

Citation: 2024 BCSECCOM 81

Order

Anthony Kevin Jackson, BridgeMark Financial Corp., and Jackson & Company Professional Corp.

Securities Act, RSBC 1996, c. 418 (the Act)

Background

- ¶ 1 The Executive Director of the British Columbia Securities Commission has entered into a settlement agreement with Anthony Kevin Jackson, BridgeMark Financial Corp., and Jackson & Company Professional Corp., a copy of which is attached as Schedule A.

Order

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders (the Order) that:
1. Under section 161(1)(d)(i), Jackson resign any position he holds as a director or officer of an issuer or registrant other than an issuer in which he owns all of the issued and outstanding shares;
 2. Jackson is prohibited for a period of 8 years:
 - (a) Under section 161(1)(d)(ii) from becoming or acting as a director or officer of an issuer or registrant other than an issuer in which he owns all of the issued and outstanding shares; and
 - (b) Under section 161(1)(c), from purchasing securities of an issuer where the securities are issued through reliance on the consultant exemption in section 2.24 of NI 45-106;
 3. Jackson is prohibited for a period of 5 years:
 - (a) Under section 161(1)(d)(iv), from advising or otherwise acting in a management or consultative capacity in connection with activities in the securities or derivatives markets;
 - (b) Under section 161(1)(d)(v), from engaging in promotional activities by or on behalf of any reporting issuer, security holder of a reporting issuer or party to a

derivative or another person that is reasonably expected to benefit from the promotional activity in respect of a reporting issuer; and

- (c) Under section 161(1)(d)(vi), from engaging in promotional activities on the person's own behalf in respect of circumstances that would reasonably be expected to benefit the person;

Except that Jackson may advise or otherwise act in a consultative capacity for non-reporting issuers (i) in the area of accounting and (ii) in the areas of takeover bids, issuer bids, reverse takeovers, amalgamations, mergers, arrangements, reorganizations, share exchanges, business combinations or other similar transactions, and may engage in promotional activities incidental to his consulting in those areas. For the final 3 years of these prohibitions, the exceptions set out in (i) and (ii) will also apply to reporting issuers.

4. Jackson is prohibited for a period of 3 years:

- (a) Under section 161(1)(b)(ii), from trading in or purchasing any security or derivatives, except that he may trade and purchase securities or derivatives:

- (i) Of non-reporting issuers; and

- (ii) In his own account or the account of an issuer in which he owns all of the issued and outstanding shares, through a registered dealer if the registered dealer is first provided with a copy of this Order.

5. Jackson is prohibited for a period of 2 years:

- (a) Under section 161(1)(d)(iii), from becoming or acting as a registrant or promoter.

And that:

6. BridgeMark and Jackson & Company are prohibited for a period of 8 years:

- (a) Under section 161(1)(c), from purchasing securities of an issuer where the securities are issued through reliance on the consultant exemption in section 2.24 of NI 45-106;

7. BridgeMark and Jackson & Company are prohibited for a period of 5 years:

- (a) Under section 161(1)(d)(iv), from advising or otherwise acting in a management or consultative capacity in connection with activities in the securities or derivatives markets;
- (b) Under section 161(1)(d)(v), from engaging in promotional activities by or on behalf of any reporting issuer, security holder of a reporting issuer or party to a derivative or another person that is reasonably expected to benefit from the promotional activity in respect of a reporting issuer; and
- (c) Under section 161(1)(d)(vi), from engaging in promotional activities on the person's own behalf in respect of circumstances that would reasonably be expected to benefit the person;

Except that BridgeMark and Jackson & Company may advise or otherwise act in a consultative capacity for non-reporting issuers (i) in the area of accounting and (ii) in the areas of takeover bids, issuer bids, reverse takeovers, amalgamations, mergers, arrangements, reorganizations, share exchanges, business combinations or other similar transactions, and may engage in promotional activities incidental to their consulting in those areas. For the final 3 years of these prohibitions, the exceptions set out in (i) and (ii) will also apply to reporting issuers.

- 8. BridgeMark and Jackson & Company are prohibited for a period of 3 years:
 - (a) Under section 161(1)(b)(ii), from trading in or purchasing any security or derivatives, except that they may trade and purchase securities or derivatives:
 - (i) Of non-reporting issuers; and
 - (ii) In their own account or the account of an issuer in which they own all of the issued and outstanding shares, through a registered dealer if the registered dealer is first provided with a copy of this Order.
- 9. BridgeMark and Jackson & Company are prohibited for a period of 2 years:
 - (a) Under section 161(1)(d)(iii), from becoming or acting as a registrant or promoter.

Peter J Brady
3/1/2024 | 8:32 AM PST

¶ 3 Peter J. Brady
Executive Director