

Annex A

Amendments to
Multilateral Instrument 13-102 *System Fees*

- 1. *Multilateral Instrument 13-102 System Fees is amended by this Instrument.*
- 2. *Subsection 1(1) is amended by adding the following definition:*

“WKSI base shelf prospectus” means a base shelf prospectus filed under Part 9B of National Instrument 44-102 *Shelf Distributions*..
- 3. *Subsection 3(1) is replaced with the following:*

(1) If a person or company described in Column A of Appendix A transmits a filing of a type described in Column B of the Appendix, the person or company must pay to the person or company’s principal regulator the system fee specified in Column C of the Appendix for the filing for the reference period corresponding to the date of transmission of the filing..
- 4. *Section 4 is amended by adding “for the reference period corresponding to that date” after “Appendix B”.*
- 5. *Appendix A is replaced with the following:*

Appendix A
System Fees

In this Appendix,

“**application**” means a request transmitted through SEDAR+ for a decision of the regulator or securities regulatory authority but, for greater certainty, does not include a pre-filing;

“**pre-filing**” means a request to consult with the principal regulator regarding the application of securities legislation or securities directions generally or the application of securities legislation or a direction to a particular transaction or matter or proposed transaction or matter.

| Item | Column A | Column B | Column C | | | | |
|------|--|--|--|-------------------------------|-------------------------------|-------------------------------|------------------|
| | Person or company required to file | Filing type | Reference periods and system fee payable | | | | |
| | | | From 11/28/2025 to 11/29/2026 | From 11/30/2026 to 11/29/2027 | From 11/30/2027 to 11/29/2028 | From 11/30/2028 to 11/29/2029 | After 11/29/2029 |
| 1 | Sponsoring firm – in respect of an individual registrant | Application for registration or reactivation of registration | \$138 | \$143 | \$148 | \$153 | \$158 |

| Item | Column A | Column B | Column C | | | | |
|------|---|---|--|--|--|--|--|
| | Person or company required to file | Filing type | Reference periods and system fee payable | | | | |
| | | | From 11/28/2025 to 11/29/2026 | From 11/30/2026 to 11/29/2027 | From 11/30/2027 to 11/29/2028 | From 11/30/2028 to 11/29/2029 | After 11/29/2029 |
| 2 | International dealer or international adviser | Annual notice of reliance on exemption from dealer registration requirement or adviser registration requirement | \$560 | \$577 | \$595 | \$613 | \$632 |
| 3 | Investment fund that is a reporting issuer | Annual financial statements | \$840 | \$866 | \$892 | \$919 | \$947 |
| 4 | Investment fund | Preliminary, pro forma, or combined preliminary and pro forma long form prospectus | \$3520, regardless of whether the applicable long form prospectus relates to the distribution of the securities of one or more than one investment fund | \$3626, regardless of whether the applicable long form prospectus relates to the distribution of the securities of one or more than one investment fund | \$3735, regardless of whether the applicable long form prospectus relates to the distribution of the securities of one or more than one investment fund | \$3848, regardless of whether the applicable long form prospectus relates to the distribution of the securities of one or more than one investment fund | \$3964, regardless of whether the applicable long form prospectus relates to the distribution of the securities of one or more than one investment fund |
| | | Preliminary, pro forma, or combined preliminary and pro forma simplified prospectus | \$3520, regardless of whether the applicable simplified prospectus relates to the distribution of the securities of one or more than one investment fund | \$3626, regardless of whether the applicable simplified prospectus relates to the distribution of the securities of one or more than one investment fund | \$3735, regardless of whether the applicable simplified prospectus relates to the distribution of the securities of one or more than one investment fund | \$3848, regardless of whether the applicable simplified prospectus relates to the distribution of the securities of one or more than one investment fund | \$3964, regardless of whether the applicable simplified prospectus relates to the distribution of the securities of one or more than one investment fund |

| Item | Column A | Column B | Column C | | | | |
|------|---|--|--|-------------------------------|-------------------------------|-------------------------------|------------------|
| | Person or company required to file | Filing type | Reference periods and system fee payable | | | | |
| | | | From 11/28/2025 to 11/29/2026 | From 11/30/2026 to 11/29/2027 | From 11/30/2027 to 11/29/2028 | From 11/30/2028 to 11/29/2029 | After 11/29/2029 |
| 5 | Reporting issuer other than an investment fund | Annual financial statements | \$1224 | \$1261 | \$1299 | \$1338 | \$1379 |
| 6 | Reporting issuer, other than an investment fund, that is not a short form prospectus issuer | Annual information form | \$688 | \$709 | \$731 | \$753 | \$776 |
| 7 | Investment fund that is not a short form prospectus issuer | Annual information form | \$688 | \$709 | \$731 | \$753 | \$776 |
| 8 | Reporting issuer that is a short form prospectus issuer | Annual information form | \$4048 | \$4170 | \$4296 | \$4425 | \$4558 |
| 9 | Issuer other than an investment fund | Preliminary long form prospectus Preliminary prospectus governed by a CPC instrument | \$1520 | \$1566 | \$1613 | \$1662 | \$1712 |
| | | Preliminary short form prospectus, preliminary shelf prospectus or preliminary MJDS prospectus | \$2400 | \$2472 | \$2547 | \$2624 | \$2703 |

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|------|---------------------------------------|---|--|-------------------------------|-------------------------------|-------------------------------|------------------|
| | Person or company required to file | Filing type | Reference periods and system fee payable | | | | |
| | | | From 11/28/2025 to 11/29/2026 | From 11/30/2026 to 11/29/2027 | From 11/30/2027 to 11/29/2028 | From 11/30/2028 to 11/29/2029 | After 11/29/2029 |
| | | WKSI base shelf prospectus | \$2400 | \$2472 | \$2547 | \$2624 | \$2703 |
| 10 | All filers | Issuer bid circular filed under Part 2 of National Instrument 62-104 <i>Take-Over Bids and Issuer Bids</i> or take-over bid circular filed under Part 2 of National Instrument 62-104 <i>Take-Over Bids and Issuer Bids</i> | \$560 | \$577 | \$595 | \$613 | \$632 |
| 11 | Issuer, other than an investment fund | Rights offering circular | \$2400 | \$2472 | \$2547 | \$2624 | \$2703 |
| 12 | All filers | Report of exempt distribution | \$64 | \$66 | \$68 | \$71 | \$74 |
| 13 | All filers | Pre-filing that is transmitted through SEDAR+ | \$560 | \$577 | \$595 | \$613 | \$632 |

6. *Appendix B is replaced with the following:*

**Appendix B
System Fees**

| Column A | Column B | Column C | | | | |
|--|-----------------------------|--|-------------------------------|-------------------------------|-------------------------------|------------------|
| Person or company required to file | Filing type | Reference periods and system fee payable | | | | |
| | | From 11/28/2025 to 11/29/2026 | From 11/30/2026 to 11/29/2027 | From 11/30/2027 to 11/29/2028 | From 11/30/2028 to 11/29/2029 | After 11/29/2029 |
| Sponsoring firm – in respect of each individual registrant sponsored by the firm | Annual registration renewal | \$138 | \$143 | \$148 | \$153 | \$158 |

7. (1) This Instrument comes into force on November 28, 2025.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after November 28, 2025, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.