

2009 BCSECCOM 712

Variation Order

Richard Robert Good and DRG Investments Inc.

Section 171 of the *Securities Act*, RSBC 1996, c. 418

Introduction

- ¶ 1 Richard Robert Good (Good) has applied under section 171 of the *Securities Act*, RSBC 1996, c. 418 to vary the Order issued against him and DRG Investments Inc. (DRG) by the Executive Director on June 8, 2007, pursuant to a Settlement Agreement.
- ¶ 2 The Order (2007 BCSECCOM 320) is in effect permanently. Among other things, it ordered that:
- ... under section 161(1)(b) of the Act, Good cease trading in and be permanently prohibited from purchasing any securities, except that he may trade and purchase securities in one account in his own name for his own financial purpose, solely through a dealer registered to trade in securities under the Act and provided he gives the dealer a copy of this Order before any trade takes place;
- ¶ 3 Good has requested that the Order be varied to allow him to trade in securities through one Tax Free Savings Account. He seeks no other changes to the Order.

Order

- ¶ 4 The Executive Director, considering that it would not be prejudicial to the public interest, varies the Order under section 171 of the Act as follows:

DRG

1. under section 161(1)(a) of the Act, DRG comply fully with the Act, the Securities Rules, and any applicable regulations;
2. under section 161(1)(b) of the Act, DRG permanently cease trading in and be prohibited from purchasing any securities;

Good

3. under section 161(1)(a) of the Act, Good comply fully with the Act, the Securities Rules, and any applicable regulations;

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4. under section 161(1)(b) of the Act, Good cease trading in and be permanently prohibited from purchasing any securities, except that he may trade and purchase securities in up to two accounts in his own name for his own financial purpose, as follows: (a) one cash account, and (b) one Tax Free Savings Account. These accounts shall be solely through dealer(s) registered to trade in securities under the Act and provided he gives the dealer(s) a copy of this Order before any trade takes place;
5. under section 161(1)(d)(i) and (ii) of the Act, Good resign any position he may hold, and be permanently prohibited from becoming or acting, as a director or officer of any issuer, and
6. under section 161(1)(d)(v) of the Act (formerly section 161(1)(d)(iii)), Good be permanently prohibited from engaging in investor relations activities.

¶ 5 December 7, 2009

Langley E. Evans

¶ 6 Langley E. Evans
Acting Executive Director