Number and Title	Section	Subsection	Cross ref	Number and Title	Section	Subsection	Cross ref	Number and Title	Section	Subsection	Number and Title	Section	Subsection	Proposed Action
No equivalent section (NES)	NES			R. 3119. Definitions	3119	(1) "best execution"		No equivalent section (NES)	NES		R. 3119. Definitions	3119	(1) "best execution"	Adopt IDPC Rule defined term
No equivalent section (NES)	NES			R. 3119. Definitions	3119	(1) "foreign exchange-traded security"		No equivalent section (NES)	NES		R. 3119. Definitions	3119	(1) "foreign exchange-traded security"	Adopt IDPC Rule defined term
No equivalent section (NES)	NES			R. 3119. Definitions	3119	(1) "foreign organized regulated market"		No equivalent section (NES)	NES		R. 3119. Definitions	3119	(1) "foreign organized regulated market"	Adopt IDPC Rule defined term
No equivalent section (NES)	NES			R. 3119. Definitions	3119	(1) "last sale price"		No equivalent section (NES)	NES		R. 3119. Definitions	3119	(1) "last sale price"	Adopt IDPC Rule defined term
No equivalent section (NES)	NES			R. 3119. Definitions	3119	(1) "Opening Order"		No equivalent section (NES)	NES		R. 3119. Definitions	3119	(1) "Opening Order"	Adopt IDPC Rule defined term
No equivalent section (NES)	NES			R. 3119. Definitions	3119	(1) "over-the-counter securities"		No equivalent section (NES)	NES		R. 3119. Definitions	3119	(1) "over-the-counter securities"	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3119. Definitions	3119	(1) "Trading Rules"		No equivalent section (NES)	NES		R. 3119. Definitions	3119	(1) "Trading Rules"	Adopt IDPC Rule defined term
No equivalent section (NES)	NES			R. 3120. Best execution obligation	3120	(1)		No equivalent section (NES)	NES		R. 3120. Best execution obligation	3120	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3121. Best execution factors	3121	(1)		No equivalent section (NES)	NES		R. 3121. Best execution factors for listed security and listed derivative orders	3121	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3121. Best execution factors	3121	(2)		No equivalent section (NES)	NES		R. 3121. Best execution factors for listed security and listed derivative orders	3121	(2)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3121. Best execution factors	3121	(3)		No equivalent section (NES)	NES		R. 3121. Best execution factors for listed security and listed derivative orders	3121	(3)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 3121. Best execution factors for listed security and listed derivative orders	3121	(4)	Adopt proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 3122. Best execution factors for over-the-counter security and over-the-counter derivative transactions	3122	(1)	Adopt proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 3122. Best execution factors for over-the-counter security and over-the-counter derivative transactions	3122	(2)	Adopt proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 3122. Best execution factors for over-the-counter security and over-the-counter derivative transactions	3122	(3)	Adopt proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 3122. Best execution factors for over-the-counter security and over-the-counter derivative transactions	3122	(4)	Adopt proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3122. Best execution process	3122	(1)		No equivalent section (NES)	NES		R. 3123. Best execution process	3123	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3123. Non-executing Dealer Member best execution policies and procedures	3123	(1)		No equivalent section (NES)	NES		R. 3124. Non-executing Dealer Member best execution policies and procedures	3124	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3124. Sending orders in bulk to foreign intermediaries	3124	(1)		No equivalent section (NES)	NES		R. 3125. Sending orders in bulk to foreign intermediaries	3125	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3126. Review of best execution policies and procedures	3126	(1)		No equivalent section (NES)	NES		R. 3126. Review of best execution policies and procedures	3126	(1)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3126. Review of best execution policies and procedures	3126	(2)		No equivalent section (NES)	NES		R. 3126. Review of best execution policies and procedures	3126	(2)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3126. Review of best execution policies and procedures	3126	(3)		No equivalent section (NES)	NES		R. 3126. Review of best execution policies and procedures	3126	(3)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3126. Review of best execution policies and procedures	3126	(4)		No equivalent section (NES)	NES		R. 3126. Review of best execution policies and procedures	3126	(4)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3127. Training	3127	(1)		No equivalent section (NES)	NES		R. 3127. Training	3127	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3128. Compliance with Order Protection Rule	3128	(1)		No equivalent section (NES)	NES		R. 3128. Compliance with Order Protection Rule	3128	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3129. Disclosure of best execution policies	3129	(1)		No equivalent section (NES)	NES		R. 3129. Disclosure of best execution policies	3129	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3129. Disclosure of best execution policies	3129	(2)		No equivalent section (NES)	NES		R. 3129. Disclosure of best execution policies	3129	(2)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3129. Disclosure of best execution policies	3129	(3)		No equivalent section (NES)	NES		R. 3129. Disclosure of best execution policies	3129	(3)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3129. Disclosure of best execution policies	3129	(4)		No equivalent section (NES)	NES		R. 3129. Disclosure of best execution policies	3129	(4)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3129. Disclosure of best execution policies	3129	(5)		No equivalent section (NES)	NES		R. 3129. Disclosure of best execution policies	3129	(5)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3129. Disclosure of best execution policies	3129	(6)		No equivalent section (NES)	NES		R. 3129. Disclosure of best execution policies	3129	(6)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3140. Identifying clients of a Non-Executing Dealer Member	3140	(1)		No equivalent section (NES)	NES		R. 3140. Identifying clients of a Non-Executing Dealer Member	3140	(1)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3140. Identifying clients of a Non-Executing Dealer Member	3140	(2)		No equivalent section (NES)	NES		R. 3140. Identifying clients of a Non-Executing Dealer Member	3140	(2)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3140. Identifying clients of a Non-Executing Dealer Member	3140	(3)		No equivalent section (NES)	NES		R. 3140. Identifying clients of a Non-Executing Dealer Member	3140	(3)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3503. Client Priority	3503	(1)		No equivalent section (NES)	NES		R. 3503. Client Priority	3503	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3503. Client Priority	3503	(2)		No equivalent section (NES)	NES		R. 3503. Client Priority	3503	(2)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3503. Client Priority	3503	(3)		No equivalent section (NES)	NES		R. 3503. Client Priority	3503	(3)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 5101. Introduction	5101	(1)(i)		No equivalent section (NES)	NES		R. 5101. Introduction	5101	(1)(i)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5101. Introduction	5101	(1)(ii)		No equivalent section (NES)	NES		R. 5101. Introduction	5101	(1)(ii)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5101. Introduction	5101	(1)(iii)		No equivalent section (NES)	NES		R. 5101. Introduction	5101	(1)(iii)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 5101. Introduction	5101	(2)(i)	Adopt new clause 5101(2)(i) which clarifies how 5000 series applies to mutual fund dealers
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 5101. Introduction	5101	(2)(ii)	Adopt new clause 5101(2)(ii) which clarifies that mutual fund dealer investments are considered inventory
No equivalent section (NES)	NES			R. 5102 - 5109. Reserved.	5102 - 5109			No equivalent section (NES)	NES		R. 5102 - 5109. Reserved.	5102 - 5109		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5110. Margin requirements - purposes	5110	(1)(i)		No equivalent section (NES)	NES		R. 5110. Margin requirements - purposes	5110	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5110. Margin requirements - purposes	5110	(1)(ii)		No equivalent section (NES)	NES		R. 5110. Margin requirements - purposes	5110	(1)(ii)	Adopt a revised version of existing IDPC Rule to include 'investing'
No equivalent section (NES)	NES			R. 5110. Margin requirements - purposes	5110	(2)		No equivalent section (NES)	NES		R. 5110. Margin requirements - purposes	5110	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5111. Margin requirements - general application	5111	(1)(i)		No equivalent section (NES)	NES		R. 5111. Margin requirements - general application	5111	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5111. Margin requirements - general application	5111	(1)(ii)		No equivalent section (NES)	NES		R. 5111. Margin requirements - general application	5111	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5111. Margin requirements - general application	5111	(2)		No equivalent section (NES)	NES		R. 5111. Margin requirements - general application	5111	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5111. Margin requirements - general application	5111	(3)		No equivalent section (NES)	NES		R. 5111. Margin requirements - general application	5111	(3)	Adopt existing IDPC Rule provision.

Section	Ref	Sub	Rule	Sec	Sub	Section	Ref	Sub	Rule	Sec	Sub	Notes
No equivalent section (NES)	NES		R. S111. Margin requirements - general application	S111	(4)	No equivalent section (NES)	NES		R. S111. Margin requirements - general application	S111	(4)	Adopt existing IDPC Rule provision.
R. 3.2.1 Client Lending and Margin	3.2.1	preamble	No equivalent section (NES)	NES		13.12 Restriction on borrowing from, or lending to, clients	13.12	(1)	R. S111. Margin requirements - general application	S111	(5) preamble	Adopt revised version of NI 31-103 and MFD Rule provision which restricts Mutual Fund Dealer clients from purchasing securities on margin.
R. 3.2.1 Client Lending and Margin	3.2.1	(c)(i)	No equivalent section (NES)	NES		13.12 Restriction on borrowing from, or lending to, clients	13.12	(1)(a)	R. S111. Margin requirements - general application	S111	(5)(i)	Adopt MFD Rule provision.
R. 3.2.1 Client Lending and Margin	3.2.1	(c)(ii)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. S111. Margin requirements - general application	S111	(5)(ii)	Adopt MFD Rule provision.
R. 3.2.1 Client Lending and Margin	3.2.1	(c)(iii)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. S111. Margin requirements - general application	S111	(5)(iii)	Adopt MFD Rule provision.
R. 3.2.1 Client Lending and Margin	3.2.1	(c)(iv)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. S111. Margin requirements - general application	S111	(5)(iv)	Adopt MFD Rule provision.
R. 3.2.1 Client Lending and Margin	3.2.1	(c)(v)	No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. S111. Margin requirements - general application	S111	(5)(v)	Adopt revised version of MFD Rule provision to refer to minimum capital amount instead of Levels
No equivalent section (NES)	NES		R. S112. Application of margin requirements - Dealer Member inventory positions	S112	(1)	No equivalent section (NES)	NES		R. S112. Application of margin requirements - Dealer Member inventory positions	S112	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S112. Application of margin requirements - Dealer Member inventory positions	S112	(2)(i)	No equivalent section (NES)	NES		R. S112. Application of margin requirements - Dealer Member inventory positions	S112	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S112. Application of margin requirements - Dealer Member inventory positions	S112	(2)(ii)	No equivalent section (NES)	NES		R. S112. Application of margin requirements - Dealer Member inventory positions	S112	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S112. Application of margin requirements - Dealer Member inventory positions	S112	(3)(i)	No equivalent section (NES)	NES		R. S112. Application of margin requirements - Dealer Member inventory positions	S112	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S112. Application of margin requirements - Dealer Member inventory positions	S112	(3)(ii)	No equivalent section (NES)	NES		R. S112. Application of margin requirements - Dealer Member inventory positions	S112	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(1)	No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(2)(i)	No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(2)(ii)	No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(3)(i)	No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(3)(ii)	No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(4)(i)	No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(4)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(4)(ii)	No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(4)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(4)(iii)	No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(4)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(4)(iv)	No equivalent section (NES)	NES		R. S113. Application of margin requirements - client account positions	S113	(4)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S114. Client securities that are collateral for a margin debt	S114	(1)	No equivalent section (NES)	NES		R. S114. Client securities that are collateral for a margin debt	S114	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S114. Client securities that are collateral for a margin debt	S114	(2)	No equivalent section (NES)	NES		R. S114. Client securities that are collateral for a margin debt	S114	(2)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member and Investment Dealer Form 1 specifically
No equivalent section (NES)	NES		R. S115. Dealer Member's rights in securities of indebted clients	S115	(1)(i)	No equivalent section (NES)	NES		R. S115. Dealer Member's rights in securities of indebted clients	S115	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S115. Dealer Member's rights in securities of indebted clients	S115	(1)(ii)	No equivalent section (NES)	NES		R. S115. Dealer Member's rights in securities of indebted clients	S115	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S115. Dealer Member's rights in securities of indebted clients	S115	(1)(iii)	No equivalent section (NES)	NES		R. S115. Dealer Member's rights in securities of indebted clients	S115	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S116. Dealer Member may buy or sell client securities	S116	(1)(i)	No equivalent section (NES)	NES		R. S116. Dealer Member may buy or sell client securities	S116	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S116. Dealer Member may buy or sell client securities	S116	(1)(ii)	No equivalent section (NES)	NES		R. S116. Dealer Member may buy or sell client securities	S116	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S117. Dealer Member's right to recover from indebted client	S117	(1)	No equivalent section (NES)	NES		R. S117. Dealer Member's right to recover from indebted client	S117	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S118 - S119. Reserved.	S118 - S119		No equivalent section (NES)	NES		R. S118 - S119. Reserved.	S118 - S119		Adopt existing IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	1(e)	R. S120. Margin requirements - when a rate is not specified	S120	(1)	Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(g)	R. S120. Margin requirements - when a rate is not specified	S120	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S121 - S229. Reserved.	S121 - S229		No equivalent section (NES)	NES		R. S121 - S229. Reserved.	S121 - S229		Adopt a revised version of existing IDPC Rule which corrects rule reference
No equivalent section (NES)	NES		R. S130. Definitions	S130	(1)	No equivalent section (NES)	NES		R. S130. Definitions	S130	(1)	Adopt a revised version of existing IDPC Rule defined term framework that refers to both Investment Dealer Form 1 and Mutual Fund Dealer Form 1.
No equivalent section (NES)	NES		R. S130. Definitions	S130	(2) "client account margin"	No equivalent section (NES)	NES		R. S130. Definitions	S130	(2) "client account margin"	Adopt a revised version of existing IDPC Rule definition referencing Investment Dealer Member specifically
No equivalent section (NES)	NES		R. S130. Definitions	S130	(2) "Dealer Member inventory margin"	No equivalent section (NES)	NES		R. S130. Definitions	S130	(2) "Dealer Member inventory margin"	Adopt IDPC Rule defined term
No equivalent section (NES)	NES		R. S130. Definitions	S130	(2) "equivalent number" or "equivalent quantity" or "equivalent quantities"	No equivalent section (NES)	NES		R. S130. Definitions	S130	(2) "equivalent number" or "equivalent quantity" or "equivalent quantities"	Adopt IDPC Rule defined term
No equivalent section (NES)	NES		R. S130. Definitions	S130	(2) "loan value"	No equivalent section (NES)	NES		R. S130. Definitions	S130	(2) "loan value"	Adopt a revised version of existing IDPC Rule definition referencing Investment Dealer Member specifically
No equivalent section (NES)	NES		R. S130. Definitions	S130	(2) "normal margin" or "normal margin required"	No equivalent section (NES)	NES		R. S130. Definitions	S130	(2) "normal margin" or "normal margin required"	Adopt IDPC Rule defined term
No equivalent section (NES)	NES		R. S130. Definitions	S130	(2) "underlying interest" or "underlying security" or "underlying basket of securities"	No equivalent section (NES)	NES		R. S130. Definitions	S130	(2) "underlying interest" or "underlying security" or "underlying basket of securities"	Adopt a revised version of existing IDPC Rule to reflect proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) preamble	No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) preamble	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "acceptable commercial, corporate and finance company notes"	No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "acceptable commercial, corporate and finance company notes"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "call protection period"	No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "call protection period"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "callable debt security"	No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "callable debt security"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "Canada debt securities"	No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "Canada debt securities"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "Canada Municipal debt securities"	No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "Canada Municipal debt securities"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "Canada Provincial debt securities"	No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "Canada Provincial debt securities"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "Canada Provincial residuals"	No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "Canada Provincial residuals"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "Canada Provincial strips"	No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "Canada Provincial strips"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "Canada residuals"	No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "Canada residuals"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "Canada strips"	No equivalent section (NES)	NES		R. S130. Definitions	S130	(3) "Canada strips"	Adopt existing IDPC Rule defined term

