 Effective Date of Service	ROP. 4.4	(1)(d)	R. 8406. Service and filing	8406	(5)(iii)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(5)(iii)	Adopt existing IDPC Rule provision.
ROP. 4.4 Effective Date of Service	ROP. 4.4	(1)(c)	R. 8406. Service and filing	8406	(5)(iv)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(5)(iv)	Adopt existing IDPC Rule provision.
ROP. 4.8 Order for Substituted Service or Waiver of Service	ROP. 4.8	(1)	R. 8406. Service and filing	8406	(5)(v)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(5)(v)	Adopt existing IDPC Rule provision.
ROP. 4.4 Effective Date of Service	ROP. 4.4	(2)	No equivalent section (NES)		NES	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(6)	Adopt existing MFD ROP provision.
ROP. 4.4 Effective Date of Service	ROP. 4.4	(3)	No equivalent section (NES)		NES	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(7)	Adopt existing MFD ROP provision.
ROP. 4.5 Proof of Service	ROP. 4.5	(1)	R. 8406. Service and filing	8406	(6)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(8)	Adopt existing IDPC Rule provision.
ROP. 4.6 Filing	ROP. 4.6	(1)	R. 8406. Service and filing	8406	(7)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(9)(i)	Adopt existing IDPC Rule provision with removal of reference to facsimile transmission.
ROP. 4.6 Filing	ROP. 4.7	(1)	R. 8406. Service and filing	8406	(7)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(9)(ii)	Add provision allowing filing by electronic transmission.
ROP. 4.3 Manner of Service - Other Documents	ROP. 4.3	(2)	R. 8406. Service and filing	8406	(8)(i)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(10)	Modify provision to allow Hearing Office to require filing by certain means.
ROP. 4.3 Manner of Service - Other Documents	ROP. 4.3	(2)	R. 8406. Service and filing	8406	(8)(ii)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(10)	Modify provision to allow Hearing Office to require filing by certain means.
ROP. 4.7 Required Information - Service and Filing	ROP. 4.7	(1)(c)	R. 8406. Service and filing	8406	(9)(i)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(11)(i)	Adopt existing IDPC Rule provision with plain language modification.
ROP. 4.7 Required Information - Service and Filing	ROP. 4.7	(1)(c)	R. 8406. Service and filing	8406	(9)(ii)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(11)(ii)	Adopt existing IDPC Rule provision with plain language modification.
ROP. 4.7 Required Information - Service and Filing	ROP. 4.7	(1)(a)	R. 8406. Service and filing	8406	(9)(iii)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(11)(iii)	Adopt existing IDPC Rule provision.
ROP. 4.7 Required Information - Service and Filing	ROP. 4.7	(1)(b)	R. 8406. Service and filing	8406	(9)(iv)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(11)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8406. Service and filing	8406	(10)	No equivalent section (NES)		NES	R. 8406. Service and filing	8406	(12)	Adopt existing IDPC Rule provision with plain language modification.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 8406. Service and filing	8406	(13)	Add provision allowing a hearing panel to waive or validate service.
No equivalent section (NES)	NES		R. 8407. National Hearing Officer	8407	(1)(i)	No equivalent section (NES)	NES		R. 8407. Hearing Office	8407	(1)(i)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8407. National Hearing Officer	8407	(1)(ii)	No equivalent section (NES)	NES		R. 8407. Hearing Office	8407	(1)(ii)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8407. National Hearing Officer	8407	(1)(iii)	No equivalent section (NES)	NES		R. 8407. Hearing Office	8407	(1)(iii)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8407. National Hearing Officer	8407	(1)(iv)	No equivalent section (NES)	NES		R. 8407. Hearing Office	8407	(1)(iv)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8407. National Hearing Officer	8407	(1)(v)	No equivalent section (NES)	NES		R. 8407. Hearing Office	8407	(1)(v)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8407. National Hearing Officer	8407	(1)(vi)	No equivalent section (NES)	NES		R. 8407. Hearing Office	8407	(1)(vi)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8407. National Hearing Officer	8407	(1)(vii)	No equivalent section (NES)	NES		R. 8407. Hearing Office	8407	(1)(vii)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8407. National Hearing Officer	8407	(2)	No equivalent section (NES)	NES		R. 8407. Hearing Office	8407	(2)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8407. National Hearing Officer	8407	(3)	No equivalent section (NES)	NES		R. 8407. Hearing Office	8407	(3)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8407. National Hearing Officer	8407	(4)	No equivalent section (NES)	NES		R. 8407. Hearing Office	8407	(4)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8407. National Hearing Officer	8407	(5)	No equivalent section (NES)	NES		R. 8407. Hearing Office	8407	(5)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8407. National Hearing Officer	8407	(6)	No equivalent section (NES)	NES		R. 8407. Hearing Office	8407	(6)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
R. 7.2 Hearing Panels	7.2		R. 8408. Hearing panels	8408	(1)	No equivalent section (NES)	NES		R. 8408. Hearing panels	8408	(1)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
R. 7.2 Hearing Panels	7.2		R. 8408. Hearing panels	8408	(2)	No equivalent section (NES)	NES		R. 8408. Hearing panels	8408	(2)	Adopt existing IDPC Rule provision with addition that the consultation be with a chair of a hearing committee in the relevant district.
R. 7.2 Hearing Panels	7.2		R. 8408. Hearing panels	8408	(3)	No equivalent section (NES)	NES		R. 8408. Hearing panels	8408	(3)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
R. 7.2 Hearing Panels	7.2		R. 8408. Hearing panels	8408	(4)	No equivalent section (NES)	NES		R. 8408. Hearing panels	8408	(4)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
R. 7.2.1 Cross-Appointments of Members of Hearing Panels	7.2.1		R. 8408. Hearing panels	8408	(5)	No equivalent section (NES)	NES		R. 8408. Hearing panels	8408	(5)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
R. 7.2.4	7.2.4		R. 8408. Hearing panels	8408	(6)	No equivalent section (NES)	NES		R. 8408. Hearing panels	8408	(6)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
ROP. 9.2 Pre-Hearing Conference Officer	9.2	(1)	R. 8408. Hearing panels	8408	(6)	No equivalent section (NES)	NES		R. 8408. Hearing panels	8408	(6)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
R. 7.2.3 Procedures Regarding Hearing Panels	7.2.3		R. 8408. Hearing panels	8408	(7)(i)	No equivalent section (NES)	NES		R. 8408. Hearing panels	8408	(7)(i)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
R. 7.2.3 Procedures Regarding Hearing Panels	7.2.3		R. 8408. Hearing panels	8408	(7)(ii)	No equivalent section (NES)	NES		R. 8408. Hearing panels	8408	(7)(ii)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
R. 7.2.3 Procedures Regarding Hearing Panels	7.2.3		R. 8408. Hearing panels	8408	(7)(iii)	No equivalent section (NES)	NES		R. 8408. Hearing panels	8408	(7)(iii)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
R. 7.2.3 Procedures Regarding Hearing Panels	7.2.3		R. 8408. Hearing panels	8408	(7)(iv)	No equivalent section (NES)	NES		R. 8408. Hearing panels	8408	(7)(iv)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
R. 7.2.3 Procedures Regarding Hearing Panels	7.2.3		R. 8408. Hearing panels	8408	(8)	No equivalent section (NES)	NES		R. 8408. Hearing panels	8408	(8)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
ROP. 9.2 Pre-Hearing Conference Officer	9.2	(2)	R. 8408. Hearing panels	8408	(9)	No equivalent section (NES)	NES		R. 8408. Hearing panels	8408	(9)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
R. 7.2.3 Procedures Regarding Hearing Panels	7.2.3		R. 8408. Hearing panels	8408	(10)	No equivalent section (NES)	NES		R. 8408. Hearing panels	8408	(10)	Adopt existing IDPC Rule provision.
R. 7.2.3 Procedures Regarding Hearing Panels	7.2.3		R. 8408. Hearing panels	8408	(11)	No equivalent section (NES)	NES		R. 8408. Hearing panels	8408	(11)	Adopt existing IDPC Rule provision.
ROP. 5.1 When Electronic Hearings may be Held	5.1	(1)	R. 8409. Form of hearings	8409	(1)	No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(1)	Adopt existing IDPC Rule provision with modification to remove distinction between electronic and oral hearings.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(2)(i)	Adopt new provision to allow an oral hearing to be conducted virtually, in person, or in a hybrid form.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(2)(ii)	Adopt new provision to allow an oral hearing to be conducted virtually, in person, or in a hybrid form.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(3)	Adopt new provision to allow hearing panel to determine the virtual or in person form.
ROP. 6.9 Motions on Consent	ROP. 6.9	(1)	R. 8409. Form of hearings	8409	(2)(i)	No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(4)(i)	Adopt existing IDPC Rule provision.
ROP. 6.9 Motions on Consent	ROP. 6.9	(1)	R. 8409. Form of hearings	8409	(2)(ii)	No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(4)(ii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
ROP. 6.9 Motions on Consent	ROP. 6.9	(1)	R. 8409. Form of hearings	8409	(2)(iii)	No equivalent section (NES)		NES	R. 8409. Form of hearings	8409	(4)(iii)	Adopt existing IDPC Rule provision.
ROP. 5.1 When Electronic Hearings may be Held	5.1	(3)	R. 8409. Form of hearings	8409	(3)(i)	No equivalent section (NES)		NES	R. 8409. Form of hearings	8409	(5)(i)	Adopt existing IDPC Rule provision with modification to remove distinction between electronic and oral hearings.
ROP. 5.1 When Electronic Hearings may be Held	5.1	(3)	R. 8409. Form of hearings	8409	(3)(ii)	No equivalent section (NES)		NES	R. 8409. Form of hearings	8409	(5)(ii)	Adopt existing IDPC Rule provision with modification to remove distinction between electronic and oral hearings.
ROP. 5.1 When Electronic Hearings may be Held	5.1	(3)	R. 8409. Form of hearings	8409	(3)(iii)	No equivalent section (NES)		NES	R. 8409. Form of hearings	8409	(5)(iii)	Adopt existing IDPC Rule provision with modification to remove distinction between electronic and oral hearings.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8409. Form of hearings	8409	(5)(iv)	Adopt new provision allowing hearing panels to consider delays caused by proceeding virtually to in person.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8409. Form of hearings	8409	(5)(v)	Adopt new provision allowing hearing panels to consider efficacy of examination or cross-examination of witnesses.
ROP. 5.1 When Electronic Hearings may be Held	5.1	(3)	R. 8409. Form of hearings	8409	(3)(iv)	No equivalent section (NES)		NES	R. 8409. Form of hearings	8409	(5)(vi)	Adopt existing IDPC Rule provision.
ROP. 5.1 When Electronic Hearings may be Held	5.1	(3)	R. 8409. Form of hearings	8409	(3)(v)	No equivalent section (NES)		NES	R. 8409. Form of hearings	8409	(5)(vii)	Adopt existing IDPC Rule provision with addition of reference to accessibility to the parties.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8409. Form of hearings	8409	(5)(viii)	Adopt new provision allowing hearing panels to consider facilitation of participation by vulnerable or disadvantaged individuals.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8409. Form of hearings	8409	(5)(ix)	Adopt new provision allowing hearing panels to consider health and safety considerations, and measures that may be taken to mitigate related risks.
No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(4)	No equivalent section (NES)		NES	R. 8409. Form of hearings	8409	(6)	Adopt existing IDPC Rule provision with modification to remove distinction between electronic and oral hearings.
