

2009 BCSECCOM 32

Reciprocal Order

Jose Castaneda

Section 161(1) of the *Securities Act*, RSBC 1996, c. 418

Introduction

- ¶ 1 This is an order under sections 161(1) and 161(6)(c) of the *Securities Act*, RSBC 1996, c.418.

Background

- ¶ 2 Jose Castaneda is subject to an order made by the Ontario Securities Commission imposing sanctions on him in a decision rendered March 27, 2008, [*In the matter of the Securities Act, R.S.O. 1990, c. S.5, as amended and Jose Castaneda.*](#)

Order

- ¶ 3 After providing Castaneda an opportunity to be heard, and considering staff's submissions, and considering it to be in the public interest, we order:
1. under section 161(1)(b) of the Act, that Castaneda cease trading in, and is prohibited from purchasing securities and exchange contracts,
 2. under section 161(1)(d)(i) and (ii) of the Act, that Castaneda resign any position he holds as, and is prohibited from becoming or acting as, a director or officer of any issuer, registrant or investment fund manager,
 3. under section 161(1)(d)(iii) of the Act, that Castaneda is prohibited from becoming or acting as a registrant, investment fund manager or promoter,
 4. under section 161(1)(d)(iv) of the Act, that Castaneda is prohibited from acting in a management or consultative capacity in connection with activities in the securities market, and
 5. under section 161(1)(d)(v) of the Act, that Castaneda is prohibited from engaging in investor relations activities,

permanently.

- ¶ 4 January 21, 2009

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¶ 5 For the Commission

Brent W. Aitken
Vice Chair

Don Rowlatt
Commissioner