

Electronic Delivery of Reports Under Conditions of Registration – Investment Dealers with a BC Office that Trade in the U.S. Over-the-Counter Markets

Order of the Executive Director under section 180(1) of the Securities Act

Definitions

1. “OTC e-services” means the electronic filing system for the submission of a report specified under *Conditions of Registration – Investment Dealers with a BC Office that Trade in the U.S. Over-the-Counter Markets*.

Order

2. The Executive Director orders that a person who is required to deliver a report required under condition 5 of *Conditions of Registration – Investment Dealers with a BC Office that Trade in the U.S. Over-the-Counter Markets* must deliver it by transmitting the report by electronic means to OTC e-services.
3. If unanticipated technical difficulties prevent the timely transmission of a report required under condition 5 of *Conditions of Registration – Investment Dealers with a BC Office that Trade in the U.S. Over-the-Counter Markets*, then the person required to deliver the report must deliver the report by transmitting it by electronic means to OTC e-services as soon as practical after the unanticipated technical difficulty has been resolved.
4. This order expires on (* three years from the date the conditions come into effect).

, 2012

Paul C. Bourque, Q.C.
Executive Director

(This part is for administrative purposes only and is not part of the Order)

Authority under which Order is made:

Act and section:- *Securities Act* section 180(1)