

2003 BCSECCOM 374

Order

Timothy Britton Brock

Section 161 of the *Securities Act*, RSBC 1996, c. 418

Background

- ¶ 1 The Executive Director has entered into a Settlement Agreement with Timothy Britton Brock (Brock) a copy of which is attached as Schedule "A".

The Order

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders, by consent, that:
1. under section 161(1)(d) of the Act, Brock is prohibited from becoming or acting as a director or officer of any reporting issuer for a period ending five years from the date of this Order; and
 2. under section 161(1)(c) of the Act, the exemptions described in sections 44 to 47, 74, 75, 98 or 99 of the Act do not apply to Brock for a period ending six years from the date of this Order;
 - (a) except that Brock may rely on the exemption in section 45(2)(7) of the Act for trading in his own account (the Account) and his current Registered Retirement Savings Program Account (the RRSP Account), through one registered dealer (the Dealer), subject to the following conditions:
 - (i) Brock will deliver a sworn declaration to the Executive Director listing all of the securities beneficially owned by him within 2 weeks of this Order prior to any trades taking place in the Account and the RRSP Account; and
 - (ii) Brock will deliver to the Dealer a copy of this Order prior to any trades taking place in the Account and the RRSP Account.

- ¶ 3 May 30, 2003

- ¶ 4 Martin Eady
A/Executive Director