#### **BRITISH COLUMBIA SECURITIES COMMISSION**

Securities Act, RSBC 1996, c. 418

Citation: Re Weicker, 2025 BCSECCOM 454 Date: 20251007

#### Robert Frederick Weicker and Amina Umutoni Weicker

PanelMarion ShawCommissionerGordon JohnsonVice Chair

Karen Keilty Commissioner

**Date of Ruling** October 7, 2025

#### Ruling

#### I. Introduction

- [1] On July 31, 2013, the executive director issued a notice of hearing (2013 BCSECCOM 74) against Robert Frederick Weicker (Robert) and Amina Umutoni Weicker (Amina).
- [2] In Findings issued April 2, 2015 (2015 BCSECCOM 19), a Commission panel found that:
  - (a) Robert contravened section 57.2(3) of the Act (as it then was) when he informed Amina about an undisclosed material fact in relation to Geo Minerals Inc. (Geo), and
  - (b) Amina contravened section 57.2(2) of the Act (as it then was) when she purchased shares of Geo while she was in a special relationship with Geo and in possession of material undisclosed information.
- [3] In a Decision issued August 28, 2015 (*Re Weicker*, 2015 BCSECCOM 335), the Commission panel ordered:
  - (a) various market prohibitions against Robert and Amina,
  - (b) a joint and several order under section 161(1)(g) of the Act against Robert and Amina that they pay \$40,132.67 to the Commission (Order),
  - (c) an order under section 162 of the Act that Amina pay an administrative fine of \$40,000; and
  - (d) an order under section 162 of the Act that Robert pay an administrative fine of \$60,000.
- [4] On September 15, 2015, staff registered the Commission's sanctions decision with the Supreme Court of British Columbia and ultimately initiated collections proceedings against Robert (Collection Proceedings).
- [5] On February 15, 2023, the executive director entered into a settlement agreement with Robert which resolved the Collections Proceedings. As part of the settlement, the Commission obtained \$40,132.67 from Robert which was applied to the Order (Funds).
- [6] On July 29, 2025, the executive director applied to the Commission for approval of a proposed claims process under section 15.1 of the Act relating to the Funds.

### II. Ruling

[7] Having reviewed the materials filed by the executive director and considering the public interest, we approve the claims process proposed by the executive director, in the manner set out in Appendices A, B and C of this Ruling.

October 7, 2025

#### For the Commission

Marion Shaw Commissioner Gordon Johnson Vice Chair

Karen Keilty Commissioner

# Appendix A

Item	Proposal
Notice	Staff will post a notice (attached as Appendix B) on the Commission's public website.
Notice Period	Applicants will have three months from the date the notice is posted to file claims.
Claims Form	Applicants will be required to use a claims form (attached as Appendix C).
Reporting	After the notice period has expired and applications are vetted, staff will file a report with the panel, making recommendations on claims.

#### Appendix B – Notice

# NOTICE THAT THE BRITISH COLUMBIA SECURITIES COMMISSION HAS RECEIVED MONEY PURSUANT TO ORDERS AGAINST ROBERT FREDERICK WEICKER AND AMINA UMUTONI WEICKER

The British Columbia Securities Commission (Commission) received \$40.132.67

as a result of an order made by the Commission under section 161(1)(g) of the Securities Act (the Act) against Robert Frederick Weicker and Amina Umutoni Weicker (Weicker (Re), 2015 BCSECCOM 335)
Pursuant to section 15.1 of the Act, the Commission must publish a notice if funds are received from an order under section 161(1)(g) so that persons who lost money as a direct result of the misconduct that resulted in the order may make a claim.
Robert Frederick Weicker (Robert) contravened section 57.2(3) of the Act (as it then was) when he informed Amina Umutoni Weicker (Amina) about an undisclosed material fact in relation to a reporting issuer, Geo Minerals Inc.
Amina contravened section 57.2(2) of the Act (as it then was) when she purchased shares of Geo while she was in a special relationship to Geo (by virtue of her husband Robert's tip) and in possession of material undisclosed information.
On August 28, 2015, a Commission panel ordered various market prohibitions against Robert and Amina and ordered them to disgorge \$40,132.67 under section 161(1)(g) of the Securities Act. The Commission panel also ordered an administrative penalty of \$60,000 against Robert and an administrative penalty of

For more information, please see:

\$40,000 against Amina.

Notice posted on \_\_\_\_\_

Returning Funds to Investors on the Commission's website

Form to make your claim by no later than \_\_\_\_\_\_, 2026.

• Securities Act, RSBC 1996, c. 418, sections 15.1 and 161(1)(g)

If you are an investor who lost money in this matter, you may be eligible to recover funds. Please use this link/go button to make your online claim or this

 Securities Regulation, BC Reg. 204/2021, Part 3 – Claims Under Section 15.1 of the Act

## Appendix C - Claims Form



# **CLAIMS APPLICATION FORM**

To make a claim, complete the form and submit it along with any documents that support your claim. For information about how to make a claim, please see the Commission document: "Instructions for Making a Claim".

