

The 10th Adviser Forum
Thursday – June 19, 2008
Time: 1:00 pm to 4:00 pm
Suite 950, Canaccord Tower, 609 Granville Street, Pacific Centre

Facilitators: Examinations Team, Capital Markets Regulation (CMR)
Chair: Janice Leung, Senior Securities Examiner

AGENDA

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| 1:00 to 1:15 | Coffee/snacks |
| 1:15 to 1:30 | Introduction |
| 1:30 to 2:15 | Update on National Instruments 31-103 <i>Registration requirements</i> <ul style="list-style-type: none">• Laura Bliss, Senior Legal Counsel, CMR Policy, BCSC |
| 2:15 to 2:45 | Proposed BC Instruments on US over-the-counter markets activities <ul style="list-style-type: none">• John Porges, Manager, Compliance, Corporate Finance, BCSC• Tony Wong, Senior Legal Counsel, CMR Policy, BCSC |
| 2:45 to 3:00 | Break |
| 3:00 to 4:00 | Open forum for Q &A <ul style="list-style-type: none">• 2008 Adviser Report Card – Michael Sorbo, Manager, CMR Examinations, BCSC• Open discussion of your topics |

Please send agenda topics for the next Forum to Lena Lew by phone at 604-899-6650 or email her at llew@besc.bc.ca