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Reciprocal Order

Darrell W. Dunn, Michael Gottselig and Lucas “Luke” Brzeski

Section 161(1) of the Securities Act, RSBC 1996, c. 418

Introduction

- ¶ 1 This is an order under sections 161(1) and 161(6)(c) of the Securities Act, RSBC 1996, c.418.

Background

- ¶ 2 Darrell W. Dunn, Michael Gottselig and Lucas “Luke” Brzeski are subject to an order made by the Alberta Securities Commission imposing sanctions on them in a decision rendered October 4, 2010 in [*Delta 3 Capital Corporation Inc., Re*](#), 2010 ABASC 465.

Order

- ¶ 3 After providing Dunn, Gottselig and Brzeski an opportunity to be heard, and considering staff’s and Dunn’s submissions, and considering it to be in the public interest, we order:

Dunn

1. under section 161(1)(b) of the Act, that Dunn cease trading in, and is prohibited from purchasing, securities and exchange contracts until October 4, 2020, except that Dunn may trade and purchase securities in accordance with the terms of the ASC decision;
2. under section 161(1)(c) of the Act, that any or all of the exemptions set out in the regulations do not apply to Dunn until October 4, 2020, except for those exemptions necessary to enable Dunn to trade and purchase securities in accordance with the terms of the ASC decision;
3. under section 161(1)(d)(i) and (ii) of the Act, that Dunn resign any position he holds as, and is prohibited until October 4, 2020, from becoming or acting as, a director or officer of any issuer;

Gottselig

4. under section 161(1)(b) of the Act, that Gottselig cease trading in, and is prohibited from purchasing, securities and exchange contracts until October 4, 2015, except that Gottselig may trade and purchase securities in accordance with the terms of the ASC decision;

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5. under section 161(1)(c) of the Act, that any or all of the exemptions set out in the regulations do not apply to Gottselig until October 4, 2015, except for those exemptions necessary to enable Gottselig to trade and purchase securities in accordance with the terms of the ASC decision;
6. under section 161(1)(d)(i) and (ii) of the Act, that Gottselig resign any position he holds as, and is prohibited until October 4, 2015, from becoming or acting as, a director or officer of any issuer;

Brzeski

7. under section 161(1)(b) of the Act, that Brzeski cease trading in, and is prohibited from purchasing, securities and exchange contracts until October 4, 2013, except that Brzeski may trade and purchase securities in accordance with the terms of the ASC decision;
8. under section 161(1)(c) of the Act, that any or all of the exemptions set out in the regulations do not apply to Brzeski until October 4, 2013, except for those exemptions necessary to enable Brzeski to trade and purchase securities in accordance with the terms of the ASC decision; and
9. under section 161(1)(d)(i) and (ii) of the Act, that Brzeski resign any position he holds as, and is prohibited until October 4, 2013, from becoming or acting as, a director or officer of any issuer.

¶ 4 April 5, 2011

¶ 5 For the Commission

Brent W. Aitken
Vice Chair

Kenneth G. Hanna
Commissioner