

## Welcome

Thank you for taking the time to provide information to the BC Securities Commission, the BCSC. We would like you to tell us what you know about serious investment fraud or misconduct related to British Columbia and we may pay you for this information, depending on how helpful it is. The more valuable your information is, the more we may pay you.

Please confirm that all of the following are true by checking the box below:

- I am providing information about others, not just myself
- I do not work for the BCSC, any other securities regulator or a law enforcement agency
- I have not previously been contacted by the BCSC about this matter
- I am not providing information that is false or misleading, that I acquired illegally, that contains legal advice, or that is a breach of my professional rules of conduct.

\_\_\_\_\_ To the best of my knowledge and belief all of the above statements are true and the information I am providing is true and complete. *(insert check mark on line before this sentence)*

**If you are unable to confirm each of the above is true, but still wish to provide us information, please consider filing a complaint with the BCSC:**

Email: [Inquiries@bcsc.bc.ca](mailto:Inquiries@bcsc.bc.ca)

Mail: BCSC Inquiries  
BC Securities Commission  
P.O. Box 10142, Pacific Centre  
Vancouver, British Columbia  
V7Y 1L2  
Fax: (604) 899-6506

The BCSC's Whistleblower Policy and Credit for Cooperation Policy can be found at [www.bcsc.bc.ca](http://www.bcsc.bc.ca).

## Location & Confidentiality

### ***Location***

Where do you live?

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Where did you hear about the BCSC whistleblower program? (For example, Facebook, Instagram, Reddit, BCSC website, legal community, securities related outreach, colleague, friend)

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### ***Do you want to be anonymous?***

If you file your tip anonymously, we will not know who you are. If we issue allegations concerning your tip, we will have to disclose your tip to the person we make allegations against but not your identity since we will not know who you are. If it turns out your tip qualifies for payment and you want to be paid, you will have to tell us who you are at the end of the process so that we can pay you.

If you choose to file your tip non anonymously, we will know who you are. If we issue allegations concerning your tip, we will have to disclose your tip and your identity to the person we make allegations against since we will know who you are.

Remember, the more helpful you and your tip are to us, the more we may be able to pay you. Non anonymous tipsters may be more helpful than anonymous tipsters since they can testify in a hearing.

The BCSC does not provide Confidential Informant Privilege.

Do you want to file your tip anonymously?

Yes \_\_\_\_\_

If your answer is no, please provide the following information:

Your name:

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Date of birth:

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Phone number:

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Email address:

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What is the best way to contact you?

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Do you want to be notified when you have a message from the BCSC on the Integrity Counts online portal? (We require an email address to do this.)

Yes \_\_\_\_\_

No \_\_\_\_\_

## Your Tip

### ***When it Happened***

Is the misconduct ongoing?      Yes \_\_\_\_      No \_\_\_\_

Date range of incident \_\_\_\_\_ to \_\_\_\_\_

### ***What motivated you to report?***

Please tell us what motivated you to report to the BCSC.

- |  |   |
|--|---|
| <input type="checkbox"/> Unfairness        | <input type="checkbox"/> Stronger investment market in BC |
| <input type="checkbox"/> Anger/frustration | <input type="checkbox"/> Other                            |
| <input type="checkbox"/> Money/reward      |   |

If at any point while you are filling out this form, you do not have enough space to write in, please attach additional pages to the end of your tip.

### ***Tip details***

Please tell us your story in the order that it happened. Tell us what happened. When it happened. Where it happened. Who was involved. How do you know.

Please provide as much identifying information as you can about the individuals or companies involved such as names, dates of birth, addresses, phone numbers, and social media account information.

If you are eligible for payment, do you want to receive payment? By checking "Yes" you also confirm you understand you will have to reveal your identity to be paid. If you are submitting this form anonymously, please provide a confidential, non-identifying email address so we can contact you.

Yes  No

If you are aware of any documents or other things that exist that you do not have but that help your story, please provide details.

## Documents

It is very helpful if you can send us documents to help tell your story. If you have any documents, please include copies of them when you mail your tip form.

If you want to be anonymous, please make sure your documents don't reveal your identity.

Please review your tip before you mail it to:

To whom it may concern  
PO Box 91880  
West Vancouver, BC  
V7V 4S4  
Canada

### ***Thank you for speaking up!***

Your tip will be logged with the Integrity Counts secure online system. Approximately two weeks after mailing your tip, and if you provided an email address, you will receive a username and password to log on to the Integrity Counts system. There, you can check for correspondence from the BCSC about your tip.

If you have mailed in this form, please provide an email address so we can contact you.

If you have provided your tip anonymously, you will need to create an anonymous email address so we can reach you.

Please retain a copy of your completed form for your records.

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