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Reciprocal Order

Robert Vincent Bartel

Section 161(1) of the *Securities Act*, RSBC 1996, c. 418

Introduction

- ¶ 1 This is an order under sections 161(1) and 161(6)(c) of the *Securities Act*, RSBC 1996, c.418.

Background

- ¶ 2 Robert Vincent Bartel is subject to an order made by the Alberta Securities Commission imposing sanctions on him in a decision rendered June 20, 2008 in [*Bartel, Re*, 2008 ABASC 398](#).

Order

- ¶ 3 After providing Bartel an opportunity to be heard, and considering it to be in the public interest, we order:
1. under section 161(1)(b) of the Act, that Bartel cease trading in, and is prohibited from purchasing, securities and exchange contracts, except that Bartel may trade or purchase securities and exchange contracts through accounts in his name at a registered dealer,
 2. under section 161(1)(d)(i) and (ii), that Bartel resign any position he holds as, and is prohibited from becoming or acting as, a director or officer of any issuer, registrant or investment fund manager,
 3. under section 161(1)(d)(iii), that Bartel is prohibited from becoming or acting as a registrant, investment fund manager or promoter,
 4. under section 161(1)(d)(iv), that Bartel is prohibited from acting in a

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management or consultative capacity in connection with activities in the securities market, and

5. under section 161(1)(d)(v), that Bartel is prohibited from engaging in investor relations activities,

until June 20, 2015.

¶ 4 August 12, 2008

¶ 5 **For the Commission**

Brent W. Aitken
Vice Chair

Kenneth G. Hanna
Commissioner