No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(5)(i)	No equivalent section (NES)		NES	R. 8409. Form of hearings	8409	(7)(i)	Adopt existing IDPC Rule provision with modification to remove distinction between electronic and oral hearings.
No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(5)(ii)	No equivalent section (NES)		NES	R. 8409. Form of hearings	8409	(7)(ii)	Adopt existing IDPC Rule provision with modification to remove distinction between electronic and oral hearings.
No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(6)	No equivalent section (NES)		NES	R. 8409. Form of hearings	8409	(8)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(7)(i)	No equivalent section (NES)		NES	R. 8409. Form of hearings	8409	(9)(i)	Adopt existing IDPC Rule provision with modification to remove distinction between electronic and oral hearings, as well as name change to Hearing Office.
No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(7)(ii)	No equivalent section (NES)		NES	R. 8409. Form of hearings	8409	(9)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(7)(iii)	No equivalent section (NES)		NES	R. 8409. Form of hearings	8409	(9)(iii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(8)	No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(10)	Adopt existing IDPC Rule provision.
ROP. 5.1 When Electronic Hearings may be Held	ROP. 5.1	(2)	R. 8409. Form of hearings	8409	(9)(i)	No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(11)(i)	Adopt existing IDPC Rule provision with modification to remove distinction between electronic and oral hearings, as well as allow parties to make arequest to modify the hearing form.
ROP. 5.1 When Electronic Hearings may be Held	ROP. 5.1	(2)	R. 8409. Form of hearings	8409	(9)(ii)	No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(11)(ii)	Adopt existing IDPC Rule provision with modification to remove distinction between electronic and oral hearings, as well as allow parties to make arequest to modify the hearing form.
ROP. 5.1 When Electronic Hearings may be Held	ROP. 5.1	(2)	R. 8409. Form of hearings	8409	(9)(iii)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		Repeal provision as it is no needed following removal of the distinction between electronic and oral hearings.
ROP. 5.1 When Electronic Hearings may be Held	ROP. 5.1	(4)	R. 8409. Form of hearings	8409	(10)(i)	No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(12)(i)	Adopt existing IDPC Rule provision with modification to remove distinction between electronic and oral hearings.
ROP. 5.1 When Electronic Hearings may be Held	ROP. 5.1	(4)	R. 8409. Form of hearings	8409	(10)(ii)	No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(12)(ii)	Adopt existing IDPC Rule provision with modification to remove distinction between electronic and oral hearings.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 8409. Form of hearings	8409	(13)	Adopt new provision requiring parties to be prepared for hearing form changes.
R. 7.3.7 Reasons	7.3.7		R. 8410. Hearing panel decisions	8410	(1)	No equivalent section (NES)	NES		R. 8410. Hearing panel decisions	8410	(1)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
R. 7.4.5.1 Notice Requirements; R. 7.4.5.2 Content of Notice; R. 7.4.5.3 Method of Giving Notice	7.4.5.1; 7.4.5.2; 7.4.5.3		R. 8410. Hearing panel decisions	8410	(2)(i)	No equivalent section (NES)	NES		R. 8410. Hearing panel decisions	8410	(2)(i)	Adopt existing IDPC Rule provision.
R. 7.4.5.1 Notice Requirements; R. 7.4.5.2 Content of Notice; R. 7.4.5.3 Method of Giving Notice	7.4.5.1; 7.4.5.2; 7.4.5.3		R. 8410. Hearing panel decisions	8410	(2)(ii)	No equivalent section (NES)	NES		R. 8410. Hearing panel decisions	8410	(2)(ii)	Adopt existing IDPC Rule provision.
R. 7.4.5.1 Notice Requirements; R. 7.4.5.2 Content of Notice; R. 7.4.5.3 Method of Giving Notice	7.4.5.1; 7.4.5.2; 7.4.5.3		R. 8410. Hearing panel decisions	8410	(2)(iii)	No equivalent section (NES)	NES		R. 8410. Hearing panel decisions	8410	(2)(iii)	Adopt existing IDPC Rule provision.
R. 7.4.5.1 Notice Requirements; R. 7.4.5.2 Content of Notice; R. 7.4.5.3 Method of Giving Notice	7.4.5.1; 7.4.5.2; 7.4.5.3		R. 8410. Hearing panel decisions	8410	(2)(iv)	No equivalent section (NES)	NES		R. 8410. Hearing panel decisions	8410	(2)(iv)	Adopt existing IDPC Rule provision.
ROP. 15.2 Notice and Public Access	ROP. 15.2	(4)	R. 8410. Hearing panel decisions	8410	(3)	No equivalent section (NES)	NES		R. 8410. Hearing panel decisions	8410	(3)	Adopt existing IDPC Rule provision.
ROP. 17.1 Contents of the Appeal Record	ROP. 17.1	(1)(e)-(1)(g)	R. 8410. Hearing panel decisions	8410	(4)	No equivalent section (NES)	NES		R. 8410. Hearing panel decisions	8410	(4)	Adopt existing IDPC Rule provision.
ROP. 15.2 Notice and Public Access	ROP. 15.2	(3)	R. 8410. Hearing panel decisions	8410	(5)	No equivalent section (NES)	NES		R. 8410. Hearing panel decisions	8410	(5)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8411. Language of hearings and interpreters	8411	(1)	No equivalent section (NES)	NES		R. 8411. Language of hearings and interpreters	8411	(1)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 8411. Language of hearings and interpreters	8411	(2)	No equivalent section (NES)	NES		R. 8411. Language of hearings and interpreters	8411	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8411. Language of hearings and interpreters	8411	(3)	No equivalent section (NES)	NES		R. 8411. Language of hearings and interpreters	8411	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8411. Language of hearings and interpreters	8411	(4)	No equivalent section (NES)	NES		R. 8411. Language of hearings and interpreters	8411	(4)	Adopt existing IDPC Rule provision with clarification regarding French hearings outside of Quebec and modification of name to Hearing Office.
ROP. 13.7 Interpreters	ROP. 13.7	(1)-(2)	R. 8411. Language of hearings and interpreters	8411	(5)	No equivalent section (NES)	NES		R. 8411. Language of hearings and interpreters	8411	(5)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
ROP. 13.7 Interpreters	ROP. 13.7	(3)	R. 8411. Language of hearings and interpreters	8411	(6)	No equivalent section (NES)	NES		R. 8411. Language of hearings and interpreters	8411	(6)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 8411. Language of hearings and interpreters	8411	(7)	Adopt new provision requiring a party to pay for interpreters in a language other than English or French if so required.
ROP. 7.1 Notice of Hearing	ROP. 7.1	(1)	R. 8412. Commencement and abandonment of proceedings	8412	(1)	No equivalent section (NES)	NES		R. 8412. Commencement and abandonment of proceedings	8412	(1)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
ROP. 7.1 Notice of Hearing	ROP. 7.1	(1)	R. 8412. Commencement and abandonment of proceedings	8412	(2)(i)	No equivalent section (NES)	NES		R. 8412. Commencement and abandonment of proceedings	8412	(2)(i)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
ROP. 7.1 Notice of Hearing	ROP. 7.1	(1)	R. 8412. Commencement and abandonment of proceedings	8412	(2)(ii)	No equivalent section (NES)	NES		R. 8412. Commencement and abandonment of proceedings	8412	(2)(ii)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
ROP. 7.1 Notice of Hearing	ROP. 7.1	(1)	R. 8412. Commencement and abandonment of proceedings	8412	(2)(iii)	No equivalent section (NES)	NES		R. 8412. Commencement and abandonment of proceedings	8412	(2)(iii)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
ROP. 7.1 Notice of Hearing	ROP. 7.1	(1)	R. 8412. Commencement and abandonment of proceedings	8412	(2)(iv)	No equivalent section (NES)	NES		R. 8412. Commencement and abandonment of proceedings	8412	(2)(iv)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
ROP. 7.1 Notice of Hearing	ROP. 7.1	(1)	R. 8412. Commencement and abandonment of proceedings	8412	(2)(v)	No equivalent section (NES)	NES		R. 8412. Commencement and abandonment of proceedings	8412	(2)(v)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
R. 7.3.1.2 Notice Addressed to Corporation	7.3.1.2		R. 8412. Commencement and abandonment of proceedings	8412	(3)	No equivalent section (NES)	NES		R. 8412. Commencement and abandonment of proceedings	8412	(3)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8412. Commencement and abandonment of proceedings	8412	(4)	No equivalent section (NES)	NES		R. 8412. Commencement and abandonment of proceedings	8412	(4)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8412. Commencement and abandonment of proceedings	8412	(5)	No equivalent section (NES)	NES		R. 8412. Commencement and abandonment of proceedings	8412	(5)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
R. 7.3.1.4 Publication of Notices; R. 7.4.5.3 Method of Giving Notice	7.3.1.4; 7.4.5.3		R. 8412. Commencement and abandonment of proceedings	8412	(6)	No equivalent section (NES)	NES		R. 8412. Commencement and abandonment of proceedings	8412	(6)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8412. Commencement and abandonment of proceedings	8412	(7)	No equivalent section (NES)	NES		R. 8412. Commencement and abandonment of proceedings	8412	(7)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 8412. Commencement and abandonment of proceedings	8412	(8)	No equivalent section (NES)	NES		R. 8412. Commencement and abandonment of proceedings	8412	(8)	Adopt existing IDPC Rule provision.
ROP. 6.1 Bringing a Motion	ROP. 6.1	(2)	R. 8413. Motions	8413	(1)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(1)	Adopt existing IDPC Rule provision.
ROP. 6.1 Bringing a Motion	ROP. 6.1	(1)	R. 8413. Motions	8413	(2)(i)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(2)(i)	Adopt existing IDPC Rule provision.
ROP. 6.1 Bringing a Motion	ROP. 6.1	(1)	R. 8413. Motions	8413	(2)(ii)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 8413. Motions	8413	(3)	Adopt new provision requiring a party bringing a motion to obtain a date for the motion.
ROP. 6.2 Date for the Hearing of a Motion; ROP. 6.5 Requirements to Serve and File a Motion Record	ROP. 6.2; ROP. 6.5		R. 8413. Motions	8413	(3)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(4)	Adopt existing IDPC Rule provision.
ROP. 6.1 Bringing a Motion	ROP. 6.1	(2)	R. 8413. Motions	8413	(4)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(5)	Adopt existing IDPC Rule provision.
ROP. 6.4 Contents of Notice of Motion	ROP. 6.4	(1)(a)	R. 8413. Motions	8413	(5)(i)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(6)(i)	Adopt existing IDPC Rule provision.
ROP. 6.4 Contents of Notice of Motion	ROP. 6.4	(1)(c)	R. 8413. Motions	8413	(5)(ii)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(6)(ii)	Adopt existing IDPC Rule provision.
ROP. 6.4 Contents of Notice of Motion	ROP. 6.4	(1)(d)	R. 8413. Motions	8413	(5)(iii)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(6)(iii)	Adopt existing IDPC Rule provision.
ROP. 6.4 Contents of Notice of Motion	ROP. 6.4	(1)(e)	R. 8413. Motions	8413	(5)(iv)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(6)(iv)	Adopt existing IDPC Rule provision.
ROP. 6.4 Contents of Notice of Motion	ROP. 6.4	(1)(b)	R. 8413. Motions	8413	(5)(v)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(6)(v)	Adopt plain language modification given the removal of the distinction between electronic and oral hearings.
