

# 2012 BCSECCOM 133

**Jo Ann Nuttall**

**Section 171 of the *Securities Act*, RSBC 1996, c. 418**

**Variation Order**

## **Introduction**

¶ 1 This is an order under section 171 of the *Securities Act*, RSBC 1996, c.418.

## **Order issued**

¶ 2 In a decision issued March 29, 2012, the Commission made an order against Jo Ann Nuttall (2012 BCSECCOM 97).

## **Application**

¶ 3 Nuttall has asked the Commission to vary its March 29, 2012 decision to allow her to sell the securities in her trading accounts.

## **Variation Order**

¶ 4 After considering the submissions from Nuttall and staff, and considering that to do so would not be prejudicial to the public interest, we order under section 171 of the Act, that the March 29, 2012 decision is varied so Nuttall may sell any securities held on March 29, 2012 in her trading accounts numbered 045-6770-0, 045-677U-0 and 050-0189-7 at Global Securities Corporation; on the condition that Nuttall use the proceeds to first pay the administrative penalty of \$15,000, so that the March 29, 2012 order is varied to read as follows:

1. under section 161(1)(b) of the Act, that Nuttall cease trading in, and be prohibited from purchasing, securities until the later of September 29, 2012 and the date she pays the amount in paragraph 3 of these orders, except Nuttall may sell any securities held on March 29, 2012 in her trading accounts numbered 045-6770-0, 045-677U-0 and 050-0189-7 at Global Securities Corporation, on the condition that Nuttall use the proceeds to first pay the amount in paragraph 3 of these orders;
2. under section 161(1)(j), that Nuttall is reprimanded; and

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3. under section 162, that Nuttall pay an administrative penalty of \$15,000.

¶ 5 April 24, 2012

¶ 6 **For the Commission**

Brent W. Aitken  
Vice Chair

Don Rowlatt  
Commissioner

Suzanne K. Wiltshire  
Commissioner