



REGISTRANT OUTREACH WORKSHOP AGENDA

Thursday, October 31, 2013

2.00 – 4.00 p.m.

**UBC Robson Square, 800 Robson Street,
Vancouver**

1:30 to 2:00	SIGN IN AND NETWORKING OPPORTUNITY	
2:00 to 2:05	Welcome	Veronica Armstrong Sandy Jakab, Director, Capital Markets Regulation, BCSC
2:05 to 3:00	Client Relationship Model II—impact and compliance expectations	Kate Lioubar, Senior Legal Counsel, Capital Markets Regulation, BCSC
3:00 to 3:15	NETWORKING OPPORTUNITY	
3:15 to 3:30	CRM II fact scenarios	Ray Harding, Senior Compliance Examiner, Capital Markets Regulation, BCSC Edwin Leong, Senior Compliance Examiner, Capital Markets Regulation, BCSC
3:30 to 3:55	Q&A session on CRM II	Kate Lioubar, Ray Harding, and Edwin Leong, Capital Markets Regulation, BCSC
3:55 to 4:00	Evaluation Forms and Closing	Pamela Egger