ROP. 6.6 Contents of the Motion Record	ROP. 6.6	(1)(a)	R. 8413. Motions	8413	(6)(i)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(7)(i)	Adopt existing IDPC Rule provision.
ROP. 6.6 Contents of the Motion Record	ROP. 6.6	(1)(b)	R. 8413. Motions	8413	(6)(ii)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(7)(ii)	Adopt existing IDPC Rule provision.
ROP. 6.7 Response to a Motion Record	ROP. 6.7	(1)	R. 8413. Motions	8413	(7)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(8)	Adopt existing IDPC Rule provision.
ROP. 6.8 Contents of the Responding Record	ROP. 6.8	(1)(a)	R. 8413. Motions	8413	(8)(i)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(9)(i)	Adopt existing IDPC Rule provision.
ROP. 6.8 Contents of the Responding Record	ROP. 6.8	(1)(b)	R. 8413. Motions	8413	(8)(ii)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(9)(ii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 8413. Motions	8413	(9)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(10)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8413. Motions	8413	(10)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(11)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8413. Motions	8413	(11)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(12)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8413. Motions	8413	(12)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(13)	Adopt existing IDPC Rule provision.
ROP. 6.3 Motions - To Whom to be Made and Form of Motion	ROP. 6.3	(1)	R. 8413. Motions	8413	(13)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(14)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8413. Motions	8413	(14)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(15)	Adopt existing IDPC Rule provision.
ROP. 6.10 Disposition of Motions	ROP. 6.10	(1)(a)	R. 8413. Motions	8413	(15)(i)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(16)(i)	Adopt existing IDPC Rule provision.
ROP. 6.10 Disposition of Motions	ROP. 6.10	(1)(b)	R. 8413. Motions	8413	(15)(ii)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(16)(ii)	Adopt existing IDPC Rule provision.
ROP. 6.10 Disposition of Motions	ROP. 6.10	(1)(c)	R. 8413. Motions	8413	(15)(iii)	No equivalent section (NES)	NES		R. 8413. Motions	8413	(16)(iii)	Adopt existing IDPC Rule provision.
R. 7.3.1.1 Content of Notice	7.3.1.1		R. 8414. Commencement of disciplinary proceedings	8414	(1)	No equivalent section (NES)	NES		R. 8414. Commencement of disciplinary proceedings	8414	(1)	Adopt existing IDPC Rule provision.
ROP. 7.2 Contents of the Notice of Hearing	ROP. 7.2		R. 8414. Commencement of disciplinary proceedings	8414	(1)	No equivalent section (NES)	NES		R. 8414. Commencement of disciplinary proceedings	8414	(1)	Adopt existing IDPC Rule provision.
R. 7.3.1.1 Content of Notice	7.3.1.1	(a)	R. 8414. Commencement of disciplinary proceedings	8414	(2)(i)	No equivalent section (NES)	NES		R. 8414. Commencement of disciplinary proceedings	8414	(2)(i)	Adopt existing IDPC Rule provision.
ROP. 7.2 Contents of the Notice of Hearing	ROP. 7.2	(1)(a)	R. 8414. Commencement of disciplinary proceedings	8414	(2)(i)	No equivalent section (NES)	NES		R. 8414. Commencement of disciplinary proceedings	8414	(2)(i)	Adopt existing IDPC Rule provision.
R. 7.3.1.1 Content of Notice	7.3.1.1	(b)	R. 8414. Commencement of disciplinary proceedings	8414	(2)(ii)	No equivalent section (NES)	NES		R. 8414. Commencement of disciplinary proceedings	8414	(2)(ii)	Adopt existing IDPC Rule provision.
ROP. 7.2 Contents of the Notice of Hearing	ROP. 7.2	(1)(b)	R. 8414. Commencement of disciplinary proceedings	8414	(2)(ii)	No equivalent section (NES)	NES		R. 8414. Commencement of disciplinary proceedings	8414	(2)(ii)	Adopt existing IDPC Rule provision.
R. 7.3.1.1 Content of Notice	7.3.1.1	(d)	R. 8414. Commencement of disciplinary proceedings	8414	(2)(iii)	No equivalent section (NES)	NES		R. 8414. Commencement of disciplinary proceedings	8414	(2)(iii)	Adopt existing IDPC Rule provision.
ROP. 7.2 Contents of the Notice of Hearing	ROP. 7.2	(1)(d)	R. 8414. Commencement of disciplinary proceedings	8414	(2)(iii)	No equivalent section (NES)	NES		R. 8414. Commencement of disciplinary proceedings	8414	(2)(iii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
R. 7.3.1.1 Content of Notice	7.3.1.1		R. 8414. Commencement of disciplinary proceedings	8414	(2)(iv)	No equivalent section (NES)		NES	R. 8414. Commencement of disciplinary proceedings	8414	(2)(iv)	Adopt existing IDPC Rule provision.
ROP. 7.2 Contents of the Notice of Hearing	ROP. 7.2		R. 8414. Commencement of disciplinary proceedings	8414	(2)(iv)	No equivalent section (NES)		NES	R. 8414. Commencement of disciplinary proceedings	8414	(2)(iv)	Adopt existing IDPC Rule provision.
R. 7.3.1.1 Content of Notice	7.3.1.1	(e)	R. 8414. Commencement of disciplinary proceedings	8414	(2)(v)	No equivalent section (NES)		NES	R. 8414. Commencement of disciplinary proceedings	8414	(2)(v)	Adopt existing IDPC Rule provision.
ROP. 7.2 Contents of the Notice of Hearing	ROP. 7.2	(1)(f)	R. 8414. Commencement of disciplinary proceedings	8414	(2)(v)	No equivalent section (NES)		NES	R. 8414. Commencement of disciplinary proceedings	8414	(2)(v)	Adopt existing IDPC Rule provision.
R. 7.3.1.1 Content of Notice	7.3.1.1		R. 8414. Commencement of disciplinary proceedings	8414	(2)(vi)	No equivalent section (NES)		NES	R. 8414. Commencement of disciplinary proceedings	8414	(2)(vi)	Adopt existing IDPC Rule provision.
ROP. 7.2 Contents of the Notice of Hearing	ROP. 7.2		R. 8414. Commencement of disciplinary proceedings	8414	(2)(vi)	No equivalent section (NES)		NES	R. 8414. Commencement of disciplinary proceedings	8414	(2)(vi)	Adopt existing IDPC Rule provision with a modification to account for the removal of the term electronic hearing.
R. 7.3.1.1 Content of Notice	7.3.1.1		R. 8414. Commencement of disciplinary proceedings	8414	(2)(vii)	No equivalent section (NES)		NES	R. 8414. Commencement of disciplinary proceedings	8414	(2)(vii)	Adopt existing IDPC Rule provision.
ROP. 7.2 Contents of the Notice of Hearing	ROP. 7.2		R. 8414. Commencement of disciplinary proceedings	8414	(2)(vii)	No equivalent section (NES)		NES	R. 8414. Commencement of disciplinary proceedings	8414	(2)(vii)	Adopt existing IDPC Rule provision.
R. 7.3.1.1 Content of Notice	7.3.1.1		R. 8414. Commencement of disciplinary proceedings	8414	(2)(viii)	No equivalent section (NES)		NES	R. 8414. Commencement of disciplinary proceedings	8414	(2)(viii)	Adopt existing IDPC Rule provision.
ROP. 7.2 Contents of the Notice of Hearing	ROP. 7.2		R. 8414. Commencement of disciplinary proceedings	8414	(2)(viii)	No equivalent section (NES)		NES	R. 8414. Commencement of disciplinary proceedings	8414	(2)(viii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8414. Commencement of disciplinary proceedings	8414	(2)(ix)	Adopt modification including a statement notifying the respondent that they may be self-represented or may be represented by counsel or an agent.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8414. Commencement of disciplinary proceedings	8414	(2)(x)(a)	Adopt modification including a statement notifying the respondent that they can appear and be heard.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8414. Commencement of disciplinary proceedings	8414	(2)(x)(b)	Adopt modification including a statement notifying the respondent that they can call and examine witnesses and present documentary and other evidence.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)		NES	R. 8414. Commencement of disciplinary proceedings	8414	(2)(x)(c)	Adopt modification including a statement notifying the respondent that they can cross-examine witnesses as reasonably required.
ROP. 7.2 Contents of the Notice of Hearing	ROP. 7.2	(1)(i)	R. 8414. Commencement of disciplinary proceedings	8414	(2)(ix)	No equivalent section (NES)		NES	R. 8414. Commencement of disciplinary proceedings	8414	(2)(xi)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8414. Commencement of disciplinary proceedings	8414	(3)(i)	No equivalent section (NES)		NES	R. 8414. Commencement of disciplinary proceedings	8414	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8414. Commencement of disciplinary proceedings	8414	(3)(ii)	No equivalent section (NES)		NES	R. 8414. Commencement of disciplinary proceedings	8414	(3)(ii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 8414. Commencement of disciplinary proceedings	8414	(3)(iii)	No equivalent section (NES)	NES		R. 8414. Commencement of disciplinary proceedings	8414	(3)(iii)	Adopt existing IDPC Rule provision.
ROP. 7.1 Notice of Hearing	ROP. 7.1	(2)	R. 8414. Commencement of disciplinary proceedings	8414	(4)	No equivalent section (NES)	NES		R. 8414. Commencement of disciplinary proceedings	8414	(4)	Adopt existing IDPC Rule provision.
ROP. 8.1 Requirement to Reply	8.1		R. 8415. Response to a notice of hearing	8415	(1)	No equivalent section (NES)	NES		R. 8415. Response to a notice of hearing	8415	(1)	Adopt existing IDPC Rule provision.
R. 7.3.2.2	7.3.2.2		R. 8415. Response to a notice of hearing	8415	(2)(i)	No equivalent section (NES)	NES		R. 8415. Response to a notice of hearing	8415	(2)(i)	Adopt existing IDPC Rule provision.
ROP. 8.2 Contents of Reply	ROP. 8.2	(1)(a)(i)	R. 8415. Response to a notice of hearing	8415	(2)(i)	No equivalent section (NES)	NES		R. 8415. Response to a notice of hearing	8415	(2)(i)	Adopt existing IDPC Rule provision.
R. 7.3.2.1	7.3.2.1		R. 8415. Response to a notice of hearing	8415	(2)(ii)	No equivalent section (NES)	NES		R. 8415. Response to a notice of hearing	8415	(2)(ii)	Adopt existing IDPC Rule provision.
ROP. 8.2 Contents of Reply	ROP. 8.2	(1)(a)(ii); (1)(a)(iii)	R. 8415. Response to a notice of hearing	8415	(2)(ii)	No equivalent section (NES)	NES		R. 8415. Response to a notice of hearing	8415	(2)(ii)	Adopt existing IDPC Rule provision.
ROP. 8.2 Contents of Reply	ROP. 8.2	(1)(b)	R. 8415. Response to a notice of hearing	8415	(2)(iii)	No equivalent section (NES)	NES		R. 8415. Response to a notice of hearing	8415	(2)(iii)	Adopt existing IDPC Rule provision.
ROP. 8.2 Contents of Reply	ROP. 8.2	(2)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		Adopt existing IDPC Rule provision.
R. 7.3.3 Acceptance of Facts and Conclusions	7.3.3		R. 8415. Response to a notice of hearing	8415	(3)	No equivalent section (NES)	NES		R. 8415. Response to a notice of hearing	8415	(3)	Adopt existing IDPC Rule provision.