No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "Canadian banker acceptance futures contract"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "Canadian banker acceptance futures contract"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "extendible debt security"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "extendible debt security"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "extension election period"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "extension election period"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "extension factor"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "extension factor"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "floating rate debt obligation"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "floating rate debt obligation"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "low current credit rating"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "low current credit rating"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "maturity band"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "maturity band"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "retractable debt security"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "retractable debt security"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "retraction election period"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "retraction election period"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "retraction factor"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "retraction factor"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "United States debt securities"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(3) "United States debt securities"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) preamble	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) preamble	Adopt IDPC Rule provision.
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "basic margin requirement"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "basic margin requirement"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "Canada and United States listed equity securities eligible for margin"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "Canada and United States listed equity securities eligible for margin"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "Canada and United States unlisted equity securities eligible for margin"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "Canada and United States unlisted equity securities eligible for margin"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "control block"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "control block"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "floating rate preferred share"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "floating rate preferred share"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "foreign listed equity securities eligible for margin"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "foreign listed equity securities eligible for margin"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "future payments"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "future payments"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "government guaranteed equity securities"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "government guaranteed equity securities"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "installment receipt"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(4) "installment receipt"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) preamble	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) preamble	Adopt IDPC Rule provision.
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) "appropriate documentation"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) "appropriate documentation"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) "commitment"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) "commitment"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) "disaster out clause"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) "disaster out clause"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) "exempt purchaser"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) "exempt purchaser"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) "market out clause"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) "market out clause"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) "new issue letter"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) "new issue letter"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) "normal new issue margin"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) "normal new issue margin"	Adopt revised version of IDPC Rule defined term which corrects capitalization in English version. French version unchanged.
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) "trading on a when issued basis"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(5) "trading on a when issued basis"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) preamble	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) preamble	Adopt IDPC Rule provision.
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "capital share"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "capital share"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "capital share conversion loss"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "capital share conversion loss"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "combined conversion loss"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "combined conversion loss"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "conversion loss"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "conversion loss"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "convertible security"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "convertible security"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "currently convertible"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "currently convertible"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "currently exercisable"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "currently exercisable"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "exercisable security"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "exercisable security"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "exercise loss"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "exercise loss"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "Newco securities"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "Newco securities"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "Oldco securities"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "Oldco securities"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "retraction value"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "retraction value"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "split share company"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "split share company"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "split share preferred share"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(6) "split share preferred share"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(7) preamble	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(7) preamble	Adopt IDPC Rule provision.
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(7) "fixed interest rate"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(7) "fixed interest rate"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(7) "floating interest rate"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(7) "floating interest rate"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(7) "interest rate swap"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(7) "interest rate swap"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5130. Definitions	5130	(7) "realization clause"	No equivalent section (NES)	NES	R. 5130. Definitions	5130	(7) "realization clause"	Adopt existing IDPC Rule defined term

Section		Section	No.	Term	Section		Section	No.	Term	Notes
No equivalent section (NES)	NES	R. S130. Definitions	S130	(7) "total performance swap"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(7) "total performance swap"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	No equivalent section (NES)	NES		No equivalent section (NES)	NES	R. S130. Definitions	S130	(8) preamble	Adopt proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES	No equivalent section (NES)	NES		No equivalent section (NES)	NES	R. S130. Definitions	S130	(8) "structured product"	Adopt proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES	No equivalent section (NES)	NES		No equivalent section (NES)	NES	R. S130. Definitions	S130	(8) "principal at risk note"	Adopt proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES	No equivalent section (NES)	NES		No equivalent section (NES)	NES	R. S130. Definitions	S130	(8) "principal protected note"	Adopt proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES	R. S130. Definitions	S130	(8) preamble	No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) preamble	Adopt existing IDPC Rule provision under subsection S130(9) to reflect corresponding proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES	R. S130. Definitions	S130	(8) "foreign exchange position"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "foreign exchange position"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(8) "monetary asset or liability", "monetary asset", "monetary liability"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "monetary asset or liability", "monetary asset", "monetary liability"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(8) "net long (short) foreign exchange position"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "net long (short) foreign exchange position"	Adopt a revised version of existing IDPC Rule definition which includes formula.
No equivalent section (NES)	NES	R. S130. Definitions	S130	(8) "spot exchange rate"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "spot exchange rate"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(8) "term to maturity"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "term to maturity"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) preamble	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) preamble	Adopt existing IDPC Rule provision under subsection S130(10) to reflect corresponding proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "aggregate current value"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "aggregate current value"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "aggregate exercise value"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "aggregate exercise value"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "at-the-money"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "at-the-money"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "broad based index"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "broad based index"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "call option"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "call option"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "cumulative relative weight percentage"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "cumulative relative weight percentage"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "escrow receipt"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "escrow receipt"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "exchange-traded option"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "exchange-traded option"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "exercise price"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "exercise price"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "floating margin rate"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "floating margin rate"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "incremental basket margin rate"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "incremental basket margin rate"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "index"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "index"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "index futures contract"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "index futures contract"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "index option"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "index option"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "index participation unit"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "index participation unit"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "index participation unit option"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "index participation unit option"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "in-the-money"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "in-the-money"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "out-of-the-money"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "out-of-the-money"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "over-the-counter option"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "over-the-counter option"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "premium"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "premium"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "put option"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "put option"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "qualifying basket of index securities"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "qualifying basket of index securities"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "recognized option clearing corporation"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "recognized option clearing corporation"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "regular reset date"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "regular reset date"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "regular reset period"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "regular reset period"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "regulatory margin interval"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "regulatory margin interval"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "sector index"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "sector index"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "time value"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "time value"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "tracking error margin rate"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "tracking error margin rate"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "unit of trading"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "unit of trading"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S130. Definitions	S130	(9) "violation"	No equivalent section (NES)	NES	R. S130. Definitions	S130	(10) "violation"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. S131 - S199. Reserved.	S131 - S199		No equivalent section (NES)	NES	R. S131 - S199. Reserved.	S131 - S199		Adopt IDPC Rule provision.
No equivalent section (NES)	NES	R. S201. Introduction	S201	(1)(i)	No equivalent section (NES)	NES	R. S201. Introduction	S201	(1)(i)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. S201. Introduction	S201	(1)(ii)	No equivalent section (NES)	NES	R. S201. Introduction	S201	(1)(ii)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. S201. Introduction	S201	(1)(iii)	No equivalent section (NES)	NES	R. S201. Introduction	S201	(1)(iii)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. S201. Introduction	S201	(2)	No equivalent section (NES)	NES	R. S201. Introduction	S201	(2)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. S201. Introduction	S201	(3)	No equivalent section (NES)	NES	R. S201. Introduction	S201	(3)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. S201. Introduction	S201	(4)	No equivalent section (NES)	NES	R. S201. Introduction	S201	(4)	Adopt existing IDPC Rule introduction section.

Current			Proposed			Current			Proposed			Notes
No equivalent section (NES)	NES		R. S201. Introduction	S201	(5)	No equivalent section (NES)	NES		R. S201. Introduction	S201	(5)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES		R. S202 - S209. Reserved.	S205 - S209		No equivalent section (NES)	NES		R. S202 - S209. Reserved.	S205 - S209		Adopt existing IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	1(a)(i)	R. S210. Government issued or guaranteed bonds, debentures, treasury bills, notes and certain other non-commercial securities	S210	(1)(i)	Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(a)(i)	R. S210. Government issued or guaranteed bonds, debentures, treasury bills, notes and certain other non-commercial securities	S210	(1)(i)	Adopt existing IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	1(a)(ii)	R. S210. Government issued or guaranteed bonds, debentures, treasury bills, notes and certain other non-commercial securities	S210	(1)(ii)	Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(a)(ii)	R. S210. Government issued or guaranteed bonds, debentures, treasury bills, notes and certain other non-commercial securities	S210	(1)(ii)	Adopt existing IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	1(a)(iii)	R. S210. Government issued or guaranteed bonds, debentures, treasury bills, notes and certain other non-commercial securities	S210	(1)(iii)	Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(a)(iii)	R. S210. Government issued or guaranteed bonds, debentures, treasury bills, notes and certain other non-commercial securities	S210	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S210. Government issued or guaranteed bonds, debentures, treasury bills, notes and certain other non-commercial securities	S210	(2)	Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(a)I.1	R. S210. Government issued or guaranteed bonds, debentures, treasury bills, notes and certain other non-commercial securities	S210	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S210. Government issued or guaranteed bonds, debentures, treasury bills, notes and certain other non-commercial securities	S210	(3)	No equivalent section (NES)	NES		R. S210. Government issued or guaranteed bonds, debentures, treasury bills, notes and certain other non-commercial securities	S210	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S210. Government issued or guaranteed bonds, debentures, treasury bills, notes and certain other non-commercial securities	S210	(4)	No equivalent section (NES)	NES		R. S210. Government issued or guaranteed bonds, debentures, treasury bills, notes and certain other non-commercial securities	S210	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S211. Government residual debt and stripped coupons not in default	S211	(1)(i)	No equivalent section (NES)	NES		R. S211. Government residual debt and stripped coupons not in default	S211	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S211. Government residual debt and stripped coupons not in default	S211	(1)(ii)	No equivalent section (NES)	NES		R. S211. Government residual debt and stripped coupons not in default	S211	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S211. Government residual debt and stripped coupons not in default	S211	(1)(iii)	No equivalent section (NES)	NES		R. S211. Government residual debt and stripped coupons not in default	S211	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S211. Government residual debt and stripped coupons not in default	S211	(2)	No equivalent section (NES)	NES		R. S211. Government residual debt and stripped coupons not in default	S211	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S211. Government residual debt and stripped coupons not in default	S211	(3)	No equivalent section (NES)	NES		R. S211. Government residual debt and stripped coupons not in default	S211	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S212. Government floating rate debt obligations	S212	(1)(i)	No equivalent section (NES)	NES		R. S212. Government floating rate debt obligations	S212	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S212. Government floating rate debt obligations	S212	(1)(ii)	No equivalent section (NES)	NES		R. S212. Government floating rate debt obligations	S212	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S213. Government mortgage-backed securities	S213	(1)	No equivalent section (NES)	NES		R. S213. Government mortgage-backed securities	S213	(1)	Adopt existing IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	1(a)(iv)	R. S214. Other non-commercial issuers not qualifying under sections S210 through S212	S214	(1)(i)	Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(a)(iv)	R. S214. Other non-commercial issuers not qualifying under sections S210 through S212	S214	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S214. Other non-commercial issuers not qualifying under sections S210 through S212	S214	(1)(ii)	No equivalent section (NES)	NES		R. S214. Other non-commercial issuers not qualifying under sections S210 through S212	S214	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S214. Other non-commercial issuers not qualifying under sections S210 through S212	S214	(2)	No equivalent section (NES)	NES		R. S214. Other non-commercial issuers not qualifying under sections S210 through S212	S214	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S214. Other non-commercial issuers not qualifying under sections S210 through S212	S214	(3)	No equivalent section (NES)	NES		R. S214. Other non-commercial issuers not qualifying under sections S210 through S212	S214	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S215 - S219. Reserved.	S215 - S219		No equivalent section (NES)	NES		R. S215 - S219. Reserved.	S215 - S219		Adopt existing IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	1(a)(v)	R. S220. Commercial and corporate bonds, debentures, notes and other securities not in default	S220	(1)	Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(a)(v)	R. S220. Commercial and corporate bonds, debentures, notes and other securities not in default	S220	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S220. Commercial and corporate bonds, debentures, notes and other securities not in default	S220	(2)(i)	No equivalent section (NES)	NES		R. S220. Commercial and corporate bonds, debentures, notes and other securities not in default	S220	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S220. Commercial and corporate bonds, debentures, notes and other securities not in default	S220	(2)(ii)	No equivalent section (NES)	NES		R. S220. Commercial and corporate bonds, debentures, notes and other securities not in default	S220	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S221. Convertible commercial and corporate bonds, debentures, notes and other securities not in default	S221	(1)	No equivalent section (NES)	NES		R. S221. Convertible commercial and corporate bonds, debentures, notes and other securities not in default	S221	(1)	Adopt existing IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	1(b)	R. S222. Bank paper not in default	S222	(1)(i)	Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(b)	R. S222. Bank paper not in default	S222	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S222. Bank paper not in default	S222	(1)(ii)	Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(c)	R. S222. Bank paper not in default	S222	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S222. Bank paper not in default	S222	(1)(iii)	No equivalent section (NES)	NES		R. S222. Bank paper not in default	S222	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S223. Commercial residual debt and stripped coupons not in default	S223	(1)	No equivalent section (NES)	NES		R. S223. Commercial residual debt and stripped coupons not in default	S223	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S223. Commercial residual debt and stripped coupons not in default	S223	(2)	No equivalent section (NES)	NES		R. S223. Commercial residual debt and stripped coupons not in default	S223	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S224. Convertible commercial residual debt not in default	S224	(1)	No equivalent section (NES)	NES		R. S224. Convertible commercial residual debt not in default	S224	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S225. Commercial and corporate floating rate debt obligations not in default	S225	(1)(i)	No equivalent section (NES)	NES		R. S225. Commercial and corporate floating rate debt obligations not in default	S225	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S225. Commercial and corporate floating rate debt obligations not in default	S225	(1)(ii)	No equivalent section (NES)	NES		R. S225. Commercial and corporate floating rate debt obligations not in default	S225	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S226. Commercial and corporate income bonds not in default	S226	(1)	No equivalent section (NES)	NES		R. S226. Commercial and corporate income bonds not in default	S226	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S226. Commercial and corporate income bonds not in default	S226	(2)(i)	No equivalent section (NES)	NES		R. S226. Commercial and corporate income bonds not in default	S226	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S226. Commercial and corporate income bonds not in default	S226	(2)(ii)	No equivalent section (NES)	NES		R. S226. Commercial and corporate income bonds not in default	S226	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S227. Commercial and corporate mortgage-backed securities	S227	(1)	No equivalent section (NES)	NES		R. S227. Commercial and corporate mortgage-backed securities	S227	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S228 - S229. Reserved.	S228 - S229		No equivalent section (NES)	NES		R. S228 - S229. Reserved.	S228 - S229		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S230. Debt securities in default	S230	(1)	No equivalent section (NES)	NES		R. S230. Debt securities in default	S230	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S231 - S239. Reserved.	S231 - S239		No equivalent section (NES)	NES		R. S231 - S239. Reserved.	S231 - S239		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S240. Circumstances under which debt margin surcharge is imposed	S240	(1)	No equivalent section (NES)	NES		R. S240. Circumstances under which debt margin surcharge is imposed	S240	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S240. Circumstances under which debt margin surcharge is imposed	S240	(2)	No equivalent section (NES)	NES		R. S240. Circumstances under which debt margin surcharge is imposed	S240	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S240. Circumstances under which debt margin surcharge is imposed	S240	(3)(i)	No equivalent section (NES)	NES		R. S240. Circumstances under which debt margin surcharge is imposed	S240	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S240. Circumstances under which debt margin surcharge is imposed	S240	(3)(ii)	No equivalent section (NES)	NES		R. S240. Circumstances under which debt margin surcharge is imposed	S240	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S240. Circumstances under which debt margin surcharge is imposed	S240	(4)	No equivalent section (NES)	NES		R. S240. Circumstances under which debt margin surcharge is imposed	S240	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(1)	No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(2)(i)	No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(2)(ii)	No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(2)(iii)	No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(2)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(3)(i)	No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(3)(i)	Adopt existing IDPC Rule provision.

