

**FOR COMMISSION USE ONLY**

File Number

**INSTRUCTIONS**

1. This fee checklist must accompany any payment made to the British Columbia Securities Commission that is paid outside of SEDAR+ or the National Registration Database.
2. Payment may be made by the following methods:

A. By wire transfer as follows:

Bank Name: Canadian Imperial Bank of Commerce  
Bank Institution Number: 010  
Bank Address: 400 Burrard Street, Vancouver, BC, Canada V6C 3A6  
Account Name: BC Securities Commission  
Account Number: 7500017  
Branch Transit Number: 00010  
SWIFT / BIC Code: CIBCCATT  
Or Clearing Code: 0010 00010

The BCSC will accept wire transfers if the payor agrees to pay all corresponding bank charges so that we receive the exact amount of fees. Our bank charges CDN\$15 for any incoming wires and there may also be additional charges from intermediary banks. The payor must cover these charges. Therefore, when initiating the wire transfer, do not choose the 'Beneficiary' to pay or 'Share' any wire charges.

In the wire instruction, include detailed information such as remitter's name, issuer's name, insider number, and type of filing fee.

Send wire confirmations by email to [finance1@bcsc.bc.ca](mailto:finance1@bcsc.bc.ca) together with this Form.

Include the issuer/registrant or other filer's full legal name with your submission.

B. By electronic funds transfer (EFT) as follows:

Bank Name: Canadian Imperial Bank of Commerce  
Bank Institution Number: 010  
Bank Address: 400 Burrard Street, Vancouver, BC, Canada V6C 3A6  
Account Name: BC Securities Commission  
Account Number: 7500017  
Branch Transit Number: 00010  
SWIFT / BIC Code: CIBCCATT  
Or Clearing Code: 0010 00010

In the EFT instruction, include detailed information such as remitter's name, issuer's name, insider number, and type of filing fee.

Send EFT remittances by email to [finance1@bcsc.bc.ca](mailto:finance1@bcsc.bc.ca) together with this Form.

Include the issuer/registrant or other filer's full legal name with your submission.

C. By cheque in Canadian funds made payable to the British Columbia Securities Commission. (This method of payment is not available for item 32.)

Cheques must be sent to:  
 British Columbia Securities Commission  
 701 West Georgia Street  
 P.O. Box 10142 Pacific Centre  
 Vancouver, BC V7Y 1L2

Attention: Financial Services

Include the issuer/registrant or other filer's full legal name with your submission.

3. NSF cheques will result in a \$20.00 charge and all subsequent fee payments will require a certified cheque or money order unless a wire transfer or electronic transfer is used.
4. The number to the left of the text below is the respective Item number from section 22(1) of the *Securities Regulation*; Reg. 196/97 (the Regulation). Repealed items and items that relate to a fee that is paid through SEDAR+ or the National Registration Database are not included. Reference should be made to the Regulation for the specific wording of each of the following items. All references to the "Act" are to the *Securities Act*, R.S.B.C. 1996, c. 418.
5. The fees set out in this fee checklist are effective April 8, 2024.

**INSTRUCTION: FOR EACH FEE PAYMENT, ENTER MULTIPLYING FACTOR(S) AND AMOUNT(S).**

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8	For each day that the financial statements of a registered dealer, investment fund manager or adviser have not been filed as required under National Instrument 31-103 <i>Registration Requirements, Exemptions and Ongoing Registrant Obligations</i> , unless the commission or executive director has exempted in writing the registered dealer, investment fund manager or adviser from the requirement to file financial statements.	<div style="display: flex; align-items: center; justify-content: center;"> <div style="border: 1px solid black; padding: 2px 10px;">\$100</div> <div style="margin: 0 5px;">x</div> <div style="border: 1px solid black; padding: 2px 10px; width: 40px;"> </div> <div style="margin: 0 5px;">=</div> <div style="border: 1px solid black; padding: 2px 10px; width: 40px;"> </div> </div>
10.1	For filing a prospectus  (a) for an at-the-market distribution under National Instrument 44-102 <i>Shelf Distributions</i> , or	RFSLATE

