British Columbia Securities Commission

BC Instrument 33-521

Relief from sections of NI 33-109 Registration Information related to obligations to fill out specific forms

Definitions

1. Terms defined in the Securities Act, R.S.B.C. 1996, c. 418, as amended (the Act) or in National Instrument 14-101 Definitions have the same meaning in this order.

Background

- Subsection 2.2(1) of National Instrument 33-109 Registration Information requires individuals seeking registration to submit a completed for 33-109F4 and 33-109F7 to the regulator in accordance with National Instrument 31-102 National Registration Database (NRD).
- 3. Form 33-109F4 Item 6, Item 8 number 2, and Form 33-109F7 Item 3 contain options for selection that are no longer available on NRD, and NRD contains additional options that are not contained within Form 33-10F4 and 33-109F7.
- 4. The Commission considers that limited relief from submitting certain sections of Form 33-109F4 and 33-109F7 would not be prejudicial to the public interest provided that individuals seeking registration submit all relevant forms on NRD.

Order

- 5. Considering that to do so would not be prejudicial to the public interest, the Commission orders, under section 48(1) of the Act, that individuals seeking registration or reinstatement of registration are exempt from submitting Item 6 and Item 8 number 2 of Form 33-109F4 and Item 3 of Form 33-109F7 provided the individuals seeking registration or reinstatement of registration submit the forms electronically on NRD.
- 6. This order comes into effect on January 1, 2026.

Act and sections: Securities Act, section 48(1)

Brenda M. Leong
Chair

(This part is for administrative purposes only and is not part of the Order)
Authority under which Order is made: