

## Welcome

Thank you for taking the time to provide information to the British Columbia Securities Commission, the BCSC. We would like you to tell us what you know about investment fraud and other serious market misconduct related to British Columbia and we may pay you for this information, depending on how helpful it is. The more valuable your information is, the more we may pay you. Your tip will be logged with the Integrity Counts system, a secure online portal.

There are specific reasons you may not be eligible for a whistleblower award. Please review the following section and place a tick mark on the line below the bullet points if you agree that none of the exclusions apply to you.

I understand that I may be excluded from receiving an award if I:

- Am only providing information about my own wrongdoing
- Work for the BCSC, any other securities regulator or a law enforcement agency
- Have previously been contacted by the BCSC about this matter
- Provide information that is false or misleading, was acquired illegally, is subject to solicitor client privilege that has not been waived, or that is a breach of my rules of professional conduct.

\_\_\_\_\_ To the best of my knowledge and belief, none of the above apply to me and all of the information I am providing is true and complete. (*insert check mark on line before this sentence*)

If any of the above do apply to you, please consider filing a complaint with the BCSC:

Email: [Inquiries@bcsc.bc.ca](mailto:Inquiries@bcsc.bc.ca)

Mail: BCSC Inquiries

British Columbia Securities Commission

P.O. Box 10142, Pacific Centre

Vancouver, British Columbia

V7Y 1L2

Fax: (604) 899-6506

## Location & Confidentiality

### *Location*

Where do you live?

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Where did the misconduct occur?

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### *Do you want to be anonymous?*

If you file your tip anonymously, we will not know who you are. If we end up issuing allegations concerning your tip, we will have to disclose your tip to the person we make allegations against but not your identity since we will not know who you are. If it turns out your tip qualifies for payment, you will have to tell us who you are at the end of the process so that we can pay you.

If you choose to file your tip non anonymously, we will know who you are. If we issue allegations concerning your tip, we will have to disclose your tip and your identity to the person we make allegations against since we will know who you are.

Remember, the more helpful you and your tip are to us, the more we may be able to pay you. Non anonymous tipsters may be more helpful than anonymous tipsters since they can testify in a hearing.

The BCSC does not provide Confidential Informant Privilege.

Do you want to file your tip anonymously?

Yes \_\_\_\_\_

No \_\_\_\_\_

If your answer is no, please provide the following information:

Your name:

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Date of birth:

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Contact number:

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Email address:

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What is the best way to contact you?

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Do you want to be notified when you have a message from the BCSC on the Integrity Counts online portal? (We require an email address to do this.)

Yes \_\_\_\_\_

No \_\_\_\_\_

## Your Tip

### *When it Happened*

Is the misconduct ongoing?      Yes \_\_\_\_      No \_\_\_\_

When did this happen? \_\_\_\_\_ to \_\_\_\_\_

If at any point while you are filling out this form you do not have enough space to write in, please attach additional pages to the end of your tip.

### *Assets and Hardship*

Do you have any information about any assets like property, cars, or bank accounts that the person you are reporting about owns? If so, please provide details here:

Have you suffered any hardship as a result of exposing the wrongdoing you are going to tell us about? If so, please provide details here:

### ***Tip details***

Please tell us your story in the order that it happened. Tell us what happened. When it happened. Where it happened. Who was involved. How do you know.

Please provide as much identifying information as you can about the individuals or companies involved such as names, dates of birth, addresses, phone numbers, and social media account information.

If you are aware of any documents or other things that exist that you do not have but that help your story, please provide details.

## Documents

It is very helpful if you can send us documents to help tell your story. If you have any documents, please include copies of them when you mail your tip form.

If you want to be anonymous, please make sure your documents don't reveal your identity.

Please review your tip before you mail it to:

To whom it may concern  
PO Box 91880  
West Vancouver, BC  
V7V 4S4  
Canada

### ***Thank you for speaking up!***

Your tip will be logged with the Integrity Counts secure online system. Approximately two weeks after mailing your tip, if you provided an email address, you will receive a user name and password to log on to the Integrity Counts system. There, you can check for correspondence from the BCSC about your tip. If you submitted an anonymous tip, you will remain anonymous even if you log in to respond to any questions from us.

Please retain a copy of your completed form for your records.

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