

# 2008 BCSECCOM 190

## Order

### Tracy Lee Kroeker

#### Sections 161(1) of the *Securities Act*, RSBC 1996, c. 418

##### Introduction

- ¶ 1 This is an order under sections 161(1) and 161(6) of the *Securities Act*, RSBC 1996, c.418.

##### Background

- ¶ 2 In *Tracy Lee Kroeker, Tolan Shigeo Furusho and Beverly Kamerling 2007* ABASC 381 (June 15, 2007), the Alberta Securities Commission found that Tracy Lee Kroeker:
1. traded and distributed shares of Goldtech Mining Corporation, a Nevada company, without being registered and without filing a prospectus, contrary to the *Securities Act* (Alberta), and
  2. acted contrary to the public interest.
- ¶ 3 In *Tracy Lee Kroeker, Tolan Shigeo Furusho and Beverly Kamerling 2007* ABASC 748 (October 9, 2007) the ASC made orders against Kroeker arising out of its June 15, 2007 findings.

##### Order

- ¶ 4 After providing Kroeker an opportunity to be heard, and considering the public interest, we order:
1. under section 161(1)(b) of the Act, that Kroeker cease trading in, and is prohibited from purchasing, securities, except that she may trade or purchase securities as principal, or for a registered education savings plan for the benefit of her child, through accounts at a registered dealer, provided she gives the dealer a copy of this order before any trade or purchase takes place,
  2. under section 161(1)(d)(i) and (ii), that Kroeker resign any position she holds as, and is prohibited from becoming or acting as, a director or officer of any issuer, registrant or investment fund manager, except a private issuer in which she or members of her immediate family are the sole shareholders,
  3. under section 161(1)(d)(iii), that Kroeker is prohibited from becoming or acting as a registrant, investment fund manager or promoter,

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4. under section 161(1)(d)(iv), that Kroeker is prohibited from acting in a management or consultative capacity in connection with activities in the securities market, and
5. under section 161(1)(d)(v), that Kroeker is prohibited from engaging in investor relations activities,

until October 9, 2014.

¶ 5 April 7, 2008

¶ 6 **For the Commission**

Brent W. Aitken  
Vice Chair

Kenneth G. Hanna  
Commissioner