# **2009 BCSECCOM 389**

## **Reciprocal Order**

#### Klaus Link

# Section 161(1) of the Securities Act, RSBC 1996, c. 418

#### Introduction

¶ 1 This is an order under section 161(1) and 161(6)(c) of the *Securities Act*, RSBC 1996, c. 418.

### **Background**

¶ 2 Klaus Link is subject to an order made by the Saskatchewan Financial Services Commission imposing sanctions on him in an order rendered April 21, 2009 in *Re Tri-Link Consultants Inc. and Klaus Link*.

#### **Order**

- ¶ 3 After providing Link an opportunity to be heard, and considering staff's submissions, and considering it to be in the public interest, we order:
  - 1. under section 161(1)(b) of the Act, that Link cease trading in, and is permanently prohibited from purchasing, securities and exchange contracts,
  - 2. under section 161(1)(c) of the Act, that the exemptions described in sections 44 to 47, 74, 75, 98 and 99 do not apply to Link,
  - 3. under section 161(1)(d)(i) and (ii) of the Act, that Link resign any position he holds as, and is permanently prohibited from becoming or acting as, a director or officer of any issuer, registrant or investment fund manager,
  - 4. under section 161(1)(d)(iii) of the Act, that Link is permanently prohibited from becoming or acting as a registrant, investment fund manager or promoter,
  - 5. under section 161(1)(d)(iv) of the Act, that Link is permanently prohibited from acting in a management or consultative capacity in connection with activities in the securities market, and

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- 6. under section 161(1(d)(v) of the Act, that Link is permanently prohibited from engaging in investor relations activities.
- ¶ 4 July 7, 2009
- ¶ 5 For the Commission

Brent W. Aitken Vice Chair

Kenneth G. Hanna Commissioner