ROP. 8.3 Acceptance of Facts and Conclusions	8.3	(1)	R. 8415. Response to a notice of hearing	8415	(3)	No equivalent section (NES)	NES		R. 8415. Response to a notice of hearing	8415	(3)	Adopt existing IDPC Rule provision.
R. 7.3.4 Failure to Reply or Attend	7.3.4		R. 8415. Response to a notice of hearing	8415	(4)	No equivalent section (NES)	NES		R. 8415. Response to a notice of hearing	8415	(4)	Adopt existing IDPC Rule provision.
ROP. 7.3 Failure to Attend Hearing; ROP. 8.4 Effect of Failure to Deliver a Proper Reply	ROP. 7.3; ROP. 8.4		R. 8415. Response to a notice of hearing	8415	(4)	No equivalent section (NES)	NES		R. 8415. Response to a notice of hearing	8415	(4)	Adopt existing IDPC Rule provision.
ROP. 9.1 Initiation of a Prehearing Conference	ROP. 9.1		R. 8416. Prehearing conferences	8416	(1)(i)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(1)(i)	Adopt existing IDPC Rule provision.
ROP. 9.1 Initiation of a Prehearing Conference	ROP. 9.1		R. 8416. Prehearing conferences	8416	(1)(ii)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(1)(ii)	Adopt existing IDPC Rule provision.
ROP. 9.1 Initiation of a Prehearing Conference	ROP. 9.1	(3)	R. 8416. Prehearing conferences	8416	(2)(i)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(2)(i)	Adopt existing IDPC Rule provision.
ROP. 9.1 Initiation of a Prehearing Conference	ROP. 9.1	(3)	R. 8416. Prehearing conferences	8416	(2)(i)(a)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(2)(i)(a)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
ROP. 9.1 Initiation of a Prehearing Conference	ROP. 9.1	(3)	R. 8416. Prehearing conferences	8416	(2)(ii)(b)	No equivalent section (NES)		NES	R. 8416. Prehearing conferences	8416	(2)(ii)(b)	Adopt existing IDPC Rule provision.
ROP. 9.1 Initiation of a Prehearing Conference	ROP. 9.1	(3)	R. 8416. Prehearing conferences	8416	(2)(iii)	No equivalent section (NES)		NES	R. 8416. Prehearing conferences	8416	(2)(iii)	Adopt existing IDPC Rule provision.
ROP. 9.3 Form of the Pre-hearing Conference	ROP. 9.3	(3)	R. 8416. Prehearing conferences	8416	(2)(iv)	No equivalent section (NES)		NES	R. 8416. Prehearing conferences	8416	(2)(iv)(a)	Adopt existing IDPC Rule provision with modification to account for modernization of in person and virtual form options.
ROP. 9.3 Form of the Pre-hearing Conference	ROP. 9.3	(3)	R. 8416. Prehearing conferences	8416	(2)(iv)	No equivalent section (NES)		NES	R. 8416. Prehearing conferences	8416	(2)(iv)(b)	Adopt existing IDPC Rule provision.
ROP. 9.1 Initiation of a Prehearing Conference	ROP. 9.1	(3)	R. 8416. Prehearing conferences	8416	(2)(v)	No equivalent section (NES)		NES	R. 8416. Prehearing conferences	8416	(2)(v)	Adopt existing IDPC Rule provision with plain language modification.
ROP. 9.1 Initiation of a Prehearing Conference	ROP. 9.1	(3)	R. 8416. Prehearing conferences	8416	(2)(vi)	No equivalent section (NES)		NES	R. 8416. Prehearing conferences	8416	(2)(vi)	Adopt existing IDPC Rule provision.
ROP. 9.3 Form of the Pre-hearing Conference	ROP. 9.3	(4)	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	Adopt existing IDPC Rule provision.
ROP. 9.3 Form of the Pre-hearing Conference	ROP. 9.3	(5)	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	Adopt existing IDPC Rule provision.
ROP. 9.3 Form of the Pre-hearing Conference	ROP. 9.3	(6)	R. 8416. Prehearing conferences	8416	(3)	No equivalent section (NES)		NES	R. 8416. Prehearing conferences	8416	(3)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(4)	No equivalent section (NES)		NES	R. 8416. Prehearing conferences	8416	(4)	Adopt existing IDPC Rule provision.
ROP. 9.4 Pre-hearing Conference Materials	ROP. 9.4	(1)-(2)	R. 8416. Prehearing conferences	8416	(5)	No equivalent section (NES)		NES	R. 8416. Prehearing conferences	8416	(5)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
ROP. 9.5 Issues to be Considered	ROP. 9.5	(1)(b)	R. 8416. Prehearing conferences	8416	(6)(i)	No equivalent section (NES)		NES	R. 8416. Prehearing conferences	8416	(6)(i)	Adopt existing IDPC Rule provision.
ROP. 9.5 Issues to be Considered	ROP. 9.5	(1)(c)	R. 8416. Prehearing conferences	8416	(6)(ii)	No equivalent section (NES)		NES	R. 8416. Prehearing conferences	8416	(6)(ii)	Adopt existing IDPC Rule provision.
ROP. 9.5 Issues to be Considered	ROP. 9.5	(1)(d)	R. 8416. Prehearing conferences	8416	(6)(iii)	No equivalent section (NES)		NES	R. 8416. Prehearing conferences	8416	(6)(iii)	Adopt existing IDPC Rule provision.
ROP. 9.5 Issues to be Considered	ROP. 9.5	(1)(e)	R. 8416. Prehearing conferences	8416	(6)(iv)	No equivalent section (NES)		NES	R. 8416. Prehearing conferences	8416	(6)(iv)	Adopt existing IDPC Rule provision.
ROP. 9.5 Issues to be Considered	ROP. 9.5	(1)(f)	R. 8416. Prehearing conferences	8416	(6)(v)	No equivalent section (NES)		NES	R. 8416. Prehearing conferences	8416	(6)(v)	Adopt existing IDPC Rule provision.
ROP. 9.5 Issues to be Considered	ROP. 9.5	(1)(g)	R. 8416. Prehearing conferences	8416	(6)(vi)	No equivalent section (NES)		NES	R. 8416. Prehearing conferences	8416	(6)(vi)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
ROP. 9.5 Issues to be Considered	ROP. 9.5	(1)(a)	R. 8416. Prehearing conferences	8416	(6)(vii)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(6)(vii)	Adopt existing IDPC Rule provision.
ROP. 9.5 Issues to be Considered	ROP. 9.5	(1)(i)	R. 8416. Prehearing conferences	8416	(6)(viii)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(6)(viii)	Adopt existing IDPC Rule provision.
ROP. 9.6 Orders, Agreements and Undertakings at a Pre-hearing Conference	ROP. 9.6	(1)	R. 8416. Prehearing conferences	8416	(7)(i)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(7)(i)	Adopt existing IDPC Rule provision.
ROP. 9.6 Orders, Agreements and Undertakings at a Pre-hearing Conference	ROP. 9.6	(1)	R. 8416. Prehearing conferences	8416	(7)(ii)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(7)(ii)	Adopt existing IDPC Rule provision.
ROP. 9.6 Orders, Agreements and Undertakings at a Pre-hearing Conference	ROP. 9.6	(1)	R. 8416. Prehearing conferences	8416	(7)(iii)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(7)(iii)	Adopt existing IDPC Rule provision.
ROP. 9.6 Orders, Agreements and Undertakings at a Pre-hearing Conference	ROP. 9.6	(1)	R. 8416. Prehearing conferences	8416	(7)(iv)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(7)(iv)	Adopt existing IDPC Rule provision.
ROP. 9.6 Orders, Agreements and Undertakings at a Pre-hearing Conference	ROP. 9.6	(1)	R. 8416. Prehearing conferences	8416	(7)(v)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(7)(v)	Adopt existing IDPC Rule provision.
ROP. 9.6 Orders, Agreements and Undertakings at a Pre-hearing Conference	ROP. 9.6	(1)	R. 8416. Prehearing conferences	8416	(7)(vi)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(7)(vi)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
ROP. 9.6 Orders, Agreements and Undertakings at a Pre-hearing Conference	ROP. 9.6	(1)	R. 8416. Prehearing conferences	8416	(7)(vii)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(7)(vii)	Adopt existing IDPC Rule provision.
ROP. 9.6 Orders, Agreements and Undertakings at a Pre-hearing Conference	ROP. 9.6	(1)	R. 8416. Prehearing conferences	8416	(7)(viii)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(7)(viii)	Adopt existing IDPC Rule provision.
ROP. 9.6 Orders, Agreements and Undertakings at a Pre-hearing Conference	ROP. 9.6	(1)	R. 8416. Prehearing conferences	8416	(7)(ix)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(7)(ix)	Adopt existing IDPC Rule provision.
ROP. 9.2 Pre-hearing Conference Officer	ROP. 9.2	(2)-(3)	R. 8416. Prehearing conferences	8416	(8)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(8)	Adopt existing IDPC Rule provision.
ROP. 9.6 Orders, Agreements and Undertakings at a Pre-hearing Conference	ROP. 9.6	(2)	R. 8416. Prehearing conferences	8416	(9)(i)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(9)(i)	Adopt existing IDPC Rule provision.
ROP. 9.6 Orders, Agreements and Undertakings at a Pre-hearing Conference	ROP. 9.6	(2)	R. 8416. Prehearing conferences	8416	(9)(ii)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(9)(ii)	Adopt existing IDPC Rule provision.
ROP. 9.6 Orders, Agreements and Undertakings at a Pre-hearing Conference	ROP. 9.6	(2)	R. 8416. Prehearing conferences	8416	(9)(iii)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(9)(iii)	Adopt existing IDPC Rule provision.
ROP. 9.6 Orders, Agreements and Undertakings at a Pre-hearing Conference	ROP. 9.6	(2)	R. 8416. Prehearing conferences	8416	(9)(iv)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(9)(iv)	Adopt existing IDPC Rule provision.
ROP. 9.6 Orders, Agreements and Undertakings at a Pre-hearing Conference	ROP. 9.6	(3)	R. 8416. Prehearing conferences	8416	(10)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(10)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
ROP. 9.6 Orders, Agreements and Undertakings at a Pre-hearing Conference	ROP. 9.6	(4)	R. 8416. Prehearing conferences	8416	(11)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(11)	Adopt existing IDPC Rule provision.
ROP. 9.8 No Communication to a Panel	ROP. 9.8	(1)-(2)	R. 8416. Prehearing conferences	8416	(12)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(12)	Adopt existing IDPC Rule provision.
ROP. 9.4 Pre-hearing Conference Materials; ROP. 9.7 Pre-Hearing Conference not Public	ROP. 9.4	(3)	R. 8416. Prehearing conferences	8416	(13)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(13)	Adopt existing IDPC Rule provision.
ROP. 9.7 Pre-Hearing Conference not Public	ROP. 9.7	(1)-(3)	R. 8416. Prehearing conferences	8416	(13)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(13)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(14)	No equivalent section (NES)	NES		R. 8416. Prehearing conferences	8416	(14)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8417. Disclosure	8417	(1)	No equivalent section (NES)	NES		R. 8417. Disclosure	8417	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8417. Disclosure	8417	(2)	No equivalent section (NES)	NES		R. 8417. Disclosure	8417	(2)	Adopt existing IDPC Rule provision.
ROP. 10.1 Obligation to Disclose Documents and Items - Corporation; ROP. 10.2 Obligation to Disclose Additional Documents and Items - Respondent	ROP. 10.1; ROP. 10.2		R. 8417. Disclosure	8417	(3)(i)	No equivalent section (NES)	NES		R. 8417. Disclosure	8417	(3)(i)	Adopt existing IDPC Rule provision.
