

Citation: 2023 BCSECCOM 493

Order

Marcus (Ming) Xu and M.Y. Capital Management Corporation

Securities Act, RSBC 1996, c. 418 (the Act)

Background

- ¶ 1 The Executive Director of the British Columbia Securities Commission has entered into a settlement agreement with Marcus Ming Xu (Xu) and M.Y. Capital Management Corporation (M.Y. Capital), a copy of which is attached as Schedule A.

Order

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders (the Order) that:
1. Xu is prohibited for four (4) years, under section 161(1)(d)(iii), from becoming or acting as a chief compliance officer of M.Y. Capital or any registrant.

Peter J Brady
12/7/2023 | 9:39 AM PST

- ¶ 3 Peter J. Brady
Executive Director