

**FORM F1**  
**TO MODEL PROVINCIAL RULE – MANDATORY CENTRAL COUNTERPARTY**  
***CLEARING OF DERIVATIVES***  
**INTRAGROUP EXEMPTION FORM**

Any counterparty to an intragroup transaction that is relying on the exemption in section 8(2) of Model Provincial Rule on Mandatory Central Counterparty Clearing of Derivatives, must provide electronically to the applicable local securities regulator this form duly completed within 30 days of the first transaction under this exemption.

**Type of Filing:**

☐ INITIAL                      or                      ☐ AMENDMENT

**Section 1- Notifying Entity's Details**

1. Full name:

2. Name(s) under which business is conducted, if different from item 1:

3. If this filing makes a name change on behalf of firm in respect of the name set out in item 1 or item 2, enter the previous name and the new name:

Previous name:

New name:

4. Head office

Address:

Telephone:

Email:

5. Mailing address (if different):

6. Other offices

Address:

Telephone:

Email:

7. Website address:

8. Contact employee

Name and title:

Telephone number:

E-mail address:

9. Canadian counsel (if applicable)

Firm name:

Contact name:

Telephone number:

E-mail address:

**Section 2 - Combined notification on behalf of other counterparties within the group to which the notifying entity belongs**

1. confirmation that both counterparties to the transaction choose to rely on the exemption and on what ground the exemption is available to them:
2. confirmation that the transaction is subject to appropriate centralized risk evaluation, measurement and control procedures. Please describe:
3. the legal entity identifier of both counterparties to the transaction in accordance with section 28 of Rule 91-507 *Trade Repositories and Derivatives Data Reporting*:
4. the ownership and control structure of the affiliated counterparties:
5. if applicable, confirmation that there is a legal agreement setting out the terms of the transaction, the date of the legal agreement, the signatories to the agreement and the nature of the agreement:

**Section 3 - Declaration**

"I am duly authorised to make this notification on behalf of the notifying affiliate and, where applicable, on behalf of the other affiliate entities listed above in Section 2. By submitting this notification form I confirm that the information in this application is accurate and complete to the best of my knowledge and belief and that I have taken all reasonable steps to ensure that this is the case."

Please confirm you have read and understood this declaration.

Yes ☐ No ☐ Notification Date (dd/mm/yy)

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Name of director or officer

Signature of director or officer

Official capacity

E-mail

Telephone number