

# 2009 BCSECCOM 52

## Reciprocal Order

### Paul Norman Oliver

#### Section 161(1) of the *Securities Act*, RSBC 1996, c. 418

##### Introduction

- ¶ 1 This is an order under sections 161(1) and 161(6)(d) of the *Securities Act*, RSBC 1996, c.418.

##### Background

- ¶ 2 Paul Norman Oliver has agreed with the Alberta Securities Commission to be subject to sanctions in a settlement agreement dated June 9, 2008 in [Oliver, Re, 2008 ABASC 371](#).

##### Order

- ¶ 3 After providing Oliver an opportunity to be heard, and considering staff's and Oliver's submissions, and considering it to be in the public interest, we order:
1. under section 161(1)(b) of the Act, that Oliver cease trading in, and is prohibited from purchasing securities and exchange contracts, except that Oliver may trade and purchase securities through accounts in his name at a registered dealer, until June 9, 2015,
  2. under section 161(1)(d)(i) and (ii) of the Act, that Oliver resign any position he holds as, and is prohibited from becoming or acting as, a director or officer of any issuer, registrant or investment fund manager, until June 9, 2009,
  3. under section 161(1)(d)(iii) of the Act, that Oliver is prohibited from becoming or acting as a registrant, investment fund manager or promoter, until June 9, 2009,
  4. under section 161(1)(d)(iv) of the Act, that Oliver is prohibited from acting in a management or consultative capacity in connection with activities in the securities market, until June 9, 2009,
  5. under section 161(1)(d)(v) of the Act, that Oliver is prohibited from engaging in investor relations activities, until June 9, 2009.

- ¶ 4 January 21, 2009

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## ¶ 5 For the Commission

Brent W. Aitken  
Vice Chair

Don Rowlatt  
Commissioner