



Citation: 2022 BCSECCOM 186

Order

Norman George Wilfred Wareham

Securities Act, RSBC 1996, c. 418 (the Act)

Background

- ¶ 1 The Executive Director of the British Columbia Securities Commission has entered into a settlement agreement with Norman George Wilfred Wareham (Wareham), a copy of which is attached as Schedule A.

Order

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders (the Order) that:

1. Wareham is prohibited for a period of three years:
 - (a) under section 161(1)(d)(ii), from becoming or acting as a director or officer of any reporting issuer;
 - (b) under section 161(1)(d)(iii), from becoming or acting as a registrant or promoter; and
 - (c) under section 161(1)(d)(iv), from advising or otherwise acting in a management or consultative capacity in connection with activities in the securities or derivatives markets.

Peter J Brady

May 27 2022 3:36 PM -07:00

- ¶ 3 Peter J. Brady
Executive Director