

The 9th Adviser Forum
Wednesday – June 20, 2007
Time: 1:00 pm to 4:00 pm
Suite 950, Canaccord Tower, 609 Granville Street, Pacific Centre

Facilitators: Examination Team, Capital Markets Regulation (CMR)

AGENDA

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| 1:00 to 1:15 | Coffee/sandwiches/snacks |
| 1:15 to 1:30 | Introduction |
| 1:30 to 2:00 | Insider trading and front running provisions – Bill 20
CSA passport system update – National Instruments 11-102
Brenda Benham, Special Counsel, Markets Relations, BCSC |
| 2:00 to 2:45 | Trade matching: National Instruments 24-101
Meg Tassie, Senior Advisor, CMR Policy, BCSC |
| 2:45 to 3:00 | Break |
| 3:00 to 4:00 | Open forum for Q &A <ul style="list-style-type: none">• How to survive your compliance exam• Design your compliance program• Adviser report card & risk guide |

Please send in agenda topics for the next forum to Lena Lew at 604-899-6650 or by email to llew@bcsc.bc.ca