		<i>Commission Use Only</i>
	<p>(b) for an exchange traded fund</p> <p>the amount, if any, by which</p> <p>(c) 0.00325%, or</p> <div style="display: flex; align-items: center; margin-left: 400px;"> <div style="border: 1px solid black; padding: 2px 10px;">0.00325%</div> <div style="margin: 0 5px;">x</div> <div style="border: 1px solid black; width: 60px; height: 25px; margin: 0 5px;"></div> <div style="margin: 0 5px;">=</div> <div style="border: 1px solid black; width: 60px; height: 25px; margin: 0 5px;"></div> </div> <p>(d) in the case of a money market fund, 0.0013%</p> <div style="display: flex; align-items: center; margin-left: 400px;"> <div style="border: 1px solid black; padding: 2px 10px;">0.0013%</div> <div style="margin: 0 5px;">x</div> <div style="border: 1px solid black; width: 60px; height: 25px; margin: 0 5px;"></div> <div style="margin: 0 5px;">=</div> <div style="border: 1px solid black; width: 60px; height: 25px; margin: 0 5px;"></div> </div> <p>of the gross proceeds realized by the issuer or the securityholder from the distribution under the prospectus to purchasers in Canada exceeds the aggregate of the fees paid under item 9 of section 22 of the Regulation, which amount must be paid in accordance with sections 24 and 25 of the Regulation.</p>	<p>SAATMETF</p> <p>SAETFMM</p>
15	<p>For filing an application, if no other filing fee for that filing is prescribed, for a decision under the <i>Act</i>, the Regulation, the Rules another enactment or a policy statement, other than an application under section 15.1, 28, 41, of 178 of the <i>Act</i>, or an application to revoke, revoke in part or vary an order made under s. 161 or 164 of the <i>Act</i>.</p> <div style="display: flex; align-items: center; margin-left: 400px;"> <div style="border: 1px solid black; padding: 2px 10px;">\$4,500</div> <div style="margin: 0 5px;">x</div> <div style="border: 1px solid black; width: 60px; height: 25px; margin: 0 5px;"></div> <div style="margin: 0 5px;">=</div> <div style="border: 1px solid black; width: 60px; height: 25px; margin: 0 5px;"></div> </div>	<p>SORDER</p>
15.1	<p>(1) For filing any of the following applications, unless an application to which item 15 applies is also filed, in which case no fee is payable under this subsection:</p> <p>(a) an application for a decision to revoke an order made under s. 164 of the <i>Act</i> if the order has been in effect for less than 91 days;</p> <p>(a.1) an application for a decision to revoke in part or vary an order made under section 164 of the <i>Act</i>;</p> <p>(b) an application for a decision under s. 88 of the <i>Act</i>;</p> <p>(c) an application for a decision under National Instrument 31-102 <i>National Registration Database</i>;</p> <p>(d) an application for a decision under National Instrument 33-109 <i>Registration Information</i>;</p> <div style="display: flex; align-items: center; margin-left: 400px;"> <div style="border: 1px solid black; padding: 2px 10px;">\$1,000</div> <div style="margin: 0 5px;">x</div> <div style="border: 1px solid black; width: 60px; height: 25px; margin: 0 5px;"></div> <div style="margin: 0 5px;">=</div> <div style="border: 1px solid black; width: 60px; height: 25px; margin: 0 5px;"></div> </div>	<p>SORDER1</p>

