



Citation: 2021 BCSECCOM 155

Order

Monita Hung Mui Chan

Securities Act, RSBC 1996, c. 418 (the Act)

Background

- ¶ 1 The Executive Director of the British Columbia Securities Commission has entered into a settlement agreement with Monita Hung Mui Chan (Chan), a copy of which is attached as Schedule A.

Order

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders (the Order) that:

1. Chan is prohibited for the later of 10 years, or until she has paid the amounts in paragraphs 1.8 and 1.9 of the settlement agreement:
 - (a) under section 161(1)(b)(ii), from trading in or purchasing any securities or exchange contracts, except that she may trade and purchase securities or exchange contracts for her own account through a registered dealer, if she gives the registered dealer a copy of the Order;
 - (b) under section 161(1)(c), from relying on any of the exemptions set out in the Act, the regulations or a decision;
 - (c) under section 161(1)(d)(ii), from becoming or acting as a director or officer of any issuer or registrant;
 - (d) under section 161(1)(d)(iii), from becoming or acting as a registrant or promoter;
 - (e) under section 161(1)(d)(iv), from acting in a management or consultative capacity in connection with activities in the securities market;
 - (f) under section 161(1)(d)(v), from engaging in promotional activities by or on behalf of an issuer, security holder or party to a derivative, or another person that is reasonably expected to benefit from the promotional activity; and



- (g) under section 161(1)(d)(vi), from engaging in promotional activities on her own behalf in respect of circumstances that would reasonably be expected to benefit her.
- 2. Chan pay to the Commission \$100,000, pursuant to section 161(1)(g) of the Act.

Peter J Brady
Apr 19 2021 9:47 AM -07:00

¶ 3 Peter J. Brady
Executive Director