No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(3)(ii)	No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(3)(iii)	No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(3)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(3)(iv)	No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(3)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(3)(v)	No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(3)(v)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(3)(vi)	No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(3)(vi)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(3)(vii)	No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(3)(vii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(4)	No equivalent section (NES)	NES		R. S241. Determining debt margin surcharge	S241	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S242 - S249. Reserved.	S242 - S249		No equivalent section (NES)	NES		R. S242 - S249. Reserved.	S242 - S249		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S250. Mortgages	S250	(1)	Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(f)	R. S250. Mortgages	S250	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S250. Mortgages	S250	(2)	No equivalent section (NES)	NES		R. S250. Mortgages	S250	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S251 - S299. Reserved.	S251 - S299		No equivalent section (NES)	NES		R. S251 - S299. Reserved.	S251 - S299		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S301. Introduction	S301	(1)(i)	No equivalent section (NES)	NES		R. S301. Introduction	S301	(1)(i)	Adopt IDPC Rule introduction section.
No equivalent section (NES)	NES		R. S301. Introduction	S301	(1)(ii)	No equivalent section (NES)	NES		R. S301. Introduction	S301	(1)(ii)	Adopt IDPC Rule introduction section.
No equivalent section (NES)	NES		R. S301. Introduction	S301	(1)(iii)	No equivalent section (NES)	NES		R. S301. Introduction	S301	(1)(iii)	Adopt IDPC Rule introduction section.
No equivalent section (NES)	NES		R. S301. Introduction	S301	(1)(iv)	No equivalent section (NES)	NES		R. S301. Introduction	S301	(1)(iv)	Adopt IDPC Rule introduction section.
No equivalent section (NES)	NES		R. S301. Introduction	S301	(1)(v)	No equivalent section (NES)	NES		R. S301. Introduction	S301	(1)(v)	Adopt IDPC Rule introduction section.
No equivalent section (NES)	NES		R. S301. Introduction	S301	(1)(vi)	No equivalent section (NES)	NES		R. S301. Introduction	S301	(1)(vi)	Adopt IDPC Rule introduction section.
No equivalent section (NES)	NES		R. S301. Introduction	S301	(1)(vii)	No equivalent section (NES)	NES		R. S301. Introduction	S301	(1)(vii)	Adopt IDPC Rule introduction section.
No equivalent section (NES)	NES		R. S301. Introduction	S301	(2)	No equivalent section (NES)	NES		R. S301. Introduction	S301	(2)	Adopt IDPC Rule introduction section.
No equivalent section (NES)	NES		R. S301. Introduction	S301	(3)	No equivalent section (NES)	NES		R. S301. Introduction	S301	(3)	Adopt IDPC Rule introduction section.
No equivalent section (NES)	NES		R. S301. Introduction	S301	(4)	No equivalent section (NES)	NES		R. S301. Introduction	S301	(4)	Adopt IDPC Rule introduction section.
No equivalent section (NES)	NES		R. S302 - S309. Reserved.	S302 - S309		No equivalent section (NES)	NES		R. S302 - S309. Reserved.	S302 - S309		Adopt IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	1(d)	R. S310. Determining the basic margin requirement	S310	(1)	Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(e)	R. S310. Determining the basic margin requirement	S310	(1)	Adopt a revised version of existing IDPC Rule which corrects capitalization
No equivalent section (NES)	NES		R. S311. Canada and United States equity securities eligible for margin	S311	(1)	No equivalent section (NES)	NES		R. S311. Canada and United States equity securities eligible for margin	S311	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S311. Canada and United States equity securities eligible for margin	S311	(2)	No equivalent section (NES)	NES		R. S311. Canada and United States equity securities eligible for margin	S311	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S312. Foreign listed equity securities eligible for margin	S312	(1)	Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(e)(i)	R. S312. Foreign listed equity securities for margin	S312	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S313. Government guaranteed equity securities	S313	(1)	No equivalent section (NES)	NES		R. S313. Government guaranteed equity securities	S313	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S314. Floating rate preferred shares	S314	(1)	No equivalent section (NES)	NES		R. S314. Floating rate preferred shares	S314	(1)	Adopt existing IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	1(d)	R. S315. Other equity securities	S315	(1)	Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(g)	R. S315. Other equity securities	S315	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S316. - S319. Reserved.	S316-S319		No equivalent section (NES)	NES		R. S316. - S319. Reserved.	S316-S319		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(1)	No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(2)	No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(3)	No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(4)	No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(5)	No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(5)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(5)(i)	No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(5)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(5)(i)(a)	No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(5)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(5)(i)(b)	No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(5)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(5)(ii)(a)	No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(5)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(5)(ii)(b)	No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(5)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(6)	No equivalent section (NES)	NES		R. S320. Installment receipts	S320	(6)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S321. - S329. Reserved.	S321-S329		No equivalent section (NES)	NES		R. S321. - S329. Reserved.	S321-S329		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S330. Convertible and exchangeable equities	S330	(1)	No equivalent section (NES)	NES		R. S330. Convertible and exchangeable equities	S330	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S331. - S339. Reserved.	S331-S339		No equivalent section (NES)	NES		R. S331. - S339. Reserved.	S331-S339		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S340. Control blocks	S340	(1)	No equivalent section (NES)	NES		R. S340. Control blocks	S340	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S341. - S349. Reserved.	S341-S349		No equivalent section (NES)	NES		R. S341. - S349. Reserved.	S341-S349		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S350. Canada and United States rights and warrants eligible for margin	S350	(1)(i)	No equivalent section (NES)	NES		R. S350. Canada and United States rights and warrants eligible for margin	S350	(1)(i)	Adopt a revised version of existing IDPC Rule which includes reference to acceptable exchange
Form 1	Schedule 1 Notes and Instructions	1(d)	R. S350. Canada and United States rights and warrants eligible for margin	S350	(1)(ii)	Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(e)	R. S350. Canada and United States rights and warrants eligible for margin	S350	(1)(ii)	Adopt a revised version of existing IDPC Rule which includes reference to acceptable exchange
No equivalent section (NES)	NES		R. S351. - S359. Reserved.	S351-S359		No equivalent section (NES)	NES		R. S351. - S359. Reserved.	S351-S359		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. S360. Index participation units and qualifying baskets of index securities	S360	(1)	No equivalent section (NES)	NES		R. S360. Index participation units and qualifying baskets of index securities	S360	(1)	Adopt existing IDPC Rule provision.

		Rule					Rule			Notes
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(2)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(2)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(3)(i)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(3)(i)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(3)(ii)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(3)(ii)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(4)(i)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(4)(i)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(4)(ii)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(4)(ii)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(5)(i)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(5)(i)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(5)(ii)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(5)(ii)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(5)(iii)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(5)(iii)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(5)(iv)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(5)(iv)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(6)(i)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(6)(i)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(6)(ii)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(6)(ii)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(6)(iii)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(6)(iii)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(6)(iv)(a)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(6)(iv)(a)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(6)(iv)(b)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(6)(iv)(b)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(6)(iv)(c)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(6)(iv)(c)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(6)(v)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(6)(v)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(7)(i)(a)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(7)(i)(a)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(7)(i)(b)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(7)(i)(b)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(7)(ii)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(7)(ii)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(8)	No equivalent section (NES)	NES	R. 5360. Index participation units and qualifying baskets of index securities	5360	(8)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5361. - 5369. Reserved.	5361-5369		No equivalent section (NES)	NES	R. 5361. - 5369. Reserved.	5361-5369		Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5370. Securities held in a Trader's account	5370	(1)(i)	No equivalent section (NES)	NES	R. 5370. Securities held in a Trader's account	5370	(1)(i)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5370. Securities held in a Trader's account	5370	(1)(ii)	No equivalent section (NES)	NES	R. 5370. Securities held in a Trader's account	5370	(1)(ii)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5370. Securities held in a Trader's account	5370	(1)(iii)	No equivalent section (NES)	NES	R. 5370. Securities held in a Trader's account	5370	(1)(iii)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5370. Securities held in a Trader's account	5370	(1)(iv)	No equivalent section (NES)	NES	R. 5370. Securities held in a Trader's account	5370	(1)(iv)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5370. Securities held in a Trader's account	5370	(2)(i)	No equivalent section (NES)	NES	R. 5370. Securities held in a Trader's account	5370	(2)(i)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5370. Securities held in a Trader's account	5370	(2)(ii)	No equivalent section (NES)	NES	R. 5370. Securities held in a Trader's account	5370	(2)(ii)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5370. Securities held in a Trader's account	5370	(3)	No equivalent section (NES)	NES	R. 5370. Securities held in a Trader's account	5370	(3)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5371. - 5399. Reserved.	5371-5399		No equivalent section (NES)	NES	R. 5371. - 5399. Reserved.	5371-5399		Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5401. Introduction	5401	(1)(i)	No equivalent section (NES)	NES	R. 5401. Introduction	5401	(1)(i)	Adopt IOPC Rule introduction section.
No equivalent section (NES)	NES	R. 5401. Introduction	5401	(1)(ii)	No equivalent section (NES)	NES	R. 5401. Introduction	5401	(1)(ii)	Adopt IOPC Rule introduction section.
No equivalent section (NES)	NES	R. 5401. Introduction	5401	(1)(iii)	No equivalent section (NES)	NES	R. 5401. Introduction	5401	(1)(iii)	Adopt IOPC Rule introduction section.
No equivalent section (NES)	NES	R. 5401. Introduction	5401	(1)(iv)	No equivalent section (NES)	NES	R. 5401. Introduction	5401	(1)(iv)	Adopt IOPC Rule introduction section.
No equivalent section (NES)	NES	R. 5401. Introduction	5401	(1)(v)	No equivalent section (NES)	NES	R. 5401. Introduction	5401	(1)(v)	Adopt IOPC Rule introduction section.
No equivalent section (NES)	NES	No equivalent section (NES)	NES		No equivalent section (NES)	NES	R. 5401. Introduction	5401	(1)(vi)	Adopt proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES	R. 5401. Introduction	5401	(1)(vi)	No equivalent section (NES)	NES	R. 5401. Introduction	5401	(1)(vii)	Adopt IOPC Rule clause 5401(1)(vii) introduction section to reflect proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES	R. 5402. - 5409. Reserved.	5402-5409		No equivalent section (NES)	NES	R. 5402. - 5409. Reserved.	5402-5409		Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5410. Securities subject to redemption call or offer	5410	(1)	No equivalent section (NES)	NES	R. 5410. Securities subject to redemption call or offer	5410	(1)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5411. - 5419. Reserved.	5411-5419		No equivalent section (NES)	NES	R. 5411. - 5419. Reserved.	5411-5419		Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5420. Units	5420	(1)	No equivalent section (NES)	NES	R. 5420. Units	5420	(1)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5421. - 5429. Reserved.	5421-5429		No equivalent section (NES)	NES	R. 5421. - 5429. Reserved.	5421-5429		Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5430. Precious metal certificates and bullion	5430	(1)	No equivalent section (NES)	NES	R. 5430. Precious metal certificates and bullion	5430	(1)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5430. Precious metal certificates and bullion	5430	(2)	No equivalent section (NES)	NES	R. 5430. Precious metal certificates and bullion	5430	(2)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5431. - 5439. Reserved.	5431-5439		No equivalent section (NES)	NES	R. 5431. - 5439. Reserved.	5431-5439		Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5440. Interest rate swaps	5440	(1)(i)	No equivalent section (NES)	NES	R. 5440. Interest rate swaps	5440	(1)(i)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5440. Interest rate swaps	5440	(1)(ii)	No equivalent section (NES)	NES	R. 5440. Interest rate swaps	5440	(1)(ii)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5441. Total performance swaps	5441	(1)(i)	No equivalent section (NES)	NES	R. 5441. Total performance swaps	5441	(1)(i)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5441. Total performance swaps	5441	(1)(ii)	No equivalent section (NES)	NES	R. 5441. Total performance swaps	5441	(1)(ii)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5442. Swap counterparty margin requirements	5442	(1)(i)	No equivalent section (NES)	NES	R. 5442. Swap counterparty margin requirements	5442	(1)(i)	Adopt existing IOPC Rule provision.
No equivalent section (NES)	NES	R. 5442. Swap counterparty margin requirements	5442	(1)(ii)	No equivalent section (NES)	NES	R. 5442. Swap counterparty margin requirements	5442	(1)(ii)	Adopt existing IOPC Rule provision.

No equivalent section (NES)	NES		R. 5442. Swap counterparty margin requirements	5442	(1)(ii)	No equivalent section (NES)	NES		R. 5442. Swap counterparty margin requirements	5442	(1)(ii)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES		R. 5442. Swap counterparty margin requirements	5442	(2)(i)	No equivalent section (NES)	NES		R. 5442. Swap counterparty margin requirements	5442	(2)(i)	Adopt IDPC Rule provision.
No equivalent section (NES)	NES		R. 5442. Swap counterparty margin requirements	5442	(2)(ii)	No equivalent section (NES)	NES		R. 5442. Swap counterparty margin requirements	5442	(2)(ii)	Adopt IDPC Rule provision.
No equivalent section (NES)	NES		R. 5443. - 5449. Reserved.	5443-5449		No equivalent section (NES)	NES		R. 5443. - 5449. Reserved.	5443-5449		Adopt IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	(3)(c)	R. 5450. Margin requirements for mutual fund positions	5450	(1)(i)	Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(d)(i)	R. 5450. Margin requirements for mutual fund positions	5450	(1)(i)	Adopt existing IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	(3)(c)	R. 5450. Margin requirements for mutual fund positions	5450	(1)(ii)	Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(d)(ii)	R. 5450. Margin requirements for mutual fund positions	5450	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5451. - 5459. Reserved.	5451-5459		No equivalent section (NES)	NES		R. 5451. - 5454. Reserved.	5451-5454		Adopt a revised version of existing IDPC Rule to reflect proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 5455 Margin requirements for structured products	5455	(1)	Adopt proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 5455 Margin requirements for structured products	5455	(2)	Adopt proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 5456 Alternative calculation approach for structured products	5456	(1)	Adopt proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES		No equivalent section (NES)	NES		No equivalent section (NES)	NES		R. 5457. - 5459. Reserved.	5457-5459		Adopt proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(1)	No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(1)	Adopt IDPC Rule provision.
No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(2)	No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(3)	No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(4)	No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(5)	No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(5)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(6)	No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(6)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(7)	No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(7)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(8)	No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(8)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(9)	No equivalent section (NES)	NES		R. 5460. General margin requirements for foreign exchange positions	5460	(9)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(i)(a)	No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(i)(b)	No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(ii)(a)	No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(ii)(b)	No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(ii)(b)(i)(A)	No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(ii)(b)(i)(A)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(ii)(b)(i)(B)	No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(ii)(b)(i)(B)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(ii)(b)(ii)	No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(ii)(b)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(iii)(a)	No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(iii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(iii)(b)	No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(iii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(iii)(c)	No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(iii)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(iv)	No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(2)	No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(3)(i)	No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(3)(ii)	No equivalent section (NES)	NES		R. 5461. Foreign exchange currency group criteria and monitoring	5461	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5462. Spot risk margin rate	5462	(1)	No equivalent section (NES)	NES		R. 5462. Spot risk margin rate	5462	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5462. Spot risk margin rate	5462	(2)	No equivalent section (NES)	NES		R. 5462. Spot risk margin rate	5462	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5463. Spot risk margin requirement	5463	(1)	No equivalent section (NES)	NES		R. 5463. Spot risk margin requirement	5463	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5463. Spot risk margin requirement	5463	(2)	No equivalent section (NES)	NES		R. 5463. Spot risk margin requirement	5463	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5464. Term risk margin requirement	5464	(1)	No equivalent section (NES)	NES		R. 5464. Term risk margin requirement	5464	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5464. Term risk margin requirement	5464	(2)	No equivalent section (NES)	NES		R. 5464. Term risk margin requirement	5464	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5465. Maximum security margin requirement	5465	(1)(i)	No equivalent section (NES)	NES		R. 5465. Maximum security margin requirement	5465	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5465. Maximum security margin requirement	5465	(1)(ii)	No equivalent section (NES)	NES		R. 5465. Maximum security margin requirement	5465	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5465. Maximum security margin requirement	5465	(1)(iii)	No equivalent section (NES)	NES		R. 5465. Maximum security margin requirement	5465	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5466. Foreign exchange position offsets for Dealer Members	5466	(1)	No equivalent section (NES)	NES		R. 5466. Foreign exchange position offsets for Dealer Members	5466	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5466. Foreign exchange position offsets for Dealer Members	5466	(2)	No equivalent section (NES)	NES		R. 5466. Foreign exchange position offsets for Dealer Members	5466	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5466. Foreign exchange position offsets for Dealer Members	5466	(3)	No equivalent section (NES)	NES		R. 5466. Foreign exchange position offsets for Dealer Members	5466	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(i)	No equivalent section (NES)	NES		R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(ii)(a)	No equivalent section (NES)	NES		R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES		R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(ii)(b)	No equivalent section (NES)	NES		R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(ii)(b)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES		R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(ii)(b)(i)	No equivalent section (NES)	NES		R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(ii)(b)(i)	Adopt existing IDPC Rule provision.

