# BRITISH COLUMBIA SECURITIES COMMISSION Securities Act, RSBC 1996, c. 418

Citation: Re Blumenfeld, 2015 BCSECCOM 243 Date: 20151007

## **Reciprocal Order**

#### **Howard Blumenfeld**

## Section 161 of the Securities Act, RSBC 1996, c. 418

## Introduction

¶ 1 This is an order under sections 161(1) and 161(6)(d) of the *Securities Act*, RSBC 1996, c. 418.

## **Background**

¶ 2 Howard Blumenfeld agreed with the Ontario Securities Commission to be subject to sanctions in a settlement agreement the OSC approved on October 14, 2011 in *Richvale Resource Corporation et al.* 

#### Order

- ¶ 3 After providing Blumenfeld an opportunity to be heard, and considering staff's submissions, and considering it to be in the public interest, we order:
  - 1. under section 161(1)(b) of the Act, that Blumenfeld cease trading in, and is prohibited from purchasing securities permanently;
  - 2. under section 161(1)(c) of the Act, that any or all of the exemptions set out in the Act or regulations do not apply to Blumenfeld permanently; and
  - 3. under section 161(1)(d)(ii) of the Act, that Blumenfeld is permanently prohibited from becoming or acting as a director or officer of any issuer or registrant.

October 7, 2015

For the Commission

Nigel P. Cave Vice Chair

Gordon Holloway Commissioner