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	(e) an application for a decision under s. 3.3, 3.5, 3.6, 3.7, 3.8, 3.9, 3.10, 3.11, 3.12, 3.13, 3.14, 9.1 or 9.2 of National Instrument 31-103 <i>Registration Requirements, Exemptions and Ongoing Registrant Obligations</i> ;		
	(f) an application under s. 164 of the Act for a management cease trade order;		
	(g) an application for an exemption from the prohibition against filing a final prospectus more than 90 days after the date of the receipt for the related preliminary prospectus or amendment to the prospectus		
	(2) For filing an application for a decision under s. 26 of the Regulation.	\$1,000 x <input type="text"/> = <input type="text"/>	SORDER1
15.2	For filing an application for recognition in respect of an exchange	\$40,000 x <input type="text"/> = <input type="text"/>	APPX
15.3	For filing an application for an exemption from recognition in respect of an exchange	\$15,000 x <input type="text"/> = <input type="text"/>	APPXEXM
15.4	For filing an application for recognition in respect of a clearing agency	\$40,000 x <input type="text"/> = <input type="text"/>	APPCA
15.5	For filing an application for an exemption from recognition in respect of a clearing agency	\$15,000 x <input type="text"/> = <input type="text"/>	APPACEXM
15.6	For filing Form 21-101F2 <i>Information Statement – Alternative Trading System</i> in respect of an alternative trading system if a Form 21-101F2 has not previous been filed with respect to that alternative trading system	\$25,000 x <input type="text"/> = <input type="text"/>	ATSIS
15.7	For filing an application for recognition in respect of a trade repository	\$25,000 x <input type="text"/> = <input type="text"/>	APPTR
19	For filing a report required under section 87 of the Act, if the statement is filed		
	(a) within the prescribed period	No fee	

		Commission Use Only
21.1	<p>(b) outside the prescribed period</p> <div style="text-align: right; margin-right: 20px;"> <input type="text" value="\$50"/> x <input type="text"/> = <input type="text"/> </div> <p>For a reporting counterparty as defined in Multilateral Instrument 96-101 <i>Trade Repositories and Derivatives Data Reporting</i>, required to report a derivatives transaction to a trade repository in a calendar year under that Instrument, the fee opposite the applicable amount set out in the following paragraphs range into which the amount determined under section 24.2 of the Regulation falls:</p> <p>(a) under \$1 billion</p> <div style="text-align: right; margin-right: 20px;"> <input type="text" value="No fee"/> </div> <p>(b) \$3 billion to under \$7.5 billion</p> <div style="text-align: right; margin-right: 20px;"> <input type="text" value="\$3,000"/> x <input type="text"/> = <input type="text"/> </div> <p>(c) \$7.5 billion to under \$15 billion</p> <div style="text-align: right; margin-right: 20px;"> <input type="text" value="\$7,500"/> x <input type="text"/> = <input type="text"/> </div> <p>(d) \$15 billion to under \$50 billion</p> <div style="text-align: right; margin-right: 20px;"> <input type="text" value="\$15,000"/> x <input type="text"/> = <input type="text"/> </div> <p>(e) \$50 billion to under \$100 billion</p> <div style="text-align: right; margin-right: 20px;"> <input type="text" value="\$50,000"/> x <input type="text"/> = <input type="text"/> </div> <p>(f) \$100 billion to under \$300 billion</p> <div style="text-align: right; margin-right: 20px;"> <input type="text" value="\$100,000"/> x <input type="text"/> = <input type="text"/> </div> <p>(g) \$300 billion to under \$500 billion</p> <div style="text-align: right; margin-right: 20px;"> <input type="text" value="\$200,000"/> x <input type="text"/> = <input type="text"/> </div> <p>(h) \$500 billion to under \$1 trillion</p> <div style="text-align: right; margin-right: 20px;"> <input type="text" value="\$450,000"/> x <input type="text"/> = <input type="text"/> </div> <p>(i) \$1 trillion and over</p> <div style="text-align: right; margin-right: 20px;"> <input type="text" value="\$750,000"/> x <input type="text"/> = <input type="text"/> </div>	SINSLATE
22	<p>For an on-site search of a paper file.</p> <div style="text-align: right; margin-right: 20px;"> <input type="text" value="\$6"/> x <input type="text"/> = <input type="text"/> </div>	SSEARCH
23	<p>For a copy of a record in the public file of the commission, for each page</p> <div style="text-align: right; margin-right: 20px;"> <input type="text" value="\$0.50"/> x <input type="text"/> = <input type="text"/> </div>	SCOPY