No equivalent section (NES)	NES	R. S467. Alternative calculation approach for Dealer Member foreign exchange positions	S467	(1)(ii)(a)(II)	No equivalent section (NES)	NES	R. S467. Alternative calculation approach for Dealer Member foreign exchange positions	S467	(1)(ii)(a)(III)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S467. Alternative calculation approach for Dealer Member foreign exchange positions	S467	(1)(ii)(b)	No equivalent section (NES)	NES	R. S467. Alternative calculation approach for Dealer Member foreign exchange positions	S467	(1)(ii)(b)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES	R. S468. Client account margin requirements	S468	(1)(i)(a)	No equivalent section (NES)	NES	R. S468. Client account margin requirements	S468	(1)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S468. Client account margin requirements	S468	(1)(i)(b)	No equivalent section (NES)	NES	R. S468. Client account margin requirements	S468	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S468. Client account margin requirements	S468	(1)(ii)	No equivalent section (NES)	NES	R. S468. Client account margin requirements	S468	(1)(ii)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES	R. S468. Client account margin requirements	S468	(1)(iii)	No equivalent section (NES)	NES	R. S468. Client account margin requirements	S468	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S469. Foreign exchange concentration charge	S469	(1)	No equivalent section (NES)	NES	R. S469. Foreign exchange concentration charge	S469	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S469. Foreign exchange concentration charge	S469	(2)	No equivalent section (NES)	NES	R. S469. Foreign exchange concentration charge	S469	(2)(i)	Adopt and renumber existing IDPC Rule subsection S469(2) as clause S469(2)(i) which applies to Investment Dealer Members.
No equivalent section (NES)	NES	No equivalent section (NES)	NES		No equivalent section (NES)	NES	R. S469. Foreign exchange concentration charge	S469	(2)(ii)	Adopt new IDPC Rule clause S469(2)(ii) which applies to Mutual Fund Dealer Members
No equivalent section (NES)	NES	R. S470. – S499. Reserved.	S470-S499		No equivalent section (NES)	NES	R. S470. – S499. Reserved.	S470-S499		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(i)	No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)	Adopt IDPC Rule introduction section.
No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(ii)(a)	No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(i)(a)	Adopt IDPC Rule introduction section.
No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(ii)(b)	No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(i)(b)	Adopt IDPC Rule introduction section.
No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(ii)(c)	No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(i)(c)	Adopt IDPC Rule introduction section.
No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(ii)(d)	No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(i)(d)	Adopt IDPC Rule introduction section.
No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(ii)(e)	No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(i)(e)	Adopt IDPC Rule introduction section.
No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(iii)	No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(ii)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(iv)	No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(iv)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(v)	No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(v)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(vi)	No equivalent section (NES)	NES	R. S501. Introduction	S501	(1)(vi)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. S502. – S509. Reserved.	S502-S509		No equivalent section (NES)	NES	R. S502. – S509. Reserved.	S502-S509		Adopt IDPC Rule provision.
No equivalent section (NES)	NES	R. S510. Underwriting commitment amount	S510	(1)	No equivalent section (NES)	NES	R. S510. Underwriting commitment amount	S510	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S511. – S519. Reserved.	S511-S519		No equivalent section (NES)	NES	R. S511. – S519. Reserved.	S511-S519		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(1)	No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(2)(i)	No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(2)(ii)	No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(3)(i)	No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(3)(ii)	No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(4)(i)	No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(4)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(4)(ii)	No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(4)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(5)(i)	No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(5)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(5)(ii)(a)	No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(5)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(5)(ii)(b)	No equivalent section (NES)	NES	R. S520. Margin requirements for underwriting commitments where a new issue letter has not been obtained	S520	(5)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(1)	No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(a)	No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(b)	No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(a)(i)	No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(a)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(a)(ii)	No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(a)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(a)(iii)	No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(a)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(a)(iv)	No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(a)(IV)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(a)(v)	No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(a)(V)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(b)(i)	No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(b)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(b)(ii)	No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(2)(i)(b)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(3)(i)(a)	No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(3)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(3)(i)(b)	No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(3)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(3)(i)(c)	No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(3)(i)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(3)(ii)	No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(4)(i)(a)	No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(4)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(4)(i)(b)	No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(4)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(4)(i)(c)	No equivalent section (NES)	NES	R. S521. Margin requirements for underwriting commitments where a new issue letter has been obtained	S521	(4)(i)(c)	Adopt existing IDPC Rule provision.

No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(4)(i)(d)	No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(4)(i)(d)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(4)(ii)	No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(4)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(5)(i)(a)	No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(5)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(5)(i)(b)	No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(5)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(4)(i)(c)	No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(4)(i)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(5)(i)(d)	No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(5)(i)(d)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(5)(i)(e)	No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(5)(i)(e)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(5)(i)	No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(5)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(6)	No equivalent section (NES)	NES	R. 5521. Margin requirements for underwriting commitments where a new issue letter has been obtained	5521	(6)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(1)(i)	No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(1)(ii)	No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(1)(iii)	No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(1)(iv)	No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(1)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(2)(i)	No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(2)(ii)	No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(2)(iii)	No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(2)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(3)(i)	No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(3)(ii)	No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(4)	No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(5)	No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(5)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(6)	No equivalent section (NES)	NES	R. 5522. Margin requirements for underwriting commitments where expressions of interest from exempt purchasers have been obtained	5522	(6)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5523. Alternative approach to margining of private placements of restricted equity securities during the distribution period	5523	(1)	No equivalent section (NES)	NES	R. 5523. Alternative approach to margining of private placements of restricted equity securities during the distribution period	5523	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5523. Alternative approach to margining of private placements of restricted equity securities during the distribution period	5523	(2)(i)	No equivalent section (NES)	NES	R. 5523. Alternative approach to margining of private placements of restricted equity securities during the distribution period	5523	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5523. Alternative approach to margining of private placements of restricted equity securities during the distribution period	5523	(2)(ii)(a)	No equivalent section (NES)	NES	R. 5523. Alternative approach to margining of private placements of restricted equity securities during the distribution period	5523	(2)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5523. Alternative approach to margining of private placements of restricted equity securities during the distribution period	5523	(2)(ii)(b)	No equivalent section (NES)	NES	R. 5523. Alternative approach to margining of private placements of restricted equity securities during the distribution period	5523	(2)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5523. Alternative approach to margining of private placements of restricted equity securities during the distribution period	5523	(2)(ii)(c)	No equivalent section (NES)	NES	R. 5523. Alternative approach to margining of private placements of restricted equity securities during the distribution period	5523	(2)(ii)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5524. Margin requirements for a standby commitment to purchase securities under a rights offering	5524	(1)	No equivalent section (NES)	NES	R. 5524. Margin requirements for a standby commitment to purchase securities under a rights offering	5524	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5524. Margin requirements for a standby commitment to purchase securities under a rights offering	5524	(2)(i)	No equivalent section (NES)	NES	R. 5524. Margin requirements for a standby commitment to purchase securities under a rights offering	5524	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5524. Margin requirements for a standby commitment to purchase securities under a rights offering	5524	(2)(ii)	No equivalent section (NES)	NES	R. 5524. Margin requirements for a standby commitment to purchase securities under a rights offering	5524	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5524. Margin requirements for a standby commitment to purchase securities under a rights offering	5524	(2)(iii)	No equivalent section (NES)	NES	R. 5524. Margin requirements for a standby commitment to purchase securities under a rights offering	5524	(2)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5524. Margin requirements for a standby commitment to purchase securities under a rights offering	5524	(2)(iv)	No equivalent section (NES)	NES	R. 5524. Margin requirements for a standby commitment to purchase securities under a rights offering	5524	(2)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5524. Margin requirements for a standby commitment to purchase securities under a rights offering	5524	(2)(v)	No equivalent section (NES)	NES	R. 5524. Margin requirements for a standby commitment to purchase securities under a rights offering	5524	(2)(v)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5525. - 5529. Reserved.	5525-5529		No equivalent section (NES)	NES	R. 5525. - 5529. Reserved.	5525-5529		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5530. New issue letter	5530	(1)	No equivalent section (NES)	NES	R. 5530. New issue letter	5530	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5530. New issue letter	5530	(2)(i)	No equivalent section (NES)	NES	R. 5530. New issue letter	5530	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5530. New issue letter	5530	(2)(ii)	No equivalent section (NES)	NES	R. 5530. New issue letter	5530	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5530. New issue letter	5530	(2)(ii)(a)	No equivalent section (NES)	NES	R. 5530. New issue letter	5530	(2)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5530. New issue letter	5530	(2)(ii)(b)	No equivalent section (NES)	NES	R. 5530. New issue letter	5530	(2)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5530. New issue letter	5530	(2)(ii)(c)	No equivalent section (NES)	NES	R. 5530. New issue letter	5530	(2)(ii)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5530. New issue letter	5530	(3)	No equivalent section (NES)	NES	R. 5530. New issue letter	5530	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5531. - 5539. Reserved.	5531-5539		No equivalent section (NES)	NES	R. 5531. - 5539. Reserved.	5531-5539		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5540. Individual underwriting concentration charge	5540	(1)(i)(a)	No equivalent section (NES)	NES	R. 5540. Individual underwriting concentration charge	5540	(1)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5540. Individual underwriting concentration charge	5540	(1)(i)(b)	No equivalent section (NES)	NES	R. 5540. Individual underwriting concentration charge	5540	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5540. Individual underwriting concentration charge	5540	(1)(i)	No equivalent section (NES)	NES	R. 5540. Individual underwriting concentration charge	5540	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5541. Overall underwriting concentration charge	5541	(1)(i)(a)	No equivalent section (NES)	NES	R. 5541. Overall underwriting concentration charge	5541	(1)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5541. Overall underwriting concentration charge	5541	(1)(i)(b)	No equivalent section (NES)	NES	R. 5541. Overall underwriting concentration charge	5541	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5541. Overall underwriting concentration charge	5541	(1)(i)	No equivalent section (NES)	NES	R. 5541. Overall underwriting concentration charge	5541	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5542. - 5549. Reserved.	5542-5549		No equivalent section (NES)	NES	R. 5542. - 5549. Reserved.	5542-5549		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5550. Long qualifying basket of index securities - Short index participation units - Commitment to purchase index participation units	5550	(1)(i)	No equivalent section (NES)	NES	R. 5550. Long qualifying basket of index securities - Short index participation units - Commitment to purchase index participation units	5550	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5550. Long qualifying basket of index securities - Short index participation units - Commitment to purchase index participation units	5550	(2)(i)	No equivalent section (NES)	NES	R. 5550. Long qualifying basket of index securities - Short index participation units - Commitment to purchase index participation units	5550	(2)(i)	Adopt existing IDPC Rule provision.

No equivalent section (NES)	NES	Rule			No equivalent section (NES)	NES	Rule			Description
No equivalent section (NES)	NES	R. 5550. Long qualifying basket of index securities - Short index participation units - Commitment to purchase	5550	(2)(i)	No equivalent section (NES)	NES	R. 5550. Long qualifying basket of index securities - Short index participation units - Commitment to purchase	5550	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5551. Long qualifying basket of index securities - Short index participation unit call options - Commitment to	5551	(1)(i)	No equivalent section (NES)	NES	R. 5551. Long qualifying basket of index securities - Short index participation unit call options - Commitment to	5551	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5551. Long qualifying basket of index securities - Short index participation unit call options - Commitment to	5551	(2)	No equivalent section (NES)	NES	R. 5551. Long qualifying basket of index securities - Short index participation unit call options - Commitment to	5551	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5551. Long qualifying basket of index securities - Short index participation unit call options - Commitment to	5551	(3)	No equivalent section (NES)	NES	R. 5551. Long qualifying basket of index securities - Short index participation unit call options - Commitment to	5551	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5552. Long qualifying basket of index securities - Long index participation unit put options - Commitment to purchase index	5552	(1)(i)	No equivalent section (NES)	NES	R. 5552. Long qualifying basket of index securities - Long index participation unit put options - Commitment to purchase index	5552	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5552. Long qualifying basket of index securities - Long index participation unit put options - Commitment to purchase index	5552	(2)(i)	No equivalent section (NES)	NES	R. 5552. Long qualifying basket of index securities - Long index participation unit put options - Commitment to purchase index	5552	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5552. Long qualifying basket of index securities - Long index participation unit put options - Commitment to purchase index	5552	(2)(ii)(a)	No equivalent section (NES)	NES	R. 5552. Long qualifying basket of index securities - Long index participation unit put options - Commitment to purchase index	5552	(2)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5552. Long qualifying basket of index securities - Long index participation unit put options - Commitment to purchase index	5552	(2)(ii)(b)	No equivalent section (NES)	NES	R. 5552. Long qualifying basket of index securities - Long index participation unit put options - Commitment to purchase index	5552	(2)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5552. Long qualifying basket of index securities - Long index participation unit put options - Commitment to purchase index	5552	(3)	No equivalent section (NES)	NES	R. 5552. Long qualifying basket of index securities - Long index participation unit put options - Commitment to purchase index	5552	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5553. - 5559. Reserved.	5553-5559		No equivalent section (NES)	NES	R. 5553. - 5559. Reserved.	5553-5559		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5560. Margin for short positions	5560	(1)	No equivalent section (NES)	NES	R. 5560. Margin for short positions	5560	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5560. Margin for short positions	5560	(2)	No equivalent section (NES)	NES	R. 5560. Margin for short positions	5560	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5560. Margin for short positions	5560	(3)	No equivalent section (NES)	NES	R. 5560. Margin for short positions	5560	(3)	Adopt a revised version of existing IDPC provision to reflect amendments for T+1 settlement
No equivalent section (NES)	NES	R. 5561. Margin for hedged positions	5561	(1)	No equivalent section (NES)	NES	R. 5561. Margin for hedged positions	5561	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5561. Margin for hedged positions	5561	(2)	No equivalent section (NES)	NES	R. 5561. Margin for hedged positions	5561	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5561. Margin for hedged positions	5561	(3)	No equivalent section (NES)	NES	R. 5561. Margin for hedged positions	5561	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5561. Margin for hedged positions	5561	(4)	No equivalent section (NES)	NES	R. 5561. Margin for hedged positions	5561	(4)	Adopt a revised version of existing IDPC provision to reflect amendments for T+1 settlement
No equivalent section (NES)	NES	R. 5562. Margin for long positions	5562	(1)	No equivalent section (NES)	NES	R. 5562. Margin for long positions	5562	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5562. Margin for long positions	5562	(2)	No equivalent section (NES)	NES	R. 5562. Margin for long positions	5562	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5562. Margin for long positions	5562	(3)	No equivalent section (NES)	NES	R. 5562. Margin for long positions	5562	(3)	Adopt a revised version of existing IDPC provision to reflect amendments for T+1 settlement
No equivalent section (NES)	NES	R. 5563. - 5599. Reserved.	5563-5599		No equivalent section (NES)	NES	R. 5563. - 5599. Reserved.	5563-5599		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5601. Introduction	5601	(1)	No equivalent section (NES)	NES	R. 5601. Introduction	5601	(1)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. 5601. Introduction	5601	(2)(i)(a)(I)	No equivalent section (NES)	NES	R. 5601. Introduction	5601	(2)(i)(a)(I)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. 5601. Introduction	5601	(2)(i)(a)(II)	No equivalent section (NES)	NES	R. 5601. Introduction	5601	(2)(i)(a)(II)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. 5601. Introduction	5601	(2)(i)(a)(III)	No equivalent section (NES)	NES	R. 5601. Introduction	5601	(2)(i)(a)(III)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. 5601. Introduction	5601	(2)(i)(b)(I)	No equivalent section (NES)	NES	R. 5601. Introduction	5601	(2)(i)(b)(I)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. 5601. Introduction	5601	(2)(i)(b)(II)	No equivalent section (NES)	NES	R. 5601. Introduction	5601	(2)(i)(b)(II)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. 5601. Introduction	5601	(2)(i)(b)(III)	No equivalent section (NES)	NES	R. 5601. Introduction	5601	(2)(i)(b)(III)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. 5601. Introduction	5601	(2)(ii)(a)	No equivalent section (NES)	NES	R. 5601. Introduction	5601	(2)(ii)(a)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. 5601. Introduction	5601	(2)(ii)(b)	No equivalent section (NES)	NES	R. 5601. Introduction	5601	(2)(ii)(b)	Adopt a revised version of existing IDPC Rule which corrects rule reference
No equivalent section (NES)	NES	R. 5602. - 5609. Reserved.	5602-5609		No equivalent section (NES)	NES	R. 5602. - 5609. Reserved.	5602-5609		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5610. Summary reference tables	5610	(1)	No equivalent section (NES)	NES	R. 5610. Summary reference tables	5610	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5610. Summary reference tables	5610	(2)	No equivalent section (NES)	NES	R. 5610. Summary reference tables	5610	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5610. Summary reference tables	5610	(3)	No equivalent section (NES)	NES	R. 5610. Summary reference tables	5610	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5610. Summary reference tables	5610	(4)	No equivalent section (NES)	NES	R. 5610. Summary reference tables	5610	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5610. Summary reference tables	5610	(5)	No equivalent section (NES)	NES	R. 5610. Summary reference tables	5610	(5)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5611. Government debt securities of same issuer and both maturing within one year	5611	(1)(i)	No equivalent section (NES)	NES	R. 5611. Government debt securities of same issuer and both maturing within one year	5611	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5611. Government debt securities of same issuer and both maturing within one year	5611	(1)(ii)(a)	No equivalent section (NES)	NES	R. 5611. Government debt securities of same issuer and both maturing within one year	5611	(1)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5611. Government debt securities of same issuer and both maturing within one year	5611	(1)(ii)(b)	No equivalent section (NES)	NES	R. 5611. Government debt securities of same issuer and both maturing within one year	5611	(1)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5611. Government debt securities of same issuer and both maturing within one year	5611	(1)(ii)(c)	No equivalent section (NES)	NES	R. 5611. Government debt securities of same issuer and both maturing within one year	5611	(1)(ii)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5611. Government debt securities of same issuer and both maturing within one year	5611	(1)(ii)(d)	No equivalent section (NES)	NES	R. 5611. Government debt securities of same issuer and both maturing within one year	5611	(1)(ii)(d)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5612. Government debt securities of same issuer with same maturity band and both maturing in greater	5612	(1)(i)	No equivalent section (NES)	NES	R. 5612. Government debt securities of same issuer with same maturity band and both maturing in greater	5612	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5612. Government debt securities of same issuer with same maturity band and both maturing in greater	5612	(1)(ii)(a)	No equivalent section (NES)	NES	R. 5612. Government debt securities of same issuer with same maturity band and both maturing in greater	5612	(1)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5612. Government debt securities of same issuer with same maturity band and both maturing in greater	5612	(1)(ii)(b)	No equivalent section (NES)	NES	R. 5612. Government debt securities of same issuer with same maturity band and both maturing in greater	5612	(1)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5612. Government debt securities of same issuer with same maturity band and both maturing in greater	5612	(1)(ii)(c)	No equivalent section (NES)	NES	R. 5612. Government debt securities of same issuer with same maturity band and both maturing in greater	5612	(1)(ii)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5612. Government debt securities of same issuer with same maturity band and both maturing in greater	5612	(1)(ii)(d)	No equivalent section (NES)	NES	R. 5612. Government debt securities of same issuer with same maturity band and both maturing in greater	5612	(1)(ii)(d)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5613. Government debt securities with different maturity bands	5613	(1)(i)	No equivalent section (NES)	NES	R. 5613. Government debt securities with different maturity bands	5613	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5613. Government debt securities with different maturity bands	5613	(1)(ii)	No equivalent section (NES)	NES	R. 5613. Government debt securities with different maturity bands	5613	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5613. Government debt securities with different maturity bands	5613	(1)(iii)	No equivalent section (NES)	NES	R. 5613. Government debt securities with different maturity bands	5613	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5614. Government debt securities of different issuers with same maturity band	5614	(1)(i)	No equivalent section (NES)	NES	R. 5614. Government debt securities of different issuers with same maturity band	5614	(1)(i)	Adopt existing IDPC Rule provision.

