



Citation: 2021 BCSECCOM 419

Order

Jeffrey Dean Mushaluk

Securities Act, RSBC 1996, c. 418

Background

- ¶ 1 The Executive Director of the British Columbia Securities Commission (the Executive Director) has entered into a settlement agreement with Jeffrey Dean Mushaluk (Mushaluk), a copy of which is attached as Schedule A.

Order

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders (the Order) that:

1. Mushaluk is prohibited for 12 years:

- (a) under section 161(1)(b)(ii), from trading in or purchasing any securities or derivatives, except that he may trade in accounts in his own name through a registrant if he provides a copy of the Order to the registrant before any trade takes place;
- (b) under section 161(d)(iii), from becoming or acting as a registrant or promoter;
- (c) under section 161(1)(d)(iv), from advising or otherwise acting in a management or consultative capacity in connection with activities in the securities or derivatives markets; and
- (d) under section 161(1)(d)(v), from engaging in promotional activities by or on behalf of
 - (i) an issuer, a security holder or party to a derivative, or
 - (ii) another person that is reasonably expected to benefit from the promotional activity.

Peter J Brady

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- ¶ 3 Peter J. Brady
Executive Director