ROP. 10.1 Obligation to Disclose Documents and Items - Corporation; ROP. 10.2 Obligation to Disclose Additional Documents and Items - Respondent	ROP. 10.1; ROP. 10.2		R. 8417. Disclosure	8417	(3)(ii)	No equivalent section (NES)	NES		R. 8417. Disclosure	8417	(3)(ii)	Adopt existing IDPC Rule provision.
ROP. 10.5 Order and Directions Concerning Disclosure and Inspections	ROP. 10.5		R. 8417. Disclosure	8417	(4)	No equivalent section (NES)	NES		R. 8417. Disclosure	8417	(4)	Adopt existing IDPC Rule provision.
ROP. 10.3 Failure to Disclose Documents or Items	ROP. 10.3		R. 8417. Disclosure	8417	(5)	No equivalent section (NES)	NES		R. 8417. Disclosure	8417	(5)	Adopt existing IDPC Rule provision.
ROP. 10.4 Corporation's Duty to Disclose	ROP. 10.4		No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		Adopt existing IDPC Rule provision.
ROP. 11.1 Provision of Witness Lists and Statements	ROP. 11.1	(1)(a); (3)	R. 8418. Witness lists and statements	8418	(1)(i)	No equivalent section (NES)	NES		R. 8418. Witness lists and statements	8418	(1)(i)	Adopt existing IDPC Rule provision.
ROP. 11.1 Provision of Witness Lists and Statements	ROP. 11.1	(1)(b); (3)	R. 8418. Witness lists and statements	8418	(1)(ii)	No equivalent section (NES)	NES		R. 8418. Witness lists and statements	8418	(1)(ii)	Adopt existing IDPC Rule provision.
ROP. 11.1 Provision of Witness Lists and Statements	ROP. 11.1	(1)(a); (3)	R. 8418. Witness lists and statements	8418	(2)(i)	No equivalent section (NES)	NES		R. 8418. Witness lists and statements	8418	(2)(i)	Adopt existing IDPC Rule provision.
ROP. 11.1 Provision of Witness Lists and Statements	ROP. 11.1	(1)(b); (3)	R. 8418. Witness lists and statements	8418	(2)(ii)	No equivalent section (NES)	NES		R. 8418. Witness lists and statements	8418	(2)(ii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
ROP. 11.1 Provision of Witness Lists and Statements	ROP. 11.1	(2)	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	Adopt existing IDPC Rule provision.
ROP. 11.2 Contents of Witness Statements	ROP. 11.2	(1)	R. 8418. Witness lists and statements	8418	(3)(i)	No equivalent section (NES)		NES	R. 8418. Witness lists and statements	8418	(3)(i)	Adopt existing IDPC Rule provision.
ROP. 11.2 Contents of Witness Statements	ROP. 11.2	(1)	R. 8418. Witness lists and statements	8418	(3)(ii)	No equivalent section (NES)		NES	R. 8418. Witness lists and statements	8418	(3)(ii)	Adopt existing IDPC Rule provision.
ROP. 11.2 Contents of Witness Statements	ROP. 11.2	(1)	R. 8418. Witness lists and statements	8418	(3)(iii)	No equivalent section (NES)		NES	R. 8418. Witness lists and statements	8418	(3)(iii)	Adopt existing IDPC Rule provision.
ROP. 11.3 Failure to Provide Witness List or Statement	ROP. 11.3	(1)	R. 8418. Witness lists and statements	8418	(4)	No equivalent section (NES)		NES	R. 8418. Witness lists and statements	8418	(4)	Adopt existing IDPC Rule provision.
ROP. 11.4 Incomplete Witness Statement	ROP. 11.4	(1)	R. 8418. Witness lists and statements	8418	(5)	No equivalent section (NES)		NES	R. 8418. Witness lists and statements	8418	(5)	Adopt existing IDPC Rule provision.
ROP. 12.1 Expert's Report	ROP. 12.1	(1)	R. 8419. Expert witnesses	8419	(1)	No equivalent section (NES)		NES	R. 8419. Expert witnesses	8419	(1)	Adopt existing IDPC Rule provision.
ROP. 12.2 Expert's Report in Response	ROP. 12.2	(1)	R. 8419. Expert witnesses	8419	(2)	No equivalent section (NES)		NES	R. 8419. Expert witnesses	8419	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8419. Expert witnesses	8419	(3)	No equivalent section (NES)		NES	R. 8419. Expert witnesses	8419	(3)	Adopt existing IDPC Rule provision.
ROP. 12.3 Content of Expert's Report	ROP. 12.3	(1)(a)	R. 8419. Expert witnesses	8419	(4)(i)	No equivalent section (NES)		NES	R. 8419. Expert witnesses	8419	(4)(i)	Adopt existing IDPC Rule provision.
ROP. 12.3 Content of Expert's Report	ROP. 12.3	(1)(b)	R. 8419. Expert witnesses	8419	(4)(ii)	No equivalent section (NES)		NES	R. 8419. Expert witnesses	8419	(4)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8419. Expert witnesses	8419	(4)(iii)	No equivalent section (NES)		NES	R. 8419. Expert witnesses	8419	(4)(iii)	Adopt existing IDPC Rule provision.
ROP. 12.4 Failure to Provide Expert's Report	ROP. 12.4	(1)	R. 8419. Expert witnesses	8419	(5)	No equivalent section (NES)		NES	R. 8419. Expert witnesses	8419	(5)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8419. Expert witnesses	8419	(6)	No equivalent section (NES)		NES	R. 8419. Expert witnesses	8419	(6)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8420. Deemed undertaking	8420	(1)	No equivalent section (NES)		NES	R. 8420. Deemed undertaking	8420	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8420. Deemed undertaking	8420	(2)	No equivalent section (NES)		NES	R. 8420. Deemed undertaking	8420	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8420. Deemed undertaking	8420	(3)	No equivalent section (NES)		NES	R. 8420. Deemed undertaking	8420	(3)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 8420. Deemed undertaking	8420	(4)(i)	No equivalent section (NES)	NES		R. 8420. Deemed undertaking	8420	(4)(i)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8420. Deemed undertaking	8420	(4)(ii)	No equivalent section (NES)	NES		R. 8420. Deemed undertaking	8420	(4)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8420. Deemed undertaking	8420	(4)(iii)	No equivalent section (NES)	NES		R. 8420. Deemed undertaking	8420	(4)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8420. Deemed undertaking	8420	(5)	No equivalent section (NES)	NES		R. 8420. Deemed undertaking	8420	(5)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8420. Deemed undertaking	8420	(6)	No equivalent section (NES)	NES		R. 8420. Deemed undertaking	8420	(6)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8421. Order to attend and issue summons	8421	(1)	No equivalent section (NES)	NES		R. 8421. Order to attend and issue summons	8421	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8421. Order to attend and issue summons	8421	(2)	No equivalent section (NES)	NES		R. 8421. Order to attend and issue summons	8421	(2)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8421. Order to attend and issue summons	8421	(3)	No equivalent section (NES)	NES		R. 8421. Order to attend and issue summons	8421	(3)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8421. Order to attend and issue summons	8421	(4)	No equivalent section (NES)	NES		R. 8421. Order to attend and issue summons	8421	(4)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(1)	No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(1)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(2)(i)	No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(2)(ii)	No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(2)(iii)	No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(2)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(3)(i)	No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(3)(ii)	No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(3)(iii)	No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(3)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(4)	No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(4)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(5)	No equivalent section (NES)	NES		R. 8422. Adjournments	8422	(5)	Adopt existing IDPC Rule provision.
R. 7.3.1.5 Right to be Heard	R. 7.3.1.5		R. 8423. Conduct of hearing on the merits	8423	(1)	No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(1)	Adopt existing IDPC Rule provision.
ROP. 13.1 Rights of a Respondent	ROP. 13.1		R. 8423. Conduct of hearing on the merits	8423	(1)	No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(1)	Adopt existing IDPC Rule provision.
R. 7.3.1.5 Right to be Heard	R. 7.3.1.5		R. 8423. Conduct of hearing on the merits	8423	(2)(i)	No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(2)(i)	Adopt existing IDPC Rule provision with modernization modification to account for hearing forms.
ROP. 13.1 Rights of a Respondent	ROP. 13.1	(1)(a)	R. 8423. Conduct of hearing on the merits	8423	(2)(i)	No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(2)(i)	Adopt existing IDPC Rule provision with modernization modification to account for hearing forms.
R. 7.3.1.5 Right to be Heard	R. 7.3.1.5		R. 8423. Conduct of hearing on the merits	8423	(2)(ii)	No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(2)(ii)	Adopt existing IDPC Rule provision.
ROP. 13.1 Rights of a Respondent	ROP. 13.1	(1)(c); (1)(d)	R. 8423. Conduct of hearing on the merits	8423	(2)(ii)	No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(2)(ii)	Adopt existing IDPC Rule provision.
R. 7.3.1.5 Right to be Heard	R. 7.3.1.5		R. 8423. Conduct of hearing on the merits	8423	(2)(iii)	No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(2)(iii)	Adopt existing IDPC Rule provision.
ROP. 13.1 Rights of a Respondent	ROP. 13.1	(1)(e)	R. 8423. Conduct of hearing on the merits	8423	(2)(iii)	No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(2)(iii)	Adopt existing IDPC Rule provision.
ROP. 13.2 Order of Presentation	ROP. 13.2		R. 8423. Conduct of hearing on the merits	8423	(3)(i)	No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(3)(i)	Adopt existing IDPC Rule provision.
ROP. 13.2 Order of Presentation	ROP. 13.2		R. 8423. Conduct of hearing on the merits	8423	(3)(ii)	No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(3)(ii)	Adopt existing IDPC Rule provision.
ROP. 13.2 Order of Presentation	ROP. 13.2		R. 8423. Conduct of hearing on the merits	8423	(3)(iii)	No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(3)(iii)	Adopt existing IDPC Rule provision with clarification modification.
ROP. 13.2 Order of Presentation	ROP. 13.2		R. 8423. Conduct of hearing on the merits	8423	(3)(iv)	No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(3)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(3)(v)	No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(3)(v)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
ROP. 13.2 Order of Presentation	ROP. 13.2	(1)(e)	R. 8423. Conduct of hearing on the merits	8423	(3)(vi)	No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(3)(vi)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(3)(vii)	No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(3)(vii)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(3)(viii)	No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(3)(viii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
ROP. 13.2 Order of Presentation	ROP. 13.2	(2)	R. 8423. Conduct of hearing on the merits	8423	(4)	No equivalent section (NES)		NES	R. 8423. Conduct of hearing on the merits	8423	(4)	Adopt existing IDPC Rule provision.
ROP. 13.2 Order of Presentation	ROP. 13.2	(3)	R. 8423. Conduct of hearing on the merits	8423	(5)	No equivalent section (NES)		NES	R. 8423. Conduct of hearing on the merits	8423	(5)	Adopt existing IDPC Rule provision.
ROP. 13.2 Order of Presentation	ROP. 13.2	(4)	R. 8423. Conduct of hearing on the merits	8423	(6)	No equivalent section (NES)		NES	R. 8423. Conduct of hearing on the merits	8423	(6)	Adopt existing IDPC Rule provision.
ROP. 13.3 Evidence by Witness	ROP. 13.3		No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	Adopt existing IDPC Rule provision.