No equivalent section (NES)	NES	R. S614. Government debt securities of different issuers with same maturity band	S614	(1)(i)	No equivalent section (NES)	NES	R. S614. Government debt securities of different issuers with same maturity band	S614	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S614. Government debt securities of different issuers with same maturity band	S614	(1)(ii)	No equivalent section (NES)	NES	R. S614. Government debt securities of different issuers with same maturity band	S614	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S614. Government debt securities of different issuers with same maturity band	S614	(2)	No equivalent section (NES)	NES	R. S614. Government debt securities of different issuers with same maturity band	S614	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S614. Government debt securities of different issuers with same maturity band	S614	(3)(i)	No equivalent section (NES)	NES	R. S614. Government debt securities of different issuers with same maturity band	S614	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S614. Government debt securities of different issuers with same maturity band	S614	(3)(ii)	No equivalent section (NES)	NES	R. S614. Government debt securities of different issuers with same maturity band	S614	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S614. Government debt securities of different issuers with same maturity band	S614	(3)(iii)	No equivalent section (NES)	NES	R. S614. Government debt securities of different issuers with same maturity band	S614	(3)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S614. Government debt securities of different issuers with same maturity band	S614	(3)(iv)	No equivalent section (NES)	NES	R. S614. Government debt securities of different issuers with same maturity band	S614	(3)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S614. Government debt securities of different issuers with same maturity band	S614	(3)(v)	No equivalent section (NES)	NES	R. S614. Government debt securities of different issuers with same maturity band	S614	(3)(v)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(1)(i)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(1)(ii)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(1)(iii)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(1)(iv)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(1)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(1)(v)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(1)(v)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(1)(vi)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(1)(vi)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(2)(i)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(2)(ii)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(2)(iii)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(2)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(2)(iv)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(2)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(3)(i)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(3)(ii)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(3)(iii)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(3)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(3)(iv)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(3)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(3)(v)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(3)(v)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(3)(vi)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(3)(vi)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(4)(i)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(4)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(4)(ii)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(4)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(4)(iii)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(4)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(4)(iv)	No equivalent section (NES)	NES	R. S615. Offsets involving government debt securities and strip coupons or residuals	S615	(4)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S616. Offsets involving government debt securities and government guaranteed mortgage-backed securities	S616	(1)(i)	No equivalent section (NES)	NES	R. S616. Offsets involving government debt securities and government guaranteed mortgage-backed securities	S616	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S616. Offsets involving government debt securities and government guaranteed mortgage-backed securities	S616	(2)(i)	No equivalent section (NES)	NES	R. S616. Offsets involving government debt securities and government guaranteed mortgage-backed securities	S616	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S616. Offsets involving government debt securities and government guaranteed mortgage-backed securities	S616	(2)(ii)	No equivalent section (NES)	NES	R. S616. Offsets involving government debt securities and government guaranteed mortgage-backed securities	S616	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S617. Offsets involving government debt securities and Government of Canada notional bond futures	S617	(1)(i)	No equivalent section (NES)	NES	R. S617. Offsets involving government debt securities and Government of Canada notional bond futures	S617	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S617. Offsets involving government debt securities and Government of Canada notional bond futures	S617	(2)	No equivalent section (NES)	NES	R. S617. Offsets involving government debt securities and Government of Canada notional bond futures	S617	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S618. Other offsets involving government debt securities and Government of Canada notional bond futures contracts	S618	(1)(i)	No equivalent section (NES)	NES	R. S618. Other offsets involving government debt securities and Government of Canada notional bond futures contracts	S618	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S618. Other offsets involving government debt securities and Government of Canada notional bond futures contracts	S618	(1)(ii)	No equivalent section (NES)	NES	R. S618. Other offsets involving government debt securities and Government of Canada notional bond futures contracts	S618	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S618. Other offsets involving government debt securities and Government of Canada notional bond futures contracts	S618	(1)(iii)	No equivalent section (NES)	NES	R. S618. Other offsets involving government debt securities and Government of Canada notional bond futures contracts	S618	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S618. Other offsets involving government debt securities and Government of Canada notional bond futures contracts	S618	(2)	No equivalent section (NES)	NES	R. S618. Other offsets involving government debt securities and Government of Canada notional bond futures contracts	S618	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S618. Other offsets involving government debt securities and Government of Canada notional bond futures contracts	S618	(3)	No equivalent section (NES)	NES	R. S618. Other offsets involving government debt securities and Government of Canada notional bond futures contracts	S618	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S619. Reserved.	S619		No equivalent section (NES)	NES	R. S619. Reserved.	S619		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S620. Summary reference tables	S620	(1)	No equivalent section (NES)	NES	R. S620. Summary reference tables	S620	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S620. Summary reference tables	S620	(2)	No equivalent section (NES)	NES	R. S620. Summary reference tables	S620	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S620. Summary reference tables	S620	(3)	No equivalent section (NES)	NES	R. S620. Summary reference tables	S620	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S621. Commercial and corporate debt securities of same issuer with same maturity band	S621	(1)(i)	No equivalent section (NES)	NES	R. S621. Commercial and corporate debt securities of same issuer with same maturity band	S621	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S621. Commercial and corporate debt securities of same issuer with same maturity band	S621	(2)	No equivalent section (NES)	NES	R. S621. Commercial and corporate debt securities of same issuer with same maturity band	S621	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S622. Offsets involving Canadian chartered bank acceptances and Canadian bankers acceptance futures contracts with same maturity bands	S622	(1)(i)	No equivalent section (NES)	NES	R. S622. Offsets involving Canadian chartered bank acceptances and Canadian bankers acceptance futures contracts with same maturity bands	S622	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S622. Offsets involving Canadian chartered bank acceptances and Canadian bankers acceptance futures contracts with same maturity bands	S622	(2)	No equivalent section (NES)	NES	R. S622. Offsets involving Canadian chartered bank acceptances and Canadian bankers acceptance futures contracts with same maturity bands	S622	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S622. Offsets involving Canadian chartered bank acceptances and Canadian bankers acceptance futures contracts with same maturity bands	S622	(3)	No equivalent section (NES)	NES	R. S622. Offsets involving Canadian chartered bank acceptances and Canadian bankers acceptance futures contracts with same maturity bands	S622	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S623. Offsets involving commercial and corporate debt securities and strip coupons or residuals	S623	(1)(i)	No equivalent section (NES)	NES	R. S623. Offsets involving commercial and corporate debt securities and strip coupons or residuals	S623	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S623. Offsets involving commercial and corporate debt securities and strip coupons or residuals	S623	(2)	No equivalent section (NES)	NES	R. S623. Offsets involving commercial and corporate debt securities and strip coupons or residuals	S623	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S624. Offsets involving commercial and corporate debt securities and Government of Canada notional bond futures contracts	S624	(1)(i)	No equivalent section (NES)	NES	R. S624. Offsets involving commercial and corporate debt securities and Government of Canada notional bond futures contracts	S624	(1)(i)	Adopt existing IDPC Rule provision.

		Proposed Rule provision	Section	Subsection			Proposed Rule provision	Section	Subsection	Adoption approach
No equivalent section (NES)	NES	R. S624. Offsets involving commercial and corporate debt securities and Government of Canada notional bond futures contract	S624	(2)	No equivalent section (NES)	NES	R. S624. Offsets involving commercial and corporate debt securities and Government of Canada notional bond futures contract	S624	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S624. Offsets involving commercial and corporate debt securities and Government of Canada notional bond futures contract	S624	(3)	No equivalent section (NES)	NES	R. S624. Offsets involving commercial and corporate debt securities and Government of Canada notional bond futures contract	S624	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S625. - S629. Reserved.	S625-S629		No equivalent section (NES)	NES	R. S625. - S629. Reserved.	S625-S629		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S630. Summary reference table	S630	(1)	No equivalent section (NES)	NES	R. S630. Summary reference table	S630	(1)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES	R. S631. Government and commercial corporate debt securities with same maturity band	S631	(1)(i)	No equivalent section (NES)	NES	R. S631. Government and commercial corporate debt securities with same maturity band	S631	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S631. Government and commercial corporate debt securities with same maturity band	S631	(1)(ii)	No equivalent section (NES)	NES	R. S631. Government and commercial corporate debt securities with same maturity band	S631	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S631. Government and commercial corporate debt securities with same maturity band	S631	(1)(iii)	No equivalent section (NES)	NES	R. S631. Government and commercial corporate debt securities with same maturity band	S631	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S631. Government and commercial corporate debt securities with same maturity band	S631	(2)	No equivalent section (NES)	NES	R. S631. Government and commercial corporate debt securities with same maturity band	S631	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S632. - S639. Reserved.	S632-S639		No equivalent section (NES)	NES	R. S632. - S639. Reserved.	S632-S639		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S640. Summary reference table	S640	(1)	No equivalent section (NES)	NES	R. S640. Summary reference table	S640	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S640. Summary reference table	S640	(2)(i)	No equivalent section (NES)	NES	R. S640. Summary reference table	S640	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S641. Offset where convertible security is held long and is currently convertible	S641	(1)(i)	No equivalent section (NES)	NES	R. S641. Offset where convertible security is held long and is currently convertible	S641	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S641. Offset where convertible security is held long and is currently convertible	S641	(1)(ii)	No equivalent section (NES)	NES	R. S641. Offset where convertible security is held long and is currently convertible	S641	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S642. Offset where convertible security is held long and is not currently convertible	S642	(1)(i)	No equivalent section (NES)	NES	R. S642. Offset where convertible security is held long and is not currently convertible	S642	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S642. Offset where convertible security is held long and is not currently convertible	S642	(1)(ii)	No equivalent section (NES)	NES	R. S642. Offset where convertible security is held long and is not currently convertible	S642	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S643. Offset where convertible security is held short	S643	(1)(i)	No equivalent section (NES)	NES	R. S643. Offset where convertible security is held short	S643	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S643. Offset where convertible security is held short	S643	(1)(ii)	No equivalent section (NES)	NES	R. S643. Offset where convertible security is held short	S643	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S644. Offset relating to a pending amalgamation, acquisition, spin-off or other securities related transaction	S644	(1)	No equivalent section (NES)	NES	R. S644. Offset relating to a pending amalgamation, acquisition, spin-off or other securities related transaction	S644	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S644. Offset relating to a pending amalgamation, acquisition, spin-off or other securities related transaction	S644	(2)(i)	No equivalent section (NES)	NES	R. S644. Offset relating to a pending amalgamation, acquisition, spin-off or other securities related transaction	S644	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S644. Offset relating to a pending amalgamation, acquisition, spin-off or other securities related transaction	S644	(2)(ii)	No equivalent section (NES)	NES	R. S644. Offset relating to a pending amalgamation, acquisition, spin-off or other securities related transaction	S644	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S644. Offset relating to a pending amalgamation, acquisition, spin-off or other securities related transaction	S644	(2)(iii)	No equivalent section (NES)	NES	R. S644. Offset relating to a pending amalgamation, acquisition, spin-off or other securities related transaction	S644	(2)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S645. - S649. Reserved.	S645-S649		No equivalent section (NES)	NES	R. S645. - S649. Reserved.	S645-S649		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S650. Summary reference tables	S650	(1)	No equivalent section (NES)	NES	R. S650. Summary reference tables	S650	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S650. Summary reference tables	S650	(2)(i)	No equivalent section (NES)	NES	R. S650. Summary reference tables	S650	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S651. Offset involving long capital share and short underlying common share positions	S651	(1)(i)(a)(I)	No equivalent section (NES)	NES	R. S651. Offset involving long capital share and short underlying common share positions	S651	(1)(i)(a)(I)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S651. Offset involving long capital share and short underlying common share positions	S651	(1)(i)(a)(II)	No equivalent section (NES)	NES	R. S651. Offset involving long capital share and short underlying common share positions	S651	(1)(i)(a)(II)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S651. Offset involving long capital share and short underlying common share positions	S651	(1)(i)(b)	No equivalent section (NES)	NES	R. S651. Offset involving long capital share and short underlying common share positions	S651	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S651. Offset involving long capital share and short underlying common share positions	S651	(1)(ii)	No equivalent section (NES)	NES	R. S651. Offset involving long capital share and short underlying common share positions	S651	(1)(ii)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES	R. S652. Offset involving long capital share, long split share preferred share and short underlying common	S652	(1)(i)(a)	No equivalent section (NES)	NES	R. S652. Offset involving long capital share, long split share preferred share and short underlying common	S652	(1)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S652. Offset involving long capital share, long split share preferred share and short underlying common	S652	(1)(i)(b)	No equivalent section (NES)	NES	R. S652. Offset involving long capital share, long split share preferred share and short underlying common	S652	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S652. Offset involving long capital share, long split share preferred share and short underlying common	S652	(1)(ii)	No equivalent section (NES)	NES	R. S652. Offset involving long capital share, long split share preferred share and short underlying common	S652	(1)(ii)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES	R. S653. Offset involving short capital share and long underlying common share positions	S653	(1)(i)(a)(I)	No equivalent section (NES)	NES	R. S653. Offset involving short capital share and long underlying common share positions	S653	(1)(i)(a)(I)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S653. Offset involving short capital share and long underlying common share positions	S653	(1)(i)(a)(II)	No equivalent section (NES)	NES	R. S653. Offset involving short capital share and long underlying common share positions	S653	(1)(i)(a)(II)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S653. Offset involving short capital share and long underlying common share positions	S653	(1)(i)(b)	No equivalent section (NES)	NES	R. S653. Offset involving short capital share and long underlying common share positions	S653	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S653. Offset involving short capital share and long underlying common share positions	S653	(1)(ii)	No equivalent section (NES)	NES	R. S653. Offset involving short capital share and long underlying common share positions	S653	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S654. Offset involving short capital share, short split share preferred share and long underlying common	S654	(1)(i)(a)	No equivalent section (NES)	NES	R. S654. Offset involving short capital share, short split share preferred share and long underlying common	S654	(1)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S654. Offset involving short capital share, short split share preferred share and long underlying common	S654	(1)(i)(b)	No equivalent section (NES)	NES	R. S654. Offset involving short capital share, short split share preferred share and long underlying common	S654	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S654. Offset involving short capital share, short split share preferred share and long underlying common	S654	(1)(ii)	No equivalent section (NES)	NES	R. S654. Offset involving short capital share, short split share preferred share and long underlying common	S654	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S655. Offset involving long capital share and short call option contract positions	S655	(1)(i)(a)	No equivalent section (NES)	NES	R. S655. Offset involving long capital share and short call option contract positions	S655	(1)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S655. Offset involving long capital share and short call option contract positions	S655	(1)(i)(b)	No equivalent section (NES)	NES	R. S655. Offset involving long capital share and short call option contract positions	S655	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S655. Offset involving long capital share and short call option contract positions	S655	(1)(ii)	No equivalent section (NES)	NES	R. S655. Offset involving long capital share and short call option contract positions	S655	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S655. Offset involving long capital share and short call option contract positions	S655	(1)(iii)	No equivalent section (NES)	NES	R. S655. Offset involving long capital share and short call option contract positions	S655	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S656. - S659. Reserved	S656-S659		No equivalent section (NES)	NES	R. S656. - S659. Reserved	S656-S659		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S660. Summary reference tables	S660	(1)	No equivalent section (NES)	NES	R. S660. Summary reference tables	S660	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S661. Offset where exercisable security is held long and is currently exercisable	S661	(1)(i)	No equivalent section (NES)	NES	R. S661. Offset where exercisable security is held long and is currently exercisable	S661	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S661. Offset where exercisable security is held long and is currently exercisable	S661	(1)(ii)	No equivalent section (NES)	NES	R. S661. Offset where exercisable security is held long and is currently exercisable	S661	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S661. Offset where exercisable security is held long and is currently exercisable	S661	(1)(iii)	No equivalent section (NES)	NES	R. S661. Offset where exercisable security is held long and is currently exercisable	S661	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S662. Offset where exercisable security is held long and is not currently exercisable	S662	(1)(i)	No equivalent section (NES)	NES	R. S662. Offset where exercisable security is held long and is not currently exercisable	S662	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S662. Offset where exercisable security is held long and is not currently exercisable	S662	(1)(ii)	No equivalent section (NES)	NES	R. S662. Offset where exercisable security is held long and is not currently exercisable	S662	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. S662. Offset where exercisable security is held long and is not currently exercisable	S662	(1)(iii)	No equivalent section (NES)	NES	R. S662. Offset where exercisable security is held long and is not currently exercisable	S662	(1)(iii)	Adopt a revised version of existing IDPC Rule which is materially the same

