

2005 BCSECCOM 700

Order

Martin Raymond Hall

Section 161 of the *Securities Act*, RSBC 1996, c. 418

Background

- ¶ 1 The Executive Director has entered into a Settlement Agreement with Martin Raymond Hall (Hall), a copy of which is attached as Schedule A.

The Order

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders, by consent:

1. under section 161(1)(a) of the *Securities Act*, RSBC 1996, c. 418 Hall comply fully with the Act, the *Securities Rules*, and any applicable regulations, policies and guidelines;
2. under section 161(1)(c) of the Act, that the exemptions described in sections 44 to 47, 74, 75, 98 and 99 of the Act do not apply to Hall for a period of 3 years, except that Hall may trade in securities for his own behalf through a single account with a person registered to trade securities under the Act, provided that he gives a copy of this order and the attached settlement agreement to the registrant at the time he opens an account and to anyone who succeeds the registrant;
3. under section 161(1)(f) of the Act that Hall's registration is subject to the following conditions:
 - (a) strict supervision by Hall's employer of all trading activity in Hall's client and personal accounts on a daily basis;
 - (b) a prohibition from recommending to clients the use of leveraged funds to purchase mutual funds; and
 - (c) a prohibition from accepting orders from clients using leveraged funds to purchase mutual funds unless those orders are approved by Hall's employer before entry;

until the later of:

- (i) 12 months from the date of this Order; or

2005 BCSECCOM 700

- (ii) the date that Hall completes the Conduct and Practices Handbook Course.

¶ 3 November 25, 2005

“Brenda Leong”

¶ 4 Brenda M. Leong
Executive Director