

2008 BCSECCOM 404

Reciprocal Order

**Al Grossman (aka Abraham Herbert Grossman and Allen Grossman),
William Rouse, Ron Gardner (aka Ron Garner), Dianna Cassidy and
Robert Geller**

Section 161(1) of the *Securities Act*, RSBC 1996, c. 418

Introduction

- ¶ 1 This is an order under sections 161(1) and 161(6)(c) of the *Securities Act*, RSBC 1996, c.418.

Background

- ¶ 2 Al Grossman (aka Abraham Herbert Grossman and Allen Grossman), William Rouse, Ron Gardner (aka Ron Garner), Dianna Cassidy and Robert Geller are subject to orders made by the Alberta Securities Commission imposing sanctions on them in a decision rendered November 6, 2007 in [Maitland Capital Ltd., Re, 2007 ABASC 818](#).

Orders

- ¶ 3 After providing Grossman an opportunity to be heard, and considering it to be in the public interest, we order:
1. under section 161(1)(b) of the Act, that Grossman cease trading in, and is prohibited from purchasing, securities and exchange contracts,
 2. under section 161(1)(d)(i) and (ii), that Grossman resign any position Grossman holds as, and is prohibited from becoming or acting as, a director or officer of any issuer, registrant or investment fund manager,
 3. under section 161(1)(d)(iii), that Grossman is prohibited from becoming or acting as a registrant, investment fund manager or promoter,
 4. under section 161(1)(d)(iv), that Grossman is prohibited from acting in a management or consultative capacity in connection with activities in the securities market, and
 5. under section 161(1)(d)(v), that Grossman is prohibited from engaging in investor relations activities,

until November 6, 2027.

2008 BCSECCOM 404

- ¶ 4 After providing Rouse an opportunity to be heard, and considering it to be in the public interest, we order under section 161(1)(b) of the Act, that Rouse cease trading in, and is prohibited from purchasing, securities and exchange contracts, until November 6, 2010.
- ¶ 5 After providing Gardner an opportunity to be heard, and considering it to be in the public interest, we order under section 161(1)(b) of the Act, that Gardner cease trading in, and is prohibited from purchasing, securities and exchange contracts, until November 6, 2012.
- ¶ 6 After providing Cassidy an opportunity to be heard, and considering it to be in the public interest, we order under section 161(1)(b) of the Act, that Cassidy cease trading in, and is prohibited from purchasing, securities and exchange contracts, until November 6, 2010.
- ¶ 7 After providing Geller an opportunity to be heard, and considering it to be in the public interest, we order under section 161(1)(b) of the Act, that Geller cease trading in, and is prohibited from purchasing, securities and exchange contracts, until November 6, 2010.
- ¶ 8 July 15, 2008
- ¶ 9 **For the Commission**

Brent W. Aitken
Vice Chair

Don Rowlatt
Commissioner