No equivalent section (NES)	NES	R. 5663. Offset where exercisable security is held short	5663	(1)(i)	No equivalent section (NES)	NES	R. 5663. Offset where exercisable security is held short	5663	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5663. Offset where exercisable security is held short	5663	(1)(ii)	No equivalent section (NES)	NES	R. 5663. Offset where exercisable security is held short	5663	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5663. Offset where exercisable security is held short	5663	(1)(iii)	No equivalent section (NES)	NES	R. 5663. Offset where exercisable security is held short	5663	(1)(iii)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES	R. 5664. - 5669. Reserved.	5664-5669		No equivalent section (NES)	NES	R. 5664. - 5669. Reserved.	5664-5669		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5670. Offsets involving callable, extendible and retractable debt securities	5670	(1)	No equivalent section (NES)	NES	R. 5670. Offsets involving callable, extendible and retractable debt securities	5670	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5671. Offsets involving Canadian government debt or Canadian listed equity securities and futures and ...	5671	(1)	No equivalent section (NES)	NES	R. 5671. Offsets involving Canadian government debt or Canadian listed equity securities and futures and ...	5671	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5672. – 5679. Reserved.	5672-5679		No equivalent section (NES)	NES	R. 5672. – 5679. Reserved.	5672-5679		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5680. Offset involving two interest rate swap positions	5680	(1)(i)	No equivalent section (NES)	NES	R. 5680. Offset involving two interest rate swap positions	5680	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5680. Offset involving two interest rate swap positions	5680	(1)(ii)	No equivalent section (NES)	NES	R. 5680. Offset involving two interest rate swap positions	5680	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5681. Offsets involving interest rate swaps and federal government debt security positions	5681	(1)(i)	No equivalent section (NES)	NES	R. 5681. Offsets involving interest rate swaps and federal government debt security positions	5681	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5681. Offsets involving interest rate swaps and federal government debt security positions	5681	(1)(ii)	No equivalent section (NES)	NES	R. 5681. Offsets involving interest rate swaps and federal government debt security positions	5681	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5681. Offsets involving interest rate swaps and federal government debt security positions	5681	(2)(i)	No equivalent section (NES)	NES	R. 5681. Offsets involving interest rate swaps and federal government debt security positions	5681	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5681. Offsets involving interest rate swaps and federal government debt security positions	5681	(2)(ii)	No equivalent section (NES)	NES	R. 5681. Offsets involving interest rate swaps and federal government debt security positions	5681	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5682. Offset involving two total performance swap positions	5682	(1)(i)	No equivalent section (NES)	NES	R. 5682. Offset involving two total performance swap positions	5682	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5682. Offset involving two total performance swap positions	5682	(1)(ii)	No equivalent section (NES)	NES	R. 5682. Offset involving two total performance swap positions	5682	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(i)	No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(ii)	No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(ii)(a)	Adopt and renumber existing IDPC Rule clause 5683(1)(ii) as sub-clause 5683(1)(ii)(a)
No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(ii)(a)	No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(ii)(a)(I)	Adopt and renumber existing IDPC Rule sub-clause 5683(1)(ii)(a) as paragraph 5683(1)(ii)(a)(I)
No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(ii)(b)	No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(ii)(a)(II)	Adopt and renumber existing IDPC Rule sub-clause 5683(1)(ii)(b) as paragraph 5683(1)(ii)(a)(II)
No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(iv)	No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(ii)(b)	Adopt and renumber existing IDPC Rule clause 5683(1)(iv) as sub-clause 5683(1)(ii)(b)
No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(i)	No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(ii)	No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(ii)(a)	Adopt and renumber existing IDPC Rule clause 5683(2)(ii) as sub-clause 5683(2)(ii)(a)
No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(ii)(a)	No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(ii)(a)(I)	Adopt and renumber existing IDPC Rule sub-clause 5683(2)(ii)(a) as paragraph 5683(2)(ii)(a)(I)
No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(ii)(b)	No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(ii)(a)(II)	Adopt and renumber existing IDPC Rule sub-clause 5683(2)(ii)(b) as paragraph 5683(2)(ii)(a)(II)
No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(iv)	No equivalent section (NES)	NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(ii)(b)	Adopt and renumber existing IDPC Rule clause 5683(2)(iv) as sub-clause 5683(2)(ii)(b)
No equivalent section (NES)	NES	R. 5684. – 5699. Reserved.	5684-5699		No equivalent section (NES)	NES	R. 5684. – 5699. Reserved.	5684-5699		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5701. Introduction	5701	(1)	No equivalent section (NES)	NES	R. 5701. Introduction	5701	(1)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(i)	No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(i)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(ii)(a)	No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(ii)(a)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(ii)(b)	No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(ii)(b)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(ii)(c)	No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(ii)(c)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(ii)(d)	No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(ii)(d)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(ii)(e)	No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(ii)(e)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(ii)(f)	No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(iii)	Adopt and renumber existing IDPC Rule introduction sub-clause 5701(2)(ii)(f) as clause 5701(2)(iii)
No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(ii)(g)	No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(iv)	Adopt and renumber existing IDPC Rule introduction sub-clause 5701(2)(ii)(g) as clause 5701(2)(iv)
No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(iii)	No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(v)	Adopt and renumber existing IDPC Rule introduction clause 5701(2)(iii) as clause 5701(2)(v)
No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(iv)	No equivalent section (NES)	NES	R. 5701. Introduction	5701	(2)(vi)	Adopt and renumber existing IDPC Rule introduction clause 5701(2)(iv) as clause 5701(2)(vi)
No equivalent section (NES)	NES	R. 5702. - 5709. Reserved.	5702-5709		No equivalent section (NES)	NES	R. 5702. - 5709. Reserved.	5702-5709		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5710. Agreement and account requirements	5710	(1)(i)	No equivalent section (NES)	NES	R. 5710. Agreement and account requirements	5710	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5710. Agreement and account requirements	5710	(1)(ii)	No equivalent section (NES)	NES	R. 5710. Agreement and account requirements	5710	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5710. Agreement and account requirements	5710	(2)	No equivalent section (NES)	NES	R. 5710. Agreement and account requirements	5710	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5710. Agreement and account requirements	5710	(3)(i)	No equivalent section (NES)	NES	R. 5710. Agreement and account requirements	5710	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5710. Agreement and account requirements	5710	(3)(ii)	No equivalent section (NES)	NES	R. 5710. Agreement and account requirements	5710	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5711. Requirement to calculate and obtain margin from clients	5711	(1)(i)	No equivalent section (NES)	NES	R. 5711. Requirement to calculate and obtain margin from clients	5711	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5711. Requirement to calculate and obtain margin from clients	5711	(1)(ii)(a)	No equivalent section (NES)	NES	R. 5711. Requirement to calculate and obtain margin from clients	5711	(1)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5711. Requirement to calculate and obtain margin from clients	5711	(1)(ii)(b)	No equivalent section (NES)	NES	R. 5711. Requirement to calculate and obtain margin from clients	5711	(1)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5712. Requirements for option offset strategies	5712	(1)	No equivalent section (NES)	NES	R. 5712. Requirements for option offset strategies	5712	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5712. Requirements for option offset strategies	5712	(2)	No equivalent section (NES)	NES	R. 5712. Requirements for option offset strategies	5712	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5713. Imposition of special margin requirements	5713	(1)	No equivalent section (NES)	NES	R. 5713. Imposition of special margin requirements	5713	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5714. Treatment of option positions issued by different recognized option clearing corporations	5714	(1)	No equivalent section (NES)	NES	R. 5714. Treatment of option positions issued by different recognized option clearing corporations	5714	(1)	Adopt existing IDPC Rule provision.

No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(1)(i)	No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(1)(ii)	No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(1)(iii)	No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(1)(iv)	No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(1)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(2)	No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(3)(i)	No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(3)(ii)	No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(3)(iii)	No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(3)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(3)(iv)	No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(3)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(4)	No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(5)	No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(5)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(6)	No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(6)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(7)(i)	No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(7)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(7)(ii)	No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(7)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(7)(iii)	No equivalent section (NES)	NES	R. 5715. Summary reference tables of common strategies	5715	(7)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5716. - 5719. Reserved.	5716-5719		No equivalent section (NES)	NES	R. 5716. - 5719. Reserved.	5716-5719		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5720. Long option positions	5720	(1)(i)(a)(i)	No equivalent section (NES)	NES	R. 5720. Long option positions	5720	(1)(i)(a)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5720. Long option positions	5720	(1)(i)(a)(ii)	No equivalent section (NES)	NES	R. 5720. Long option positions	5720	(1)(i)(a)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5720. Long option positions	5720	(1)(i)(a)(iii)	No equivalent section (NES)	NES	R. 5720. Long option positions	5720	(1)(i)(a)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5720. Long option positions	5720	(1)(i)(a)(iv)	No equivalent section (NES)	NES	R. 5720. Long option positions	5720	(1)(i)(a)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5720. Long option positions	5720	(1)(i)(b)	No equivalent section (NES)	NES	R. 5720. Long option positions	5720	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5720. Long option positions	5720	(1)(ii)	No equivalent section (NES)	NES	R. 5720. Long option positions	5720	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5720. Long option positions	5720	(2)(i)	No equivalent section (NES)	NES	R. 5720. Long option positions	5720	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5720. Long option positions	5720	(2)(ii)	No equivalent section (NES)	NES	R. 5720. Long option positions	5720	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(1)(i)(a)	No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(1)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(1)(i)(b)	No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(1)(i)(c)	No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(1)(i)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(1)(i)(d)	No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(1)(i)(d)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(1)(ii)	No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(2)(i)	No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(2)(ii)	No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(2)(iii)(a)	No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(2)(iii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(2)(iii)(b)	No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(2)(iii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(2)(iii)(c)	No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(2)(iii)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(2)(iii)(d)	No equivalent section (NES)	NES	R. 5721. Short option positions	5721	(2)(iii)(d)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5722. - 5724. Reserved.	5722-5724		No equivalent section (NES)	NES	R. 5722. - 5724. Reserved.	5722-5724		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(1)(i)	No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(1)(ii)	No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(1)(iii)	No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(2)(i)	No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(2)(ii)	No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(2)(iii)(a)	No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(2)(iii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(2)(iii)(b)	No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(2)(iii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(2)(iii)(c)	No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(2)(iii)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(3)(i)(a)	No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(3)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(3)(i)(b)	No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(3)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(3)(ii)(a)	No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(3)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(3)(ii)(b)	No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(3)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(3)(iii)	No equivalent section (NES)	NES	R. 5725. Hedged option positions	5725	(3)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5726. - 5729. Reserved	5726-5729		No equivalent section (NES)	NES	R. 5726. - 5729. Reserved	5726-5729		Adopt existing IDPC Rule provision.

No equivalent section (NES)	NES	R. 5730. Call option spreads and put option spread	5730	(1)(i)	No equivalent section (NES)	NES	R. 5730. Call option spreads and put option spread	5730	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5730. Call option spreads and put option spread	5730	(1)(ii)	No equivalent section (NES)	NES	R. 5730. Call option spreads and put option spread	5730	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5730. Call option spreads and put option spread	5730	(1)(iii)	No equivalent section (NES)	NES	R. 5730. Call option spreads and put option spread	5730	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5730. Call option spreads and put option spread	5730	(1)(iv)	No equivalent section (NES)	NES	R. 5730. Call option spreads and put option spread	5730	(1)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5730. Call option spreads and put option spread	5730	(2)(i)	No equivalent section (NES)	NES	R. 5730. Call option spreads and put option spread	5730	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5730. Call option spreads and put option spread	5730	(2)(ii)(a)	No equivalent section (NES)	NES	R. 5730. Call option spreads and put option spread	5730	(2)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5730. Call option spreads and put option spread	5730	(2)(ii)(b)	No equivalent section (NES)	NES	R. 5730. Call option spreads and put option spread	5730	(2)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5731. Short call option - short put option spread	5731	(1)(i)	No equivalent section (NES)	NES	R. 5731. Short call option - short put option spread	5731	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5731. Short call option - short put option spread	5731	(1)(ii)	No equivalent section (NES)	NES	R. 5731. Short call option - short put option spread	5731	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5731. Short call option - short put option spread	5731	(1)(iii)	No equivalent section (NES)	NES	R. 5731. Short call option - short put option spread	5731	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5731. Short call option - short put option spread	5731	(2)(i)(a)	No equivalent section (NES)	NES	R. 5731. Short call option - short put option spread	5731	(2)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5731. Short call option - short put option spread	5731	(2)(i)(b)	No equivalent section (NES)	NES	R. 5731. Short call option - short put option spread	5731	(2)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5731. Short call option - short put option spread	5731	(2)(ii)	No equivalent section (NES)	NES	R. 5731. Short call option - short put option spread	5731	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5731. Short call option - short put option spread	5731	(2)(iii)	No equivalent section (NES)	NES	R. 5731. Short call option - short put option spread	5731	(2)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5732. Long call option - long put option spread	5732	(1)(i)	No equivalent section (NES)	NES	R. 5732. Long call option - long put option spread	5732	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5732. Long call option - long put option spread	5732	(1)(ii)	No equivalent section (NES)	NES	R. 5732. Long call option - long put option spread	5732	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5732. Long call option - long put option spread	5732	(1)(iii)	No equivalent section (NES)	NES	R. 5732. Long call option - long put option spread	5732	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5732. Long call option - long put option spread	5732	(2)(i)(a)	No equivalent section (NES)	NES	R. 5732. Long call option - long put option spread	5732	(2)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5732. Long call option - long put option spread	5732	(2)(i)(b)	No equivalent section (NES)	NES	R. 5732. Long call option - long put option spread	5732	(2)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5732. Long call option - long put option spread	5732	(2)(ii)(a)	No equivalent section (NES)	NES	R. 5732. Long call option - long put option spread	5732	(2)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5732. Long call option - long put option spread	5732	(2)(ii)(b)	No equivalent section (NES)	NES	R. 5732. Long call option - long put option spread	5732	(2)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5732. Long call option - long put option spread	5732	(2)(ii)(c)	No equivalent section (NES)	NES	R. 5732. Long call option - long put option spread	5732	(2)(ii)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5733. Long call option - short call option - long put option	5733	(1)(i)	No equivalent section (NES)	NES	R. 5733. Long call option - short call option - long put option	5733	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5733. Long call option - short call option - long put option	5733	(1)(ii)	No equivalent section (NES)	NES	R. 5733. Long call option - short call option - long put option	5733	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5733. Long call option - short call option - long put option	5733	(1)(iii)	No equivalent section (NES)	NES	R. 5733. Long call option - short call option - long put option	5733	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5733. Long call option - short call option - long put option	5733	(1)(iv)(a)	No equivalent section (NES)	NES	R. 5733. Long call option - short call option - long put option	5733	(1)(iv)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5733. Long call option - short call option - long put option	5733	(1)(iv)(b)	No equivalent section (NES)	NES	R. 5733. Long call option - short call option - long put option	5733	(1)(iv)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5734. Long warrant - short call option	5734	(1)(i)(a)(i)	No equivalent section (NES)	NES	R. 5734. Long warrant - short call option	5734	(1)(i)(a)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5734. Long warrant - short call option	5734	(1)(i)(a)(ii)	No equivalent section (NES)	NES	R. 5734. Long warrant - short call option	5734	(1)(i)(a)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5734. Long warrant - short call option	5734	(1)(i)(a)(iii)	No equivalent section (NES)	NES	R. 5734. Long warrant - short call option	5734	(1)(i)(a)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5734. Long warrant - short call option	5734	(1)(i)(a)(iv)	No equivalent section (NES)	NES	R. 5734. Long warrant - short call option	5734	(1)(i)(a)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5734. Long warrant - short call option	5734	(1)(i)(b)	No equivalent section (NES)	NES	R. 5734. Long warrant - short call option	5734	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5734. Long warrant - short call option	5734	(1)(ii)	No equivalent section (NES)	NES	R. 5734. Long warrant - short call option	5734	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5734. Long warrant - short call option	5734	(1)(iii)(a)	No equivalent section (NES)	NES	R. 5734. Long warrant - short call option	5734	(1)(iii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5734. Long warrant - short call option	5734	(1)(iii)(b)	No equivalent section (NES)	NES	R. 5734. Long warrant - short call option	5734	(1)(iii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5735. Box spread	5735	(1)	No equivalent section (NES)	NES	R. 5735. Box spread	5735	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5735. Box spread	5735	(1)(i)	No equivalent section (NES)	NES	R. 5735. Box spread	5735	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5735. Box spread	5735	(1)(ii)	No equivalent section (NES)	NES	R. 5735. Box spread	5735	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5735. Box spread	5735	(2)	No equivalent section (NES)	NES	R. 5735. Box spread	5735	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5735. Box spread	5735	(2)(i)	No equivalent section (NES)	NES	R. 5735. Box spread	5735	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5735. Box spread	5735	(2)(ii)	No equivalent section (NES)	NES	R. 5735. Box spread	5735	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5736. Long butterfly spread	5736	(1)	No equivalent section (NES)	NES	R. 5736. Long butterfly spread	5736	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5737. Short butterfly spread	5737	(1)	No equivalent section (NES)	NES	R. 5737. Short butterfly spread	5737	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5738. Long condor spread	5738	(1)	No equivalent section (NES)	NES	R. 5738. Long condor spread	5738	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5739. Short iron butterfly spread	5739	(1)	No equivalent section (NES)	NES	R. 5739. Short iron butterfly spread	5739	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5740. Short iron condor spread	5740	(1)	No equivalent section (NES)	NES	R. 5740. Short iron condor spread	5740	(1)	Adopt a revised version of existing IDPC Rule which includes Dealer Member inventory
No equivalent section (NES)	NES	R. 5741. - 5749. Reserved.	5741-5749		No equivalent section (NES)	NES	R. 5741. - 5749. Reserved.	5741-5749		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security - short call option combination	5750	(1)(i)	No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security - short call option combination	5750	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security - short call option combination	5750	(1)(ii)	No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security - short call option combination	5750	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security - short call option combination	5750	(1)(iii)	No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security - short call option combination	5750	(1)(iii)	Adopt existing IDPC Rule provision.