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24	For the certification of a record				
	(a) for the number of pages, up to and including 10, included in the record	\$10	x	=	SCERT
	(b) for each additional page over 10 included in the record	\$1	x	=	SCERT
25	The fees and charges for an examination or investigation by a person appointed under sections 13 or 153 of the <i>Act</i> are an amount equal to the amount paid by the commission for the examination or investigation, not exceeding fees of \$2,000 for each day of the examination or investigation plus disbursements properly incurred for the examination or investigation				SEXAM
26	The fees and charges for the costs of or related to a compliance review under section 141.1, 141.2, 141.3 or 141.4 of the <i>Act</i> are				
	(a) \$100 per hour for each person involved in the compliance review	\$100	x	=	SAUDIT
	(b) disbursements properly incurred by the commission or the executive director or the staff of either of them				SAUDIT
27	The fees and charges for an investigation by a person appointed under section 142 or 147 of the <i>Act</i> are an amount equal to the amount paid by the commission or the minister for the investigation, not exceeding fees of \$2,000 for each day of the investigation plus disbursements properly incurred for the investigation				SINVEST
28	The fees and charges for the costs of or related to a hearing are:				
	(a) \$2,000 for each day or partial day of hearing	\$2,000	x	=	SHEAR
	(b) \$100 per hour for each person for time spent by the commission or the executive director or the staff of either of them	\$100	x	=	SHEAR
	(c) disbursements properly incurred by the commission or the executive director or the staff of either of them				SHEAR

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	(d) fees paid to an expert or witness to a maximum of \$600 per hour for each person involved		SHEAR
	(e) disbursements properly incurred by an expert retained by the commission		SHEAR
	(f) fees paid for legal services, to a maximum of \$400 per hour for each person involved		SHEAR
	(g) disbursements properly incurred in connection with the provision of legal services to the commission		SHEAR
32	For any of the following:		
	(a) submitting Form 31-103F2 <i>Submission to Jurisdiction and Appointment of Agent for Service</i> under s. 8.18(3)(e) or 8.26(4)(f) of National Instrument 31-103 <i>Registration Requirements, Exemptions and Ongoing Registrant Obligations</i> or under both of those sections if submitted at the same time	\$1,400 x <input type="text"/> = <input type="text"/>	INTLSUBM
	(b) providing a notification under s. 8.18(5) or 8.26(5) of National Instrument 31-103 <i>Registration Requirements, Exemptions and Ongoing Registrant Obligations</i> or under both of those sections if the notification is provided at the same time	\$1,400 x <input type="text"/> = <input type="text"/>	INTLNOT
33	Subject to section 25.1, for a person that was a recognized exchange at any time beginning on April 1 of the previous calendar year and ending on March 31 of the current calendar year, by April 30 of the current calendar year	\$20,000 x <input type="text"/> = <input type="text"/>	SECH
34	Subject to section 25.1, for a person that was a recognized clearing agency, at any time during the period beginning on April 1 of the previous calendar year and ending on March 31 of the current calendar year, by April 30 of the current calendar year	\$20,000 x <input type="text"/> = <input type="text"/>	SCLEAR

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35	Subject to section 25.1, for a person that was an alternative trading system, at any time during the period beginning on April 1 of the previous calendar year and ending on March 31 of the current calendar year, by April 30 of the current calendar year  $\boxed{\$5,000} \times \boxed{\phantom{0000}} = \boxed{\phantom{0000}}$	SATS
36	Subject to section 25.1, for a person that is a trade repository that was recognized under the <i>Act</i> at any time beginning on April 1 of the previous calendar year and ending on March 31 of the current calendar year, by April 30 of the current calendar year  $\boxed{\$15,000} \times \boxed{\phantom{0000}} = \boxed{\phantom{0000}}$	STR
	FOR NSF CHARGES  $\boxed{\$20} \times \boxed{\phantom{0000}} = \boxed{\phantom{0000}}$  <div style="display: flex; justify-content: space-around; align-items: center;"> <div data-bbox="451 852 813 947" style="border: 2px solid black; padding: 5px;"><b>Grand Total:</b></div> <div data-bbox="979 852 1325 947" style="border: 2px solid black; width: 200px; height: 40px;"></div> </div>	SNSF