

CSA Notice regarding Coordinated Blanket Order 33-930 Exemptions from Requirements to Submit Certain Personal Information Under National Instrument 33-109 *Registration Information*

April 30, 2026

Introduction

The Canadian Securities Administrators (the **CSA** or **we**) are publishing substantively harmonized exemptions from certain requirements under National Instrument 33-109 *Registration Information* (the **Instrument**) to exempt individuals from the requirements to submit or update certain personal information under the Instrument.

Every member of the CSA is implementing the relief through Coordinated Blanket Order 33-930 *Exemptions from Requirements to Submit Certain Personal Information Under National Instrument 33-109 Registration Information* (the **Coordinated Blanket Order**).

Although the outcome is the same in all CSA jurisdictions, the language of the Coordinated Blanket Order issued by each province or territory may not be identical because each jurisdiction's blanket order must fit within the authority provided for in local securities legislation.

Background

The information filing requirements under the Instrument are part of the registration regime and apply to (i) firms required to be registered as dealers, advisers, and investment fund managers, and (ii) certain individuals who act on their behalf. Under the Instrument, individuals must submit specified information when applying for registration (including reinstatement) or seeking review as a permitted individual. The Instrument also sets out certain requirements to update previously submitted information.

Information collected under the Instrument is used by the CSA to assess a filer's fitness for registration or for permitted individual status.

For individuals, this information includes (i) eye colour, hair colour, height, and weight information, and (ii) citizenship information (country of citizenship and passport information for individuals who are citizens of countries other than Canada). This information is required to be submitted in Form 33-109F4 *Registration of Individuals and Review of Permitted Individuals* (**Form 33-109F4**).

The CSA has determined that (i) eye colour, hair colour, height, and weight information is not required to identify individuals submitting information under the Instrument or otherwise determine their fitness for registration or permitted individual status, and (ii) while citizenship information is sometimes used to confirm the identity of an individual as part of the registration

process, this information, when needed, can be collected outside of the requirements in the Instrument.

Accordingly, the CSA plans to remove the requirements relating to this information when the Instrument is next amended.

Description of the Coordinated Blanket Order

As an interim measure, CSA members are implementing the Coordinated Blanket Order.

The Coordinated Blanket Order provides relief from the requirements under the Instrument to submit eye colour, hair colour, height, weight, and citizenship information by:

- exempting individuals from the requirements to provide this information in Form 33-109F4 when applying for registration (including reinstatement) or seeking review as a permitted individual; and
- exempting individuals from requirements to notify CSA members of changes to this information previously submitted in respect of an individual's Form 33-109F4.

Effective Date and Term

The Coordinated Blanket Order will come into effect on May 1, 2026 and expire:

- in all jurisdictions except Ontario on the date on which amendments to the Instrument come into force that address substantially the same subject matter as the Coordinated Blanket Order (the **Amendment Date**); and
- in Ontario on the earlier of (i) November 1, 2027 or such later date (if any) to which the Coordinated Blanket Order is extended, and (ii) the Amendment Date.

Questions

Please refer any questions to any of the following:

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