ROP. 13.4 Evidence by Sworn Statement	ROP. 13.4		No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	Adopt existing IDPC Rule provision.
ROP. 13.3 Evidence by Witness	ROP. 13.3	(2)	R. 8423. Conduct of hearing on the merits	8423	(7)	No equivalent section (NES)		NES	R. 8423. Conduct of hearing on the merits	8423	(7)	Adopt existing IDPC Rule provision.
ROP. 13.6 Orders Excluding Witnesses	ROP. 13.6	(1)-(2)	R. 8423. Conduct of hearing on the merits	8423	(8)	No equivalent section (NES)		NES	R. 8423. Conduct of hearing on the merits	8423	(8)	Adopt existing IDPC Rule provision.
ROP. 13.6 Orders Excluding Witnesses	ROP. 13.6	(3)	R. 8423. Conduct of hearing on the merits	8423	(9)	No equivalent section (NES)		NES	R. 8423. Conduct of hearing on the merits	8423	(9)	Adopt existing IDPC Rule provision.
ROP. 13.4 Evidence by Sworn Statement	ROP. 13.4	(1)	R. 8423. Conduct of hearing on the merits	8423	(10)	No equivalent section (NES)		NES	R. 8423. Conduct of hearing on the merits	8423	(10)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(11)(i)	No equivalent section (NES)		NES	R. 8423. Conduct of hearing on the merits	8423	(11)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(11)(ii)	No equivalent section (NES)		NES	R. 8423. Conduct of hearing on the merits	8423	(11)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(11)(iii)	No equivalent section (NES)		NES	R. 8423. Conduct of hearing on the merits	8423	(11)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8423. Conduct of hearing on the merits	8423	(11)(iv)	No equivalent section (NES)		NES	R. 8423. Conduct of hearing on the merits	8423	(11)(iv)	Adopt existing IDPC Rule provision.
ROP. 13.5 Where a Respondent Fails to Attend a Disciplinary Hearing	ROP. 13.5	(1)	R. 8423. Conduct of hearing on the merits	8423	(12)(i)	No equivalent section (NES)		NES	R. 8423. Conduct of hearing on the merits	8423	(12)(i)	Adopt existing IDPC Rule provision.
R. 7.3.4 Failure to Reply or Attend	7.3.4		R. 8423. Conduct of hearing on the merits	8423	(12)(i)	No equivalent section (NES)		NES	R. 8423. Conduct of hearing on the merits	8423	(12)(i)	Adopt existing IDPC Rule provision.
ROP. 13.5 Where a Respondent Fails to Attend a Disciplinary Hearing	ROP. 13.5	(1)	R. 8423. Conduct of hearing on the merits	8423	(12)(ii)	No equivalent section (NES)		NES	R. 8423. Conduct of hearing on the merits	8423	(12)(ii)	Adopt existing IDPC Rule provision.
R. 7.3.4 Failure to Reply or Attend	7.3.4		R. 8423. Conduct of hearing on the merits	8423	(12)(ii)	No equivalent section (NES)		NES	R. 8423. Conduct of hearing on the merits	8423	(12)(ii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 8424. Written Hearings	8424	(1)(i)	No equivalent section (NES)	NES		R. 8424. Written Hearings	8424	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8424. Written Hearings	8424	(1)(ii)	No equivalent section (NES)	NES		R. 8424. Written Hearings	8424	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8424. Written Hearings	8424	(1)(iii)	No equivalent section (NES)	NES		R. 8424. Written Hearings	8424	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8424. Written Hearings	8424	(2)	No equivalent section (NES)	NES		R. 8424. Written Hearings	8424	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8424. Written Hearings	8424	(3)	No equivalent section (NES)	NES		R. 8424. Written Hearings	8424	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8424. Written Hearings	8424	(4)(i)	No equivalent section (NES)	NES		R. 8424. Written Hearings	8424	(4)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8424. Written Hearings	8424	(4)(ii)	No equivalent section (NES)	NES		R. 8424. Written Hearings	8424	(4)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8424. Written Hearings	8424	(4)(iii)	No equivalent section (NES)	NES		R. 8424. Written Hearings	8424	(4)(iii)	Adopt existing IDPC Rule provision with modification to account for removal of distinction between oral and electronic hearings.
R. 7.4.3.4 Notice in Certain Circumstances	7.4.3.4		R. 8425. Temporary orders	8425	(1)	No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(1)	Adopt existing IDPC Rule provision.
ROP. 24.1 Notice of Application	ROP. 24.1	(1)	R. 8425. Temporary orders	8425	(1)	No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(1)	Adopt existing IDPC Rule provision.
ROP. 24.1 Notice of Application	ROP. 24.1	(2)	R. 8425. Temporary orders	8425	(2)	No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(2)	Adopt existing IDPC Rule provision.
ROP. 24.2 Contents of the Notice of Application	ROP. 24.2	(1)(d)	R. 8425. Temporary orders	8425	(3)(i)	No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(3)(i)	Adopt existing IDPC Rule provision.
ROP. 24.2 Contents of the Notice of Application	ROP. 24.2	(1)(b)	R. 8425. Temporary orders	8425	(3)(ii)	No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(3)(ii)	Adopt existing IDPC Rule provision.
ROP. 24.2 Contents of the Notice of Application	ROP. 24.2		R. 8425. Temporary orders	8425	(3)(iii)	No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(3)(iii)	Adopt existing IDPC Rule provision.
ROP. 24.2 Contents of the Notice of Application	ROP. 24.2	(1)(a)	R. 8425. Temporary orders	8425	(3)(iv)	No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(3)(iv)	Adopt existing IDPC Rule provision.
ROP. 24.2 Contents of the Notice of Application	ROP. 24.2	(1)(e)	R. 8425. Temporary orders	8425	(3)(v)	No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(3)(v)	Adopt existing IDPC Rule provision.
ROP. 24.2 Contents of the Notice of Application	ROP. 24.2		R. 8425. Temporary orders	8425	(3)(vi)	No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(3)(vi)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
ROP. 24.2 Contents of the Notice of Application	ROP. 24.2	(1)(f)	R. 8425. Temporary orders	8425	(3)(vii)	No equivalent section (NES)		NES	R. 8425. Temporary orders	8425	(3)(vii)	Adopt existing IDPC Rule provision.
ROP. 24.2 Contents of the Notice of Application	ROP. 24.2	(1)(c)	R. 8425. Temporary orders	8425	(3)(viii)	No equivalent section (NES)		NES	R. 8425. Temporary orders	8425	(3)(viii)	Adopt existing IDPC Rule provision with modernization modification to account for hearing forms.
ROP. 24.2 Contents of the Notice of Application	ROP. 24.2		R. 8425. Temporary orders	8425	(3)(ix)	No equivalent section (NES)		NES	R. 8425. Temporary orders	8425	(3)(ix)	Adopt existing IDPC Rule provision.
ROP. 24.6 Contents of the Application Record	ROP. 24.6	(1)(a)	R. 8425. Temporary orders	8425	(4)(i)	No equivalent section (NES)		NES	R. 8425. Temporary orders	8425	(4)(i)	Adopt existing IDPC Rule provision.
ROP. 24.6 Contents of the Application Record	ROP. 24.6	(1)(b)	R. 8425. Temporary orders	8425	(4)(ii)	No equivalent section (NES)		NES	R. 8425. Temporary orders	8425	(4)(ii)	Adopt existing IDPC Rule provision.
ROP. 24.7 Application with Notice	ROP. 24.7		No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section adopted.
ROP. 24.8 Responding Record	ROP. 24.8	(1)	R. 8425. Temporary orders	8425	(5)	No equivalent section (NES)		NES	R. 8425. Temporary orders	8425	(5)	Adopt existing IDPC Rule provision.
ROP. 24.8 Responding Record	ROP. 24.8	(2)(a)	R. 8425. Temporary orders	8425	(6)(i)	No equivalent section (NES)		NES	R. 8425. Temporary orders	8425	(6)(i)	Adopt existing IDPC Rule provision.
ROP. 24.8 Responding Record	ROP. 24.8	(2)(b)	R. 8425. Temporary orders	8425	(6)(ii)	No equivalent section (NES)		NES	R. 8425. Temporary orders	8425	(6)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(7)	No equivalent section (NES)		NES	R. 8425. Temporary orders	8425	(7)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(8)	No equivalent section (NES)		NES	R. 8425. Temporary orders	8425	(8)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(9)(i)	No equivalent section (NES)		NES	R. 8425. Temporary orders	8425	(9)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(9)(ii)	No equivalent section (NES)		NES	R. 8425. Temporary orders	8425	(9)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(9)(iii)	No equivalent section (NES)		NES	R. 8425. Temporary orders	8425	(9)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(10)	No equivalent section (NES)		NES	R. 8425. Temporary orders	8425	(10)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(11)(i)	No equivalent section (NES)		NES	R. 8425. Temporary orders	8425	(11)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(11)(ii)	No equivalent section (NES)		NES	R. 8425. Temporary orders	8425	(11)(ii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(11)(iii)	No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(11)(iii)	Adopt existing IDPC Rule provision.
ROP. 24.9 Order	ROP. 24.9	(1)(a)	R. 8425. Temporary orders	8425	(12)(i)	No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(12)(i)	Adopt existing IDPC Rule provision.
ROP. 24.9 Order	ROP. 24.9	(1)(b)	R. 8425. Temporary orders	8425	(12)(ii)	No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(12)(ii)	Adopt existing IDPC Rule provision.
ROP. 24.9 Order	ROP. 24.9		R. 8425. Temporary orders	8425	(12)(iii)	No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(12)(iii)	Adopt existing IDPC Rule provision.
ROP. 24.9 Order	ROP. 24.9		R. 8425. Temporary orders	8425	(12)(iv)	No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(12)(iv)	Adopt existing IDPC Rule provision.
ROP. 24.9 Order	ROP. 24.9		R. 8425. Temporary orders	8425	(12)(v)	No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(12)(v)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(13)	No equivalent section (NES)	NES		R. 8425. Temporary orders	8425	(13)	Adopt existing IDPC Rule provision.
R. 7.4.3.4 Notice in Certain Circumstances	7.4.3.4		R. 8426. Protective orders	8426	(1)	No equivalent section (NES)	NES		R. 8426. Protective orders	8426	(1)	Adopt existing IDPC Rule provision.
ROP. 24.1 Notice of Application	ROP. 24.1	(1)	R. 8426. Protective orders	8426	(1)	No equivalent section (NES)	NES		R. 8426. Protective orders	8426	(1)	Adopt existing IDPC Rule provision.
ROP. 24.2 Contents of the Notice of Application	ROP. 24.2	(1)(d)	R. 8426. Protective orders	8426	(2)(i)	No equivalent section (NES)	NES		R. 8426. Protective orders	8426	(2)(i)	Adopt existing IDPC Rule provision.
ROP. 24.2 Contents of the Notice of Application	ROP. 24.2		R. 8426. Protective orders	8426	(2)(ii)	No equivalent section (NES)	NES		R. 8426. Protective orders	8426	(2)(ii)	Adopt existing IDPC Rule provision.
ROP. 24.2 Contents of the Notice of Application	ROP. 24.2	(1)(a)	R. 8426. Protective orders	8426	(2)(iii)	No equivalent section (NES)	NES		R. 8426. Protective orders	8426	(2)(iii)	Adopt existing IDPC Rule provision.
