



REGISTRANT OUTREACH WORKSHOP AGENDA

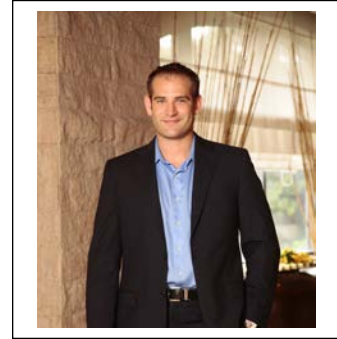
Thursday, February 20, 2014

2.00 – 4.00 p.m.

UBC Robson Square, 800 Robson Street,
Vancouver

1:30 to 2:00	SIGN IN AND NETWORKING OPPORTUNITY	
2:00 to 2:05	Welcome	Sandy Jakab, Director, Capital Markets Regulation, BCSC
2:05 to 2:10	Client Relationship Model II—2014 transition case studies	Edwin Leong, Senior Compliance Analyst, Capital Markets Regulation, BCSC
2:10 to 2:20	Client Relationship Model II—2014 transition case studies	Ray Harding, Lead Compliance Analyst, Capital Markets Regulation, BCSC
2:20 to 2:25	BCSC risk model update	Ray Harding, Lead Compliance Analyst, Capital Markets Regulation, BCSC
2:25 to 2:35	Q&A	
2:35 to 3:00	IIROC's experience with cyber-security challenges	Warren Funt, Vice President, Western Canada, IIROC
3:00 to 3:45	Cyber-security threat landscape and strategy	Derek Manky, Global Security Strategist, Fortinet Inc.
3:45 to 3:55	Q&A	
3:55 to 4:00	Evaluation Forms and Closing	Veronica Armstrong

Derek Manky
Global Security Strategist, Fortinet



Biography:

Derek Manky formulates security strategy based on years of threat and industry knowledge, with a goal to make a positive impact towards the global war on cyber crime. He has presented research and strategy world-wide at many security conferences, including meetings with leading political figures who help define the future of cyber security. He works globally within the security industry and Computer Emergency Response (CERT) to connect the dots, providing mitigation advice and threat forecasts based on correlated data and personal knowledge. Derek is recognized as a thought leader in the industry. He designed a vulnerability disclosure framework, which has been reliably used for years to responsibly fix security issues before criminals discover and attack them.

Derek also sits on a computing program committee with a premier technology institution in Canada, advising on next generation security requirements. He continues to dedicate his career to security, research and education.

Warren Funt is IIROC's Vice President, Western Canada. He started out with IIROC's predecessor, the Investment Dealers Association as Pacific Regional Director in January 2000. He is responsible for IIROC's securities regulatory operations for firms, brokers and marketplaces in Western Canada and is a member of IIROC's national Executive Management Team.

Before joining the IDA, Warren worked with the Vancouver Stock Exchange, holding various senior positions in trading, surveillance, compliance and corporate finance. He spent eight years in the industry as an Investment Advisor before joining the VSE.

Warren holds an M.B.A from Simon Fraser University and a B.A. from the University of British Columbia.