

2010 BCSECCOM 65

Reciprocal Order

Roger A. Kimmel

Section 161(1) of the *Securities Act*, RSBC 1996, c. 418

Introduction

- ¶ 1 This is an order under sections 161(1) and 161(6)(d) of the *Securities Act*, RSBC 1996, c.418.

Background

Roger A. Kimmel has agreed with the Alberta Securities Commission to be subject to sanctions in a settlement agreement dated May 5, 2009 in [Petroleum Unlimited, LLC, Re, 2009 ABASC 219](#).

Order

- ¶ 2 After providing Kimmel an opportunity to be heard, and considering staff's submissions, and considering it to be in the public interest, we order:
1. under section 161(1)(b) of the Act, that Kimmel cease trading in, and is prohibited from purchasing, securities and exchange contracts until May 5, 2016, and
 2. under section 161(1)(c) of the Act, that any or all of the exemptions set out in the regulations do not apply to Kimmel until May 5, 2016.

- ¶ 3 January 25, 2010

- ¶ 4 **For the Commission**

Brent W. Aitken
Vice Chair

Kenneth G. Hanna
Commissioner