ROP. 24.2 Contents of the Notice of Application	ROP. 24.2	(1)(e)	R. 8426. Protective orders	8426	(2)(iv)	No equivalent section (NES)	NES		R. 8426. Protective orders	8426	(2)(iv)	Adopt existing IDPC Rule provision.
ROP. 24.2 Contents of the Notice of Application	ROP. 24.2		R. 8426. Protective orders	8426	(2)(v)	No equivalent section (NES)	NES		R. 8426. Protective orders	8426	(2)(v)	Adopt existing IDPC Rule provision.
ROP. 24.2 Contents of the Notice of Application	ROP. 24.2	(1)(f)	R. 8426. Protective orders	8426	(2)(vi)	No equivalent section (NES)	NES		R. 8426. Protective orders	8426	(2)(vi)	Adopt existing IDPC Rule provision.
ROP. 24.2 Contents of the Notice of Application	ROP. 24.2	(1)(c)	R. 8426. Protective orders	8426	(2)(vii)	No equivalent section (NES)	NES		R. 8426. Protective orders	8426	(2)(vii)	Adopt existing IDPC Rule provision with modernization modification to account for hearing forms.
ROP. 24.2 Contents of the Notice of Application	ROP. 24.2		R. 8426. Protective orders	8426	(2)(viii)	No equivalent section (NES)	NES		R. 8426. Protective orders	8426	(2)(viii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
ROP. 24.6 Contents of the Application Record	ROP. 24.6	(1)(a)	R. 8426. Protective orders	8426	(3)(i)	No equivalent section (NES)		NES	R. 8426. Protective orders	8426	(3)(i)	Adopt existing IDPC Rule provision.
ROP. 24.6 Contents of the Application Record	ROP. 24.6	(1)(b)	R. 8426. Protective orders	8426	(3)(ii)	No equivalent section (NES)		NES	R. 8426. Protective orders	8426	(3)(ii)	Adopt existing IDPC Rule provision.
ROP. 24.3 Date of Application	ROP. 24.3		No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section adopted.
ROP. 24.4 Application - To Whom to be Made and Form of Application	ROP. 24.4		No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section adopted.
ROP. 24.7 Application with Notice	ROP. 24.7	(6)	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section adopted.
ROP. 24.7 Application with Notice	ROP. 24.7	(7)	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section adopted.
ROP. 24.7 Application with Notice	ROP. 24.7	(8)	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section adopted.
ROP. 24.7 Application with Notice	ROP. 24.7	(9)	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section adopted.
ROP. 24.7 Application with Notice	ROP. 24.7	(10)	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section (NES)		NES	No equivalent section adopted.
ROP. 24.8 Responding Record	ROP. 24.8		R. 8426. Protective orders	8426	(4)	No equivalent section (NES)		NES	R. 8426. Protective orders	8426	(4)	Adopt existing IDPC Rule provision.
ROP. 24.5 Filing of Application	ROP. 24.5		R. 8426. Protective orders	8426	(4)	No equivalent section (NES)		NES	R. 8426. Protective orders	8426	(4)	Adopt existing IDPC Rule provision.
ROP. 24.8 Responding Record	ROP. 24.8	(2)(a)	R. 8426. Protective orders	8426	(5)(i)	No equivalent section (NES)		NES	R. 8426. Protective orders	8426	(5)(i)	Adopt existing IDPC Rule provision.
ROP. 24.8 Responding Record	ROP. 24.8	(2)(b)	R. 8426. Protective orders	8426	(5)(ii)	No equivalent section (NES)		NES	R. 8426. Protective orders	8426	(5)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8426. Protective orders	8426	(6)	No equivalent section (NES)		NES	R. 8426. Protective orders	8426	(6)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8426. Protective orders	8426	(7)	No equivalent section (NES)		NES	R. 8426. Protective orders	8426	(7)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8426. Protective orders	8426	(8)(i)	No equivalent section (NES)		NES	R. 8426. Protective orders	8426	(8)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8426. Protective orders	8426	(8)(ii)	No equivalent section (NES)		NES	R. 8426. Protective orders	8426	(8)(ii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 8426. Protective orders	8426	(8)(iii)	No equivalent section (NES)	NES		R. 8426. Protective orders	8426	(8)(iii)	Adopt existing IDPC Rule provision.
R. 7.4.3.6. Review of an Application	7.4.3.6		R. 8427. Review of protective orders	8427	(1)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(1)	Adopt existing IDPC Rule provision.
ROP. 25.1 Notice of Request for Review	ROP. 25.1		R. 8427. Review of protective orders	8427	(1)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(1)	Adopt existing IDPC Rule provision.
ROP. 25.4 Date of Review of an Application	ROP. 25.4	(1)	R. 8427. Review of protective orders	8427	(2)(i)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(2)(i)	Adopt existing IDPC Rule provision.
ROP. 25.2 Contents of the Notice of Request for Review	ROP. 25.2	(1)(a)	R. 8427. Review of protective orders	8427	(2)(ii)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(2)(ii)	Adopt existing IDPC Rule provision.
ROP. 25.2 Contents of the Notice of Request for Review	ROP. 25.2	(1)(b)	R. 8427. Review of protective orders	8427	(2)(iii)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(2)(iii)	Adopt existing IDPC Rule provision.
ROP. 25.2 Contents of the Notice of Request for Review	ROP. 25.2	(1)(c)	R. 8427. Review of protective orders	8427	(2)(iv)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(2)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(2)(v)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(2)(v)	Adopt existing IDPC Rule provision with modernization modification to account for hearing forms.
ROP. 25.2 Contents of the Notice of Request for Review	ROP. 25.2		R. 8427. Review of protective orders	8427	(3)(i)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(3)(i)	Adopt existing IDPC Rule provision.
ROP. 25.2 Contents of the Notice of Request for Review	ROP. 25.2	(1)(c)	R. 8427. Review of protective orders	8427	(3)(ii)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(4)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(4)	Adopt existing IDPC Rule provision.
ROP. 25.3 Reply to a Review of an Application	ROP. 25.3	(1)	R. 8427. Review of protective orders	8427	(5)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(5)	Adopt existing IDPC Rule provision.
ROP. 25.3 Reply to a Review of an Application	ROP. 25.3	(2)	R. 8427. Review of protective orders	8427	(6)(i)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(6)(i)	Adopt existing IDPC Rule provision.
ROP. 25.3 Reply to a Review of an Application	ROP. 25.3	(2)	R. 8427. Review of protective orders	8427	(6)(ii)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(6)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(7)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(7)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(8)(i)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(8)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(8)(ii)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(8)(ii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(8)(iii)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(8)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(8)(iv)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(8)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(8)(v)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(8)(v)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(9)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(9)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(10)	No equivalent section (NES)	NES		R. 8427. Review of protective orders	8427	(10)	Adopt existing IDPC Rule provision.
ROP. 25.5 Ordering Transcripts	ROP. 25.5		No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section adopted.
R. 7.4.4.3 Review and Determination by Hearing Panel	7.4.4.3	(c)-(d)	R. 8428. Settlement hearings	8428	(1)	No equivalent section (NES)	NES		R. 8428. Settlement hearings	8428	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8428. Settlement hearings	8428	(2)	No equivalent section (NES)	NES		R. 8428. Settlement hearings	8428	(2)	Adopt existing IDPC Rule provision.
ROP. 15.1 Settlement Hearing Date	ROP. 15.1	(1)	R. 8428. Settlement hearings	8428	(3)	No equivalent section (NES)	NES		R. 8428. Settlement hearings	8428	(3)	Adopt existing IDPC Rule provision.
ROP. 15.2 Notice and Public Access	ROP. 15.2	(1)	R. 8428. Settlement hearings	8428	(3)	No equivalent section (NES)	NES		R. 8428. Settlement hearings	8428	(3)	Adopt existing IDPC Rule provision.
ROP. 15.2 Notice and Public Access	ROP. 15.2	(1)(a)	R. 8428. Settlement hearings	8428	(4)(i)	No equivalent section (NES)	NES		R. 8428. Settlement hearings	8428	(4)(i)	Adopt existing IDPC Rule provision.
ROP. 15.2 Notice and Public Access	ROP. 15.2	(1)(b)	R. 8428. Settlement hearings	8428	(4)(ii)	No equivalent section (NES)	NES		R. 8428. Settlement hearings	8428	(4)(ii)	Adopt existing IDPC Rule provision.
ROP. 15.2 Notice and Public Access	ROP. 15.2	(1)(b)	R. 8428. Settlement hearings	8428	(4)(iii)	No equivalent section (NES)	NES		R. 8428. Settlement hearings	8428	(4)(iii)	Adopt existing IDPC Rule provision.
ROP. 15.2 Notice and Public Access	ROP. 15.2	(1)(b)	R. 8428. Settlement hearings	8428	(4)(iv)	No equivalent section (NES)	NES		R. 8428. Settlement hearings	8428	(4)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8428. Settlement hearings	8428	(4)(v)	No equivalent section (NES)	NES		R. 8428. Settlement hearings	8428	(4)(v)	Adopt existing IDPC Rule provision with modernization modification to account for hearing forms.
ROP. 15.2 Notice and Public Access	ROP. 15.2	(2)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section adopted.
ROP. 15.2 Notice and Public Access	ROP. 15.2	(3)	R. 8428. Settlement hearings	8428	(5)	No equivalent section (NES)	NES		R. 8428. Settlement hearings	8428	(5)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
ROP. 15.3 Additional Facts Only to be Disclosed on Consent	ROP. 15.3		R. 8428. Settlement hearings	8428	(6)	No equivalent section (NES)		NES	R. 8428. Settlement hearings	8428	(6)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8429. Monitor	8429	(1)	No equivalent section (NES)		NES	R. 8429. Monitor	8429	(1)	Adopt existing IDPC Rule provision.
ROP. 16.1 Notice of Appeal	ROP. 16.1	(2)-(3)	R. 8430. Regulatory review hearings	8430	(1)(i)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(1)(i)	Adopt existing IDPC Rule provision.
ROP. 16.1 Notice of Appeal	ROP. 16.1	(2)-(3)	R. 8430. Regulatory review hearings	8430	(1)(ii)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(1)(ii)	Adopt existing IDPC Rule provision.
ROP. 16.2 Contents of Notice of Appeal	ROP. 16.2		R. 8430. Regulatory review hearings	8430	(2)(i)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(2)(i)	Adopt existing IDPC Rule provision.
ROP. 16.2 Contents of Notice of Appeal	ROP. 16.2	(1)(a)	R. 8430. Regulatory review hearings	8430	(2)(ii)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(2)(ii)	Adopt existing IDPC Rule provision.
ROP. 16.2 Contents of Notice of Appeal	ROP. 16.2	(1)(b)	R. 8430. Regulatory review hearings	8430	(2)(iii)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(2)(iii)	Adopt existing IDPC Rule provision.
ROP. 16.2 Contents of Notice of Appeal	ROP. 16.2	(1)(c)	R. 8430. Regulatory review hearings	8430	(2)(iv)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(2)(iv)	Adopt existing IDPC Rule provision.
ROP. 16.2 Contents of Notice of Appeal	ROP. 16.2		R. 8430. Regulatory review hearings	8430	(2)(v)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(2)(v)	Adopt existing IDPC Rule provision with modernization modification to account for hearing forms.
ROP. 17.1 Contents of the Appeal Record	ROP. 17.1	(1)(a)	R. 8430. Regulatory review hearings	8430	(3)(i)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(3)(i)	Adopt existing IDPC Rule provision.