		Rule	Section	Sub			Rule	Section	Sub	Comment
No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security - short call option combination	5750	(1)(iv)	No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security – short call option combination	5750	(1)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security - short call option combination	5750	(1)(v)	No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security – short call option combination	5750	(1)(v)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security - short call option combination	5750	(2)(i)	No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security – short call option combination	5750	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security - short call option combination	5750	(2)(ii)	No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security – short call option combination	5750	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security - short call option combination	5750	(3)	No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security – short call option combination	5750	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security - short call option combination	5750	(4)	No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security – short call option combination	5750	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security - short call option combination	5750	(5)(i)	No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security – short call option combination	5750	(5)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security - short call option combination	5750	(5)(ii)	No equivalent section (NES)	NES	R. 5750. Long underlying interest or convertible security – short call option combination	5750	(5)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(1)(i)	No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(1)(ii)	No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(1)(iii)	No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(1)(iv)	No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(1)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(1)(v)	No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(1)(v)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(2)(i)(a)	No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(2)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(2)(i)(b)	No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(2)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(2)(ii)(a)	No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(2)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(2)(ii)(b)	No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(2)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(3)	No equivalent section (NES)	NES	R. 5751. Long underlying interest - long put option combination	5751	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(1)(i)	No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(1)(ii)	No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(1)(iii)	No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(1)(iv)	No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(1)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(1)(v)	No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(1)(v)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(2)(i)	No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(2)(i)(a)(i)	No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(2)(i)(a)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(2)(i)(a)(ii)	No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(2)(i)(a)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(2)(i)(b)(i)	No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(2)(i)(b)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(2)(i)(b)(ii)	No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(2)(i)(b)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(2)(ii)	No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(3)	No equivalent section (NES)	NES	R. 5752. Short underlying interest - long call option combination	5752	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(1)(i)	No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(1)(ii)	No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(1)(iii)	No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(1)(iv)	No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(1)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(1)(v)	No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(1)(v)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(2)(i)(a)	No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(2)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(2)(i)(b)	No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(2)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(2)(ii)(a)	No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(2)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(2)(ii)(b)	No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(2)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(3)	No equivalent section (NES)	NES	R. 5753. Short underlying interest - short put option combination	5753	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(1)(i)	No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(1)(ii)	No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(1)(iii)	No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(1)(iv)	No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(1)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(1)(v)	No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(1)(v)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(2)(i)(a)	No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(2)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(2)(i)(b)	No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(2)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(2)(i)(c)	No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(2)(i)(c)	Adopt a revised version of existing IDPC Rule which corrects relationship to qualifying basket of index securities.
No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(2)(ii)(a)	No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(2)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(2)(ii)(b)	No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(2)(ii)(b)	Adopt existing IDPC Rule provision.

No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(3)	No equivalent section (NES)	NES	R. 5754. Conversion or long tripo combination	5754	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(1)(i)	No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(1)(ii)	No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(1)(iii)	No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(1)(iv)	No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(1)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(1)(v)	No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(1)(v)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(2)(i)(a)	No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(2)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(2)(i)(b)	No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(2)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(2)(i)(c)	No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(2)(i)(c)	Adopt a revised version of existing IDPC Rule which corrects relationship to qualifying basket of index securities
No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(2)(ii)(a)	No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(2)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(2)(ii)(b)	No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(2)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(3)	No equivalent section (NES)	NES	R. 5755. Reconversion or short tripo combination	5755	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5756. - 5759. Reserved.	5756-5759		No equivalent section (NES)	NES	R. 5756. - 5759. Reserved.	5756-5759		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5760. Long index futures contract - short call option combination	5760	(1)(i)	No equivalent section (NES)	NES	R. 5760. Long index futures contract - short call option combination	5760	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5760. Long index futures contract - short call option combination	5760	(1)(ii)	No equivalent section (NES)	NES	R. 5760. Long index futures contract - short call option combination	5760	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5760. Long index futures contract - short call option combination	5760	(2)(i)(a)	No equivalent section (NES)	NES	R. 5760. Long index futures contract - short call option combination	5760	(2)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5760. Long index futures contract - short call option combination	5760	(2)(i)(b)	No equivalent section (NES)	NES	R. 5760. Long index futures contract - short call option combination	5760	(2)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5760. Long index futures contract - short call option combination	5760	(2)(i)	No equivalent section (NES)	NES	R. 5760. Long index futures contract - short call option combination	5760	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5760. Long index futures contract - short call option combination	5760	(3)	No equivalent section (NES)	NES	R. 5760. Long index futures contract - short call option combination	5760	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(1)(i)	No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(1)(ii)	No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(2)(i)(a)	No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(2)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(2)(i)(b)(i)(A)	No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(2)(i)(b)(i)(A)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(2)(i)(b)(i)(B)	No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(2)(i)(b)(i)(B)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(2)(i)(b)(ii)	No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(2)(i)(b)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(2)(i)	No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(3)(i)	No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(3)(ii)	No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(4)	No equivalent section (NES)	NES	R. 5761. Long futures contracts - long put option combination	5761	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(1)(i)	No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(1)(ii)	No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(2)(i)(a)	No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(2)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(2)(i)(b)(i)(A)	No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(2)(i)(b)(i)(A)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(2)(i)(b)(i)(B)	No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(2)(i)(b)(i)(B)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(2)(i)(b)(ii)	No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(2)(i)(b)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(2)(i)	No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(3)(i)	No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(3)(ii)	No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(4)	No equivalent section (NES)	NES	R. 5762. Short futures contracts - long call option combination	5762	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5763. Short futures contracts - short put option combination	5763	(1)(i)	No equivalent section (NES)	NES	R. 5763. Short futures contracts - short put option combination	5763	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5763. Short futures contracts - short put option combination	5763	(1)(ii)	No equivalent section (NES)	NES	R. 5763. Short futures contracts - short put option combination	5763	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5763. Short futures contracts - short put option combination	5763	(2)(i)(a)	No equivalent section (NES)	NES	R. 5763. Short futures contracts - short put option combination	5763	(2)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5763. Short futures contracts - short put option combination	5763	(2)(i)(b)	No equivalent section (NES)	NES	R. 5763. Short futures contracts - short put option combination	5763	(2)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5763. Short futures contracts - short put option combination	5763	(2)(i)	No equivalent section (NES)	NES	R. 5763. Short futures contracts - short put option combination	5763	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5763. Short futures contracts - short put option combination	5763	(3)	No equivalent section (NES)	NES	R. 5763. Short futures contracts - short put option combination	5763	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5764. Futures conversion or long tripo combination	5764	(1)(i)	No equivalent section (NES)	NES	R. 5764. Futures conversion or long tripo combination	5764	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5764. Futures conversion or long tripo combination	5764	(1)(ii)	No equivalent section (NES)	NES	R. 5764. Futures conversion or long tripo combination	5764	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5764. Futures conversion or long tripo combination	5764	(2)(i)(a)	No equivalent section (NES)	NES	R. 5764. Futures conversion or long tripo combination	5764	(2)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5764. Futures conversion or long tripo combination	5764	(2)(i)(b)	No equivalent section (NES)	NES	R. 5764. Futures conversion or long tripo combination	5764	(2)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5764. Futures conversion or long tripo combination	5764	(2)(i)(c)	No equivalent section (NES)	NES	R. 5764. Futures conversion or long tripo combination	5764	(2)(i)(c)	Adopt existing IDPC Rule provision.

No equivalent section (NES)	NES	R. 5764. Futures conversion or long tripo combination	5764	(2)(ii)	No equivalent section (NES)	NES	R. 5764. Futures conversion or long tripo combination	5764	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5764. Futures conversion or long tripo combination	5764	(3)	No equivalent section (NES)	NES	R. 5764. Futures conversion or long tripo combination	5764	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5765. Reconversion or short tripo combination	5765	(1)(i)	No equivalent section (NES)	NES	R. 5765. Reconversion or short tripo combination	5765	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5765. Reconversion or short tripo combination	5765	(1)(ii)	No equivalent section (NES)	NES	R. 5765. Reconversion or short tripo combination	5765	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5765. Reconversion or short tripo combination	5765	(2)(i)(a)	No equivalent section (NES)	NES	R. 5765. Reconversion or short tripo combination	5765	(2)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5765. Reconversion or short tripo combination	5765	(2)(i)(b)	No equivalent section (NES)	NES	R. 5765. Reconversion or short tripo combination	5765	(2)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5765. Reconversion or short tripo combination	5765	(2)(i)(c)	No equivalent section (NES)	NES	R. 5765. Reconversion or short tripo combination	5765	(2)(i)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5765. Reconversion or short tripo combination	5765	(2)(ii)	No equivalent section (NES)	NES	R. 5765. Reconversion or short tripo combination	5765	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5765. Reconversion or short tripo combination	5765	(3)	No equivalent section (NES)	NES	R. 5765. Reconversion or short tripo combination	5765	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5766. - 5769. Reserved.	5766-5769		No equivalent section (NES)	NES	R. 5766. - 5769. Reserved.	5766-5769		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	Section Heading	5770		No equivalent section (NES)	NES	Section Heading	5770		Adopt a revised version of existing IDPC Heading which removes exchange traded options
No equivalent section (NES)	NES	R. 5770. Long qualifying basket of index securities - short index participation units	5770	(1)(i)	No equivalent section (NES)	NES	R. 5770. Long qualifying basket of index securities - short index participation units	5770	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5770. Long qualifying basket of index securities - short index participation units	5770	(2)(i)	No equivalent section (NES)	NES	R. 5770. Long qualifying basket of index securities - short index participation units	5770	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5770. Long qualifying basket of index securities - short index participation units	5770	(2)(ii)	No equivalent section (NES)	NES	R. 5770. Long qualifying basket of index securities - short index participation units	5770	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5771. Long index participation units - short qualifying basket of index securities	5771	(1)(i)	No equivalent section (NES)	NES	R. 5771. Long index participation units - short qualifying basket of index securities	5771	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5771. Long index participation units - short qualifying basket of index securities	5771	(2)(i)	No equivalent section (NES)	NES	R. 5771. Long index participation units - short qualifying basket of index securities	5771	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5771. Long index participation units - short qualifying basket of index securities	5771	(2)(ii)	No equivalent section (NES)	NES	R. 5771. Long index participation units - short qualifying basket of index securities	5771	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5772. Index futures contracts - qualifying baskets of index securities or index participation units	5772	(1)(i)	No equivalent section (NES)	NES	R. 5772. Index futures contracts - qualifying baskets of index securities or index participation units	5772	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5772. Index futures contracts - qualifying baskets of index securities or index participation units	5772	(1)(ii)	No equivalent section (NES)	NES	R. 5772. Index futures contracts - qualifying baskets of index securities or index participation units	5772	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5772. Index futures contracts - qualifying baskets of index securities or index participation units	5772	(2)	No equivalent section (NES)	NES	R. 5772. Index futures contracts - qualifying baskets of index securities or index participation units	5772	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5772. Index futures contracts - qualifying baskets of index securities or index participation units	5772	(3)	No equivalent section (NES)	NES	R. 5772. Index futures contracts - qualifying baskets of index securities or index participation units	5772	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5772. Index futures contracts - qualifying baskets of index securities or index participation units	5772	(4)	No equivalent section (NES)	NES	R. 5772. Index futures contracts - qualifying baskets of index securities or index participation units	5772	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5773. - 5774. Reserved.	5773-5774		No equivalent section (NES)	NES	R. 5773. - 5774. Reserved.	5773-5774		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	Section Heading	5775		No equivalent section (NES)	NES	Section Heading	5775		Adopt a revised version of existing IDPC Heading which removes exchange traded options
No equivalent section (NES)	NES	R. 5775. Cross index offset combinations involving index products	5775	(1)(i)	No equivalent section (NES)	NES	R. 5775. Cross index offset combinations involving index products	5775	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5775. Cross index offset combinations involving index products	5775	(1)(ii)	No equivalent section (NES)	NES	R. 5775. Cross index offset combinations involving index products	5775	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5775. Cross index offset combinations involving index products	5775	(1)(iii)	No equivalent section (NES)	NES	R. 5775. Cross index offset combinations involving index products	5775	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5776. Optional use of the Standard Portfolio Analysis methodology	5776	(1)	No equivalent section (NES)	NES	R. 5776. Optional use of the Standard Portfolio Analysis methodology	5776	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5776. Optional use of the Standard Portfolio Analysis methodology	5776	(2)	No equivalent section (NES)	NES	R. 5776. Optional use of the Standard Portfolio Analysis methodology	5776	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5776. Optional use of the Standard Portfolio Analysis methodology	5776	(3)	No equivalent section (NES)	NES	R. 5776. Optional use of the Standard Portfolio Analysis methodology	5776	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5776. Optional use of the Standard Portfolio Analysis methodology	5776	(4)	No equivalent section (NES)	NES	R. 5776. Optional use of the Standard Portfolio Analysis methodology	5776	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5777. - 5779. Reserved.	5777-5779		No equivalent section (NES)	NES	R. 5777. - 5779. Reserved.	5777-5779		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5780. Long option positions	5780	(1)(i)	No equivalent section (NES)	NES	R. 5780. Long option positions	5780	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5780. Long option positions	5780	(1)(ii)(a)	No equivalent section (NES)	NES	R. 5780. Long option positions	5780	(1)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5780. Long option positions	5780	(1)(ii)(b)	No equivalent section (NES)	NES	R. 5780. Long option positions	5780	(1)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5780. Long option positions	5780	(2)	No equivalent section (NES)	NES	R. 5780. Long option positions	5780	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5781. Short option positions	5781	(1)(i)(a)	No equivalent section (NES)	NES	R. 5781. Short option positions	5781	(1)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5781. Short option positions	5781	(1)(i)(b)	No equivalent section (NES)	NES	R. 5781. Short option positions	5781	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5781. Short option positions	5781	(1)(i)(c)	No equivalent section (NES)	NES	R. 5781. Short option positions	5781	(1)(i)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5781. Short option positions	5781	(1)(i)(d)	No equivalent section (NES)	NES	R. 5781. Short option positions	5781	(1)(i)(d)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5781. Short option positions	5781	(1)(ii)	No equivalent section (NES)	NES	R. 5781. Short option positions	5781	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5781. Short option positions	5781	(2)(i)	No equivalent section (NES)	NES	R. 5781. Short option positions	5781	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5781. Short option positions	5781	(2)(ii)	No equivalent section (NES)	NES	R. 5781. Short option positions	5781	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5782. Hedged option positions	5782	(1)(i)	No equivalent section (NES)	NES	R. 5782. Hedged option positions	5782	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5782. Hedged option positions	5782	(1)(ii)	No equivalent section (NES)	NES	R. 5782. Hedged option positions	5782	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5782. Hedged option positions	5782	(2)	No equivalent section (NES)	NES	R. 5782. Hedged option positions	5782	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5782. Hedged option positions	5782	(3)(i)	No equivalent section (NES)	NES	R. 5782. Hedged option positions	5782	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5782. Hedged option positions	5782	(3)(ii)	No equivalent section (NES)	NES	R. 5782. Hedged option positions	5782	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5782. Hedged option positions	5782	(3)(iii)	No equivalent section (NES)	NES	R. 5782. Hedged option positions	5782	(3)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5783. Option spreads and combinations	5783	(1)	No equivalent section (NES)	NES	R. 5783. Option spreads and combinations	5783	(1)	Adopt existing IDPC Rule provision.

