

BC Notice 2023/05

Fintech Advisory Forum Launch

The British Columbia Securities Commission has constituted its second Fintech Advisory Forum (Forum). The Forum will advise BCSC staff on financial technology (fintech) trends and developments, including opportunities and risks, and provide input on fintech issues in the securities industry and securities law issues affecting fintech.

On January 17, 2023, the Commission issued BC Notice 2023/01 to solicit members for the Forum. We received strong response, with many more expressions of interest than we had spots available.

The 12 members comprising the Forum are a group representing a wide cross-section of the fintech industry and its stakeholders. Forum members are from diverse backgrounds and in combination, have significant experience in the BC capital markets.

Members of the Forum will serve two year renewable terms. The members of the Forum are:

- Barbara Hendrickson, Managing Partner, BAX Securities Law
- Darryl Hatton, Founder & CEO, ConnectionPoint
- Dohyung “DH” Kim, CEO, Finhaven
- Julie Bogle, Partner, Corporate Capital Markets, BLG
- Karia Samaroo, Board of Directors, WonderFi
- Kevin Tam, Senior Branch Compliance Supervisor, Alternative Registered Operations Principal, Raymond James
- Khri Singh, Advisor, Government Relations and ESG, Canadian Credit Union Association
- Mike Stephens, Partner and Member of the Emerging Technology Group and National Lead, Fintech Industry Group, Fasken Martineau DuMoulin LLP
- Phil Sham, CEO, Aquanow
- Robert Piasentin, National Co-Group Head, Technology and Technology Transactions Industry Group, National Chair, Tech Law Group, and National Co-Chair, Startups and Emerging Companies, McMillan
- Sam Gharegozlou, President, Axiom Zen
- Usman Sheikh, Chair of Blockchain & Fintech Practice at Baker McKenzie, LLP

The Forum will hold its first meeting shortly and will be scheduling additional meetings until June 2025.



If you have any questions about the Forum, please contact the Chairs of the Forum:

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Peter J. Brady
Executive Director