ROP. 17.1 Contents of the Appeal Record	ROP. 17.1		R. 8430. Regulatory review hearings	8430	(3)(ii)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(3)(ii)	Adopt existing IDPC Rule provision.
ROP. 17.1 Contents of the Appeal Record	ROP. 17.1	(1)(e)	R. 8430. Regulatory review hearings	8430	(3)(iii)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(3)(iii)	Adopt existing IDPC Rule provision.
ROP. 17.1 Contents of the Appeal Record	ROP. 17.1		R. 8430. Regulatory review hearings	8430	(3)(iv)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(3)(iv)	Adopt existing IDPC Rule provision.
ROP. 17.1 Contents of the Appeal Record	ROP. 17.1	(1)(h)	R. 8430. Regulatory review hearings	8430	(3)(v)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(3)(v)	Adopt existing IDPC Rule provision.
ROP. 16.3 Respondent's Notice of Required Evidence	ROP. 16.3	(1)	R. 8430. Regulatory review hearings	8430	(4)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(4)	Adopt existing IDPC Rule provision.
ROP. 16.3 Respondent's Notice of Required Evidence	ROP. 16.3	(1)	R. 8430. Regulatory review hearings	8430	(5)(i)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(5)(i)	Adopt existing IDPC Rule provision.
ROP. 16.3 Respondent's Notice of Required Evidence	ROP. 16.3	(1)	R. 8430. Regulatory review hearings	8430	(5)(ii)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(5)(ii)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
ROP. 17.1 Contents of the Appeal Record	ROP. 17.1		R. 8430. Regulatory review hearings	8430	(6)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(6)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8430. Regulatory review hearings	8430	(7)(i)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(7)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8430. Regulatory review hearings	8430	(7)(ii)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(7)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8430. Regulatory review hearings	8430	(7)(iii)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(7)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8430. Regulatory review hearings	8430	(7)(iv)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(7)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8430. Regulatory review hearings	8430	(7)(v)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(7)(v)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8430. Regulatory review hearings	8430	(8)	No equivalent section (NES)		NES	R. 8430. Regulatory review hearings	8430	(8)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8431. Record for review	8431	(1)	No equivalent section (NES)		NES	R. 8431. Record for review	8431	(1)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
ROP. 17.3 Completed Appeal Record and Date of Appeal	ROP. 17.3		R. 8431. Record for review	8431	(2)	No equivalent section (NES)		NES	R. 8431. Record for review	8431	(2)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
ROP. 17.2 Costs and Procedure for Ordering Transcripts	ROP. 17.2	(1)-(2)	R. 8431. Record for review	8431	(3)(i)	No equivalent section (NES)		NES	R. 8431. Record for review	8431	(3)(i)	Adopt existing IDPC Rule provision.
ROP. 17.2 Costs and Procedure for Ordering Transcripts	ROP. 17.2	(1)-(2)	R. 8431. Record for review	8431	(3)(ii)	No equivalent section (NES)		NES	R. 8431. Record for review	8431	(3)(ii)	Adopt existing IDPC Rule provision.
ROP. 17.2 Costs and Procedure for Ordering Transcripts	ROP. 17.2	(1)-(2)	R. 8431. Record for review	8431	(3)(iii)	No equivalent section (NES)		NES	R. 8431. Record for review	8431	(3)(iii)	Adopt existing IDPC Rule provision with modified reference to defined term "prehearing conference".
ROP. 17.2 Costs and Procedure for Ordering Transcripts	ROP. 17.2	(1)-(2)	R. 8431. Record for review	8431	(3)(iv)	No equivalent section (NES)		NES	R. 8431. Record for review	8431	(3)(iv)	Adopt existing IDPC Rule provision.
ROP. 17.2 Costs and Procedure for Ordering Transcripts	ROP. 17.2	(1)-(2)	R. 8431. Record for review	8431	(3)(v)	No equivalent section (NES)		NES	R. 8431. Record for review	8431	(3)(v)	Adopt existing IDPC Rule provision.
ROP. 17.2 Costs and Procedure for Ordering Transcripts	ROP. 17.2	(1)-(2)	R. 8431. Record for review	8431	(3)(vi)	No equivalent section (NES)		NES	R. 8431. Record for review	8431	(3)(vi)	Adopt existing IDPC Rule provision.
ROP. 17.2 Costs and Procedure for Ordering Transcripts	ROP. 17.2	(1)-(2)	R. 8431. Record for review	8431	(3)(vii)	No equivalent section (NES)		NES	R. 8431. Record for review	8431	(3)(vii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 8431. Record for review	8431	(4)(i)	No equivalent section (NES)		NES	R. 8431. Record for review	8431	(4)(i)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
No equivalent section (NES)	NES		R. 8431. Record for review	8431	(4)(ii)	No equivalent section (NES)	NES		R. 8431. Record for review	8431	(4)(ii)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
ROP. 17.2 Costs and Procedure for Ordering Transcripts	ROP. 17.2	(3)	R. 8431. Record for review	8431	(5)	No equivalent section (NES)	NES		R. 8431. Record for review	8431	(5)	Adopt existing IDPC Rule provision with modification of name to Hearing Office.
ROP. 17.4 Appeal by way of an Agreed Statement of Facts	ROP. 17.4		No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section adopted.
ROP. 17.5 Written Argument	ROP. 17.5		No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section adopted.
ROP. 17.6 New Evidence	ROP. 17.6		No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section adopted.
ROP. 17.7 Failure to Comply with Appeal Procedure	ROP. 17.7		No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section adopted.
No equivalent section (NES)	NES		R. 8432. - 8999. Reserved.	8432 - 8999		No equivalent section (NES)	NES		R. 8432. - 8999. Reserved.	8432 - 8999		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 9101. Introduction	9101	(1)	No equivalent section (NES)	NES		R. 9101. Introduction	9101	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 9102. Examination	9102	(1)	No equivalent section (NES)	NES		R. 9102. Examination	9102	(1)	Adopt existing IDPC Rule provision with clarification modification.
R. 6.1 Power to Conduct Examinations and Investigations	6.1		R. 9103. Conducting examinations	9103	(1)	No equivalent section (NES)	NES		R. 9103. Conducting examinations	9103	(1)	Adopt existing IDPC Rule provision with modernization modification.
R. 6.1 Power to Conduct Examinations and Investigations	6.1		R. 9103. Conducting examinations	9103	(2)	No equivalent section (NES)	NES		R. 9103. Conducting examinations	9103	(2)	Adopt existing IDPC Rule provision.
R. 6.2.1	6.2.1	(a)	R. 9104. Examination powers	9104	(1)(i)	No equivalent section (NES)	NES		R. 9104. Examination powers	9104	(1)(i)	Adopt existing IDPC Rule provision.
R. 6.2.1	6.2.1	(b)	R. 9104. Examination powers	9104	(1)(ii)	No equivalent section (NES)	NES		R. 9104. Examination powers	9104	(1)(ii)	Adopt existing IDPC Rule provision.
R. 6.2.1	6.2.1	(c)	R. 9104. Examination powers	9104	(1)(iii)	No equivalent section (NES)	NES		R. 9104. Examination powers	9104	(1)(iii)	Adopt existing IDPC Rule provision.
R. 6.2.1	6.2.1	(d)	R. 9104. Examination powers	9104	(1)(iv)	No equivalent section (NES)	NES		R. 9104. Examination powers	9104	(1)(iv)	Adopt existing IDPC Rule provision.
R. 6.2.2	6.2.2		R. 9104. Examination powers	9104	(2)	No equivalent section (NES)	NES		R. 9104. Examination powers	9104	(2)	Adopt existing IDPC Rule provision.
R. 6.2.3	6.2.3	(a)	R. 9104. Examination powers	9104	(3)(i)	No equivalent section (NES)	NES		R. 9104. Examination powers	9104	(3)(i)	Adopt existing IDPC Rule provision.

Existing MFDR			Existing IDPCR			Existing NI 31-103 requirement			New DCR			Proposed Action
Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	
R. 6.2.3	6.2.3	(b)	R. 9104. Examination powers	9104	(3)(ii)	No equivalent section (NES)		NES	R. 9104. Examination powers	9104	(3)(ii)	Adopt existing IDPC Rule provision with additional reference to "other storage media".
R. 6.2.3	6.2.3	(c)	R. 9104. Examination powers	9104	(3)(iii)	No equivalent section (NES)		NES	R. 9104. Examination powers	9104	(3)(iii)	Adopt existing IDPC Rule provision.
R. 6.2.1	6.2.1		R. 9105. Obligations of Regulated Persons and other persons	9105	(1)	No equivalent section (NES)		NES	R. 9105. Obligations of Regulated Persons and other persons	9105	(1)	Adopt existing IDPC Rule provision.
R. 6.2.1	6.2.1		R. 9105. Obligations of Regulated Persons and other persons	9105	(2)	No equivalent section (NES)		NES	R. 9105. Obligations of Regulated Persons and other persons	9105	(2)	Adopt existing IDPC Rule provision.
R. 6.2.4	6.2.4		R. 9105. Obligations of Regulated Persons and other persons	9105	(3)	No equivalent section (NES)		NES	R. 9105. Obligations of Regulated Persons and other persons	9105	(3)	Adopt existing IDPC Rule provision.
R. 6.2.5	6.2.5	(a)-(b)	R. 9106. Use of information	9106	(1)	No equivalent section (NES)		NES	R. 9106. Use of information	9106	(1)	Adopt existing IDPC Rule provision with modernization modification.
R. 6.2.5	6.2.5	(c)	R. 9106. Use of information	9106	(2)	No equivalent section (NES)		NES	R. 9106. Use of information	9106	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 9107. - 9199. Reserved.	9107 - 9199		No equivalent section (NES)		NES	R. 9107. - 9199. Reserved.	9107 - 9199		Adopt existing IDPC Rule provision.
R. 7.4.1.2 Members	7.4.1.2	(f)	R. 9208. Terms and conditions on membership	9208	(1)	No equivalent section (NES)		NES	R. 9208. Terms and conditions on membership	9208	(1)	Adopt existing IDPC Rule provision.
R. 7.4.1.2 Members	7.4.1.2	(f)	R. 9208. Terms and conditions on membership	9208	(2)	No equivalent section (NES)		NES	R. 9208. Terms and conditions on membership	9208	(2)	Adopt existing IDPC Rule provision.
R. 7.4.1.2 Members	7.4.1.2	(f)	R. 9208. Terms and conditions on membership	9208	(3)	No equivalent section (NES)		NES	R. 9208. Terms and conditions on membership	9208	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 9501. Introduction	9501	(1)	No equivalent section (NES)		NES	R. 9501. Introduction	9501	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 9502. Participation by a Dealer Member in arbitration	9502	(1)	No equivalent section (NES)		NES	R. 9502. Participation by a Dealer Member in arbitration	9502	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 9502. Participation by a Dealer Member in arbitration	9502	(2)	No equivalent section (NES)		NES	R. 9502. Participation by a Dealer Member in arbitration	9502	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 9502. Participation by a Dealer Member in arbitration	9502	(3)	No equivalent section (NES)		NES	R. 9502. Participation by a Dealer Member in arbitration	9502	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 9502. Participation by a Dealer Member in arbitration	9502	(4)	No equivalent section (NES)		NES	R. 9502. Participation by a Dealer Member in arbitration	9502	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 9502. Participation by a Dealer Member in arbitration	9502	(5)	No equivalent section (NES)		NES	R. 9502. Participation by a Dealer Member in arbitration	9502	(5)	Adopt existing IDPC Rule provision.

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