IDPC Rule		MFD Rule			IDPC Rule		DC Rule			Notes
No equivalent section (NES)	NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(iv)(a)	No equivalent section (NES)	NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(iv)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(iv)(b)	No equivalent section (NES)	NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(iv)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(iv)(c)	No equivalent section (NES)	NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(iv)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(iv)(d)	No equivalent section (NES)	NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(iv)(d)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(v)(a)	No equivalent section (NES)	NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(v)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(v)(b)	No equivalent section (NES)	NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(v)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(vi)	No equivalent section (NES)	NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(vi)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5826. - 5829. Reserved.	5826-5829		No equivalent section (NES)	NES	R. 5826. - 5829. Reserved.	5826-5829		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5830. Hedge agreements	5830	(1)(i)	No equivalent section (NES)	NES	R. 5830. Hedge agreements	5830	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5830. Hedge agreements	5830	(1)(ii)	No equivalent section (NES)	NES	R. 5830. Hedge agreements	5830	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5830. Hedge agreements	5830	(2)(i)	No equivalent section (NES)	NES	R. 5830. Hedge agreements	5830	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5830. Hedge agreements	5830	(2)(ii)	No equivalent section (NES)	NES	R. 5830. Hedge agreements	5830	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5830. Hedge agreements	5830	(2)(iii)	No equivalent section (NES)	NES	R. 5830. Hedge agreements	5830	(2)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5831. - 5839. Reserved.	5831-5839		No equivalent section (NES)	NES	R. 5831. - 5839. Reserved.	5831-5839		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(1)	No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(2)	No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(2)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member Form 1
No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(3)(i)	No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(3)(ii)	No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(3)(iii)	No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(3)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(3)(iv)(a)	No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(3)(iv)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(3)(iv)(b)	No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(3)(iv)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(4)	No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(5)	No equivalent section (NES)	NES	R. 5840. Cash and securities loan agreements	5840	(5)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5841. - 5849. Reserved.	5841-5849		No equivalent section (NES)	NES	R. 5841. - 5849. Reserved.	5841-5849		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(1)	No equivalent section (NES)	NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(1)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member Form 1
No equivalent section (NES)	NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(i)	No equivalent section (NES)	NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(ii)	No equivalent section (NES)	NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(iii)	No equivalent section (NES)	NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(iv)(a)	No equivalent section (NES)	NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(iv)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(iv)(b)	No equivalent section (NES)	NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(iv)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(3)	No equivalent section (NES)	NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(4)	No equivalent section (NES)	NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5851. - 5899. Reserved.	5851-5899		No equivalent section (NES)	NES	R. 5851. - 5899. Reserved.	5851-5899		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 5901. Introduction	5901	(1)	No equivalent section (NES)	NES	R. 5901. Introduction	5901	(1)	Adopt a revised version of existing IDPC introduction section referencing Investment Dealer Member Form 1
No equivalent section (NES)	NES	R. 5902. Definitions	5902	(1)	No equivalent section (NES)	NES	R. 5902. Definitions	5902	(1)"Fixed rate"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 5903. Margin requirements for securities loan, repurchase agreements, and reverse repurchase agreements with term	5903	(1)	No equivalent section (NES)	NES	R. 5903. Margin requirements for securities loan, repurchase agreements, and reverse repurchase agreements with term	5903	(1)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member Form 1
No equivalent section (NES)	NES	R. 5903. Margin requirements for securities loan, repurchase agreements, and reverse repurchase agreements with term	5903	(2)	No equivalent section (NES)	NES	R. 5903. Margin requirements for securities loan, repurchase agreements, and reverse repurchase agreements with term	5903	(2)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member Form 1
No equivalent section (NES)	NES	R. 5904. - 5999. Reserved.	5904-5999		No equivalent section (NES)	NES	R. 5904. - 5999. Reserved.	5904-5999		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES	R. 7101. Introduction	7101	(1)	No equivalent section (NES)	NES	R. 7101. Introduction	7101	(1)	Adopt IDPC Rule introduction section
No equivalent section (NES)	NES	R. 7101. Introduction	7101	(2)	No equivalent section (NES)	NES	R. 7101. Introduction	7101	(2)	Adopt IDPC Rule introduction section
No equivalent section (NES)	NES	R. 7101. Introduction	7101	(3)	No equivalent section (NES)	NES	R. 7101. Introduction	7101	(3)	Adopt IDPC Rule introduction section
No equivalent section (NES)	NES	R. 7102. General requirements	7102	(1)	No equivalent section (NES)	NES	R. 7102. General requirements	7102	(1)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7102. General requirements	7102	(2)	No equivalent section (NES)	NES	R. 7102. General requirements	7102	(2)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7103. Policies and procedures	7103	(1)	No equivalent section (NES)	NES	R. 7103. Policies and procedures	7103	(1)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7103. Policies and procedures	7103	(2)	No equivalent section (NES)	NES	R. 7103. Policies and procedures	7103	(2)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7103. Policies and procedures	7103	(3)	No equivalent section (NES)	NES	R. 7103. Policies and procedures	7103	(3)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7103. Policies and procedures	7103	(4)	No equivalent section (NES)	NES	R. 7103. Policies and procedures	7103	(4)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7104. Trading personnel	7104	(1)	No equivalent section (NES)	NES	R. 7104. Trading personnel	7104	(1)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7104. Trading personnel	7104	(2)	No equivalent section (NES)	NES	R. 7104. Trading personnel	7104	(2)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7104. Trading personnel	7104	(3)	No equivalent section (NES)	NES	R. 7104. Trading personnel	7104	(3)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.

No equivalent section (NES)	NES	R. 7104. Trading personnel	7104	(4)	No equivalent section (NES)	NES	R. 7104. Trading personnel	7104	(4)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7105. Confidentiality	7105	(1)	No equivalent section (NES)	NES	R. 7105. Confidentiality	7105	(1)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7105. Confidentiality	7105	(2)	No equivalent section (NES)	NES	R. 7105. Confidentiality	7105	(2)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7105. Confidentiality	7105	(3)	No equivalent section (NES)	NES	R. 7105. Confidentiality	7105	(3)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7106. Resources and systems	7106	(1)	No equivalent section (NES)	NES	R. 7106. Resources and systems	7106	(1)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7106. Resources and systems	7106	(2)	No equivalent section (NES)	NES	R. 7106. Resources and systems	7106	(2)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7107. Conflicts of interest	7107	(1)	No equivalent section (NES)	NES	R. 7107. Conflicts of interest	7107	(1)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7107. Conflicts of interest	7107	(2)	No equivalent section (NES)	NES	R. 7107. Conflicts of interest	7107	(2)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7108. Duty to deal fairly	7108	(1)	No equivalent section (NES)	NES	R. 7108. Duty to deal fairly	7108	(1)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7108. Duty to deal fairly	7108	(2)	No equivalent section (NES)	NES	R. 7108. Duty to deal fairly	7108	(2)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7108. Duty to deal fairly	7108	(3)	No equivalent section (NES)	NES	R. 7108. Duty to deal fairly	7108	(3)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7109. Manipulative and deceptive practices in the debt markets	7109	(1)	No equivalent section (NES)	NES	R. 7109. Manipulative and deceptive practices in the debt markets	7109	(1)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7109. Manipulative and deceptive practices in the debt markets	7109	(2)	No equivalent section (NES)	NES	R. 7109. Manipulative and deceptive practices in the debt markets	7109	(2)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7110. Taking unfair advantage	7110	(1)	No equivalent section (NES)	NES	R. 7110. Taking unfair advantage	7110	(1)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7111. Derivatives trading	7111	(1)	No equivalent section (NES)	NES	R. 7111. Derivatives trading	7111	(1)	Adopt existing IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7112. Prohibited practices	7112	(1)	No equivalent section (NES)	NES	R. 7112. Prohibited practices	7112	(1)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7112. Prohibited practices	7112	(2)	No equivalent section (NES)	NES	R. 7112. Prohibited practices	7112	(2)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7112. Prohibited practices	7112	(3)	No equivalent section (NES)	NES	R. 7112. Prohibited practices	7112	(3)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7112. Prohibited practices	7112	(4)	No equivalent section (NES)	NES	R. 7112. Prohibited practices	7112	(4)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7113. Surveillance and reporting	7113	(1)	No equivalent section (NES)	NES	R. 7113. Surveillance and reporting	7113	(1)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7113. Surveillance and reporting	7113	(2)	No equivalent section (NES)	NES	R. 7113. Surveillance and reporting	7113	(2)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7113. Surveillance and reporting	7113	(3)	No equivalent section (NES)	NES	R. 7113. Surveillance and reporting	7113	(3)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES	R. 7201. Introduction	7201	(1)	No equivalent section (NES)	NES	R. 7201. Introduction	7201	(1)	Adopt a revised version of existing IDPC Rule introduction section referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7201. Introduction	7201	(2)	No equivalent section (NES)	NES	R. 7201. Introduction	7201	(2)	Adopt existing IDPC Rule introduction section
No equivalent section (NES)	NES	R. 7201. Introduction	7201	(3)	No equivalent section (NES)	NES	R. 7201. Introduction	7201	(3)	Adopt existing IDPC Rule introduction section
No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "authorized agent"	No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "authorized agent" (mandataire autorisé)	Adopt a revised version of existing IDPC Rule defined term referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "CUSIP"	No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "CUSIP" (CUSIP)	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "file receipt"	No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "file receipt" (reçu de fichier)	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "Government Securities Distributor"	No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "Government Securities Distributor" (distributeur de titres d'État)	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "ISIN"	No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "ISIN" (ISIN)	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "MTRS 2.0"	No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "MTRS 2.0" (SEROM 2.0)	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "MTRS 2.0 Enrollment Form"	No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "MTRS 2.0 Enrollment Form" (formulaire d'adhésion au SEROM 2.0)	Adopt a revised version of existing IDPC Rule defined term referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "riskless principal trade"	No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "riskless principal trade" (opération pour compte propre sans risque)	Adopt a revised version of existing IDPC Rule defined term referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "special condition indicator"	No equivalent section (NES)	NES	R. 7202. Definitions	7202	(1) "special condition indicator" (indicateur de condition spéciale)	Adopt a revised version of existing IDPC Rule defined term referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7203. Reporting requirements	7203	(1)	No equivalent section (NES)	NES	R. 7203. Reporting requirements	7203	(1)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7203. Reporting requirements	7203	(2)	No equivalent section (NES)	NES	R. 7203. Reporting requirements	7203	(2)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7203. Reporting requirements	7203	(3)	No equivalent section (NES)	NES	R. 7203. Reporting requirements	7203	(3)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7203. Reporting requirements	7203	(4)	No equivalent section (NES)	NES	R. 7203. Reporting requirements	7203	(4)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7203. Reporting requirements	7203	(5)	No equivalent section (NES)	NES	R. 7203. Reporting requirements	7203	(5)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7203. Reporting requirements	7203	(6)	No equivalent section (NES)	NES	R. 7203. Reporting requirements	7203	(6)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES	R. 7203. Reporting requirements	7203	(7)	No equivalent section (NES)	NES	R. 7203. Reporting requirements	7203	(7)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7204. Reporting timeframes	7204	(1)	No equivalent section (NES)	NES	R. 7204. Reporting timeframes	7204	(1)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7204. Reporting timeframes	7204	(2)	No equivalent section (NES)	NES	R. 7204. Reporting timeframes	7204	(2)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7205. Enrollment requirements	7205	(1)	No equivalent section (NES)	NES	R. 7205. Enrollment requirements	7205	(1)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7205. Enrollment requirements	7205	(2)	No equivalent section (NES)	NES	R. 7205. Enrollment requirements	7205	(2)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7301. Introduction	7301	(1)	No equivalent section (NES)	NES	R. 7301. Introduction	7301	(1)	Adopt a revised version of existing IDPC Rule introduction section referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7301. Introduction	7301	(2)	No equivalent section (NES)	NES	R. 7301. Introduction	7301	(2)	Adopt existing IDPC Rule introduction section
No equivalent section (NES)	NES	R. 7302. Definitions	7302	(1) "domestic debt securities"	No equivalent section (NES)	NES	R. 7302. Definitions	7302	(1) "domestic debt securities" (titres de créance canadiens)	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 7302. Definitions	7302	(1) "inter-dealer bond broker client"	No equivalent section (NES)	NES	R. 7302. Definitions	7302	(1) "inter-dealer bond broker client" (participant du courtier intermédiaire en obligations)	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 7302. Definitions	7302	(1) "inter-dealer bond broker trader"	No equivalent section (NES)	NES	R. 7302. Definitions	7302	(1) "inter-dealer bond broker trader" (négociateur par courtier intermédiaire en obligations)	Adopt existing IDPC Rule defined term

Source	Status	Rule	No.	Subsection	Source	Status	Rule	No.	Subsection	Description
No equivalent section (NES)	NES	R. 7302. Definitions	7302	(1) "information processor"	No equivalent section (NES)	NES	R. 7302. Definitions	7302	(1) "information processor" (agence de traitement de l'information)	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES	R. 7303. Dealer Members must trade through a Corporation approved inter-dealer bond broker	7303	(1)	No equivalent section (NES)	NES	R. 7303. Dealer Members must trade through a Corporation approved inter-dealer bond broker	7303	(1)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7304. Eligibility of inter-dealer bond broker for Corporation approval	7304	(1)	No equivalent section (NES)	NES	R. 7304. Eligibility of inter-dealer bond broker for Corporation approval	7304	(1)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES	R. 7304. Eligibility of inter-dealer bond broker for Corporation approval	7304	(2)	No equivalent section (NES)	NES	R. 7304. Eligibility of inter-dealer bond broker for Corporation approval	7304	(2)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(1)	No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(1)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(2)	No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(2)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(3)	No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(3)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(4)	No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(4)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(5)	No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(5)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(6)	No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(6)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(7)	No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(7)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(8)	No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(8)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(9)	No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(9)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(10)	No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(10)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(11)	No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(11)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(12)	No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(12)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(13)	No equivalent section (NES)	NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(13)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES	R. 7306. Committee review	7306	(1)	No equivalent section (NES)	NES	R. 7306. Committee review	7306	(1)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically