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Reciprocal Order

John David Briner

Section 161(1) of the *Securities Act*, RSBC 1996, c. 418

Introduction

- ¶ 1 This is an order under sections 161(1) and 161(6)(d) of the *Securities Act*, RSBC 1996, c.418.

Background

- ¶ 2 John David Briner agreed with the United States Securities and Exchange Commission to be subject to sanctions when he consented to the terms of a final judgment in a civil proceeding in the United States District Court Southern District of New York.

Order

- ¶ 3 After providing Briner an opportunity to be heard, and considering staff's and Briner's submissions, and considering it to be in the public interest, we order:
1. under section 161(1)(b) of the Act, that until November 3, 2015, Briner cease trading in, and is prohibited from purchasing, securities and exchange contracts;
 2. under section 161(1)(d)(i) and (ii) of the Act, that Briner resign any position he holds as a director of any issuer, registrant or investment fund manager and is prohibited until November 3, 2015, from becoming or acting as a director or officer of any issuer, registrant or investment fund manager;
 3. under section 161(1)(d)(iii) of the Act, that until November 3, 2015, Briner is prohibited from becoming or acting as a registrant, investment fund manager or promoter;
 4. under section 161(1)(d)(iv) of the Act, that until November 3, 2015, Briner is prohibited from acting in a management or consultative capacity in connection with activities in the securities market; and

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5. under section 161(1(d)(v) of the Act, that until November 3, 2015, Briner is prohibited from engaging in investor relations activities.

¶ 4 April 5, 2011

¶ 5 **For the Commission**

Brent W. Aitken
Vice Chair

Bradley Doney
Commissioner