

2010 BCSECCOM 62

Reciprocal Order

Barry Adams

Section 161(1) of the *Securities Act*, RSBC 1996, c. 418

Introduction

- ¶ 1 This is an order under sections 161(1) and 161(6)(d) of the *Securities Act*, RSBC 1996, c.418.

Background

Barry Adams has agreed with the New Brunswick Securities Commission to be subject to sanctions in a settlement agreement dated March 10, 2009 [*In the matter of Barry Adams.*](#)

Order

- ¶ 2 After providing Adams an opportunity to be heard, and considering staff's submissions, and considering it to be in the public interest, we order:
1. under section 161(1)(b) of the Act, that Adams cease trading in, and is prohibited from purchasing, securities and exchange contracts until April 20, 2019, except that Adams may trade and purchase securities through accounts in his name at a registered dealer, and
 2. under section 161(1)(c) of the Act, that any or all of the exemptions set out in the regulations do not apply to Adams until April 20, 2019, except for those exemptions necessary to enable Adams to trade and purchase securities as permitted in this order.

- ¶ 3 January 25, 2010

- ¶ 4 **For the Commission**

Brent W. Aitken
Vice Chair

Suzanne K. Wiltshire
Commissioner