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		nt that is the subject of the Commissione money for something else or interes
	Please provide	details.
from your invotions, distribu	vestment? For e: utions, commissi	xample, did you get any benefits, ons or other payments?
	from your in	Please provide

PAGE 2 OF 3:
<ol> <li>Have you received or are you entitled to receive compensation for your loss from anyone else?</li> <li>☐ Yes ☐ No If yes, please provide details.</li> </ol>
<ol> <li>Did you do anything to offset or reduce your loss, such as hedging transactions? ☐ Yes ☐ No If yes, what were those transactions and what were the results? Please provide details.</li> </ol>
5. Did you directly or indirectly take part in the misconduct that resulted in the Commission's order?  ☐ Yes ☐ No If yes, how did you take part in the misconduct? Please provide details.
6. Did you ever provide any records to the Commission that relate to your loss? ☐ Yes ☐ No If yes, who did you provide them to, when, and what were the records? Please provide details.
7. Has the Commission ever denied any of your claims as part of any disgorgement process, for this loss or any other loss? ☐ Yes ☐ No If yes, please provide details.

#### BEFORE SUBMITTING YOUR CLAIM, YOU MUST CONFIRM THAT:

- 1. The information in your form or that you submit in support of your claim is true and correct. The Commission may deny your claim if you make a statement or provide information that is false or misleading, or omit facts that make your statement or information false or misleading. After you submit your claim, if any information in your claim changes in a material respect, you must contact the Commission and report any change. If you do not contact the Commission and report changes, the Commission may deny your claim.
- 2. You are aware that the Commission is collecting personal information from you for the purpose of determining your claim of money pursuant to section 15.1 of the Securities Act and Part 3 of the Securities Regulation, and you consent to the Commission using it for that purpose. Information in your claim may be disclosed pursuant to the Freedom of Information and Protection of Privacy Act and the Securities Act. If you have questions about collection of your personal information in this form, you can contact the Commission's Privacy Officer by email at foi-privacy@bcsc.bc.ca, by phone at 604-899-6500, or by mail at 701 West Georgia Street, P.O. Box 10142, Pacific Centre, Vancouver B.C. V7Y 1L2.
- 3. You are aware that it is an offence under section 168.1 of the Securities Act to make a statement or submit information to the Commission that, in a material respect and at the time and in light of the circumstances under which it is made, is false or misleading, or omit facts from the statement or information necessary to make that statement or information not false or misleading.





## INSTRUCTIONS FOR MAKING A CLAIM

# Please read these instructions before making a claim

You may be eligible to claim money that the Commission received as payment of a Commission order.

#### DEADLINE FOR FILING YOUR CLAIM

You must submit your claim within the time period set out in the Notice that relates to your claim. You can view the Commission's notice and the date it was posted on the Commission's website at bcsc.bc.ca/Enforcement

#### HOW TO FILE A CLAIM

Attached is the form required to make a claim for money the Commission receives as payment of a Commission order.

#### ONLINE

To make a claim online, please go to our website at bcsc.bc.ca/Enforcement, select 'Returning Funds to Investors' then press the 'Go' button under Start Your Claim.

#### **EMAIL**

If you cannot submit the form online, please print the form, fill it out and email it along with supporting documents to claimsprocess@bcsc.bc.ca. Please put the BCSECCOM# in the "Subject" line of your email. The BCSECCOM# is stated on the Notice for this case.

#### MAIL

If you cannot submit the form online or email it, you can mail a completed form and supporting documents to:

Enforcement - Claims Process
Claim number: BCSECCOM#
BC Securities Commission
P.O. Box 10142, Pacific Centre
701 West Georgia Street
Vancouver, British Columbia, Canada V7Y 1L2

PAGE 2 OF 2:

#### WHAT HAPPENS AFTER MAKING YOUR CLAIM

If the Commission determines that you are an eligible applicant, it may make a payment to you. When determining the amount to pay you, the Commission must consider the following:

- (a) the amount of money the Commission received from the order
- (b) the loss you suffered
- (c) the losses all eligible applicants suffered
- (d) any other information the Commission considers appropriate in the circumstances.

If there is not enough to pay the claims of all eligible applicants, the Commission may prorate claims among the eligible applicants. This means eligible applicants may get only some of the amount they claim.

If the Commission intends to deny all or part of your claim, other than to prorate your claim, you will have an opportunity to make submissions to the Commission before it decides your claim.

#### ADDITIONAL INFORMATION

Section 15.1 of the Securities Act and Part 3 of the Securities Regulations relate to this claim process.

You can view these documents on the Commission's website at www.https://www.bcsc.bc.ca/securities-law.

