

# 2011 BCSECCOM 321

## Reciprocal Order

### Robert John Harris

#### Section 161(1) of the *Securities Act*, RSBC 1996, c. 418

##### Introduction

- ¶ 1 This is an order under sections 161(1) and 161(6)(c) of the *Securities Act*, RSBC 1996, c. 418.

##### Background

- ¶ 2 Robert John Harris is subject to an order made by the Alberta Securities Commission on March 17, 2011 in [\*Harris operating as Harris Agencies, Re\*](#), 2011 ABASC 138 imposing sanctions on him.

##### Order

- ¶ 3 After providing Harris an opportunity to be heard, and considering staff's submission and considering it to be in the public interest, we order:
1. under section 161(1)(b) of the Act, that Harris cease trading in, and is prohibited from purchasing, securities and exchange contracts permanently;
  2. under section 161(1)(c) of the Act, that all of the exemptions set out in the regulations do not apply to Harris permanently;
  3. under section 161(1)(d)(i) and (ii) of the Act, that Harris resign any position he holds as, and is permanently prohibited from becoming or acting as, a director or officer of any issuer; and
  4. under section 161(1)(d)(iv) of the Act, that Harris is permanently prohibited from acting in a management or consultative capacity in connection with activities in the securities market.

- ¶ 4 July 7, 2011

- ¶ 5 **For the Commission**

Brent W. Aitken  
Vice Chair

Don Rowlatt  
Commissioner