

2011 BCSECCOM 411

Order

Don Maxwell

Securities Act, RSBC 1996, c. 418 (the Act)

Background

- ¶ 1 The Executive Director of the British Columbia Securities Commission has entered into a settlement agreement with Don Maxwell (Maxwell), a copy of which is attached as Schedule A.

Order

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders (the Order) that:
1. under section 161(1)(b) of the Act, Maxwell cease trading any securities or exchange contracts, except that he may trade:
 - (a) securities in accounts in his own name with a person registered to trade in securities under the Act; and
 - (b) non-syndicated mortgages as long as he is registered under the *Mortgage Brokers Act, RSBC 1996, c. 313*.
 2. under section 161(1)(d)(iii), (iv), and (v) of the Act:
 - (a) Maxwell is prohibited from becoming or acting as a registrant, investment fund manager or promoter,
 - (b) Maxwell is prohibited from acting in a management or consultative capacity in connection with activities in the securities market;
 - (c) Maxwell is prohibited from engaging in investor relations activities

for a period of 5 years from the date of the Order;

- ¶ 3 August 30, 2011

Paul Bourque

- ¶ 4 Paul C. Bourque, Q.C.
Executive Director