

2011 BCSECCOM 324

Reciprocal Order

Rod Woodward

Section 161(1) of the *Securities Act*, RSBC 1996, c. 418

Introduction

- ¶ 1 This is an order under sections 161(1) and 161(6)(a) of the *Securities Act*, RSBC 1996, c. 418.

Background

- ¶ 2 On May 19, 2009, the Provincial Court of British Columbia convicted Rod Woodward of offences arising from transactions, business or courses of conduct related to securities.

Order

- ¶ 3 After providing Woodward an opportunity to be heard, and considering staff's submission, and considering it to be in the public interest, we order:
1. under section 161(1)(b) of the Act, that Woodward cease trading in, and is prohibited from purchasing securities and exchange contracts permanently;
 2. under section 161(1)(d)(i) and (ii) of the Act, that Woodward resign any position he holds as, and is permanently prohibited from becoming or acting as, a director or officer of any issuer, registrant or investment fund manager;
 3. under section 161(1)(d)(iii) of the Act, that Woodward is permanently prohibited from becoming or acting as a registrant, investment fund manager or promoter;
 4. under section 161(1)(d)(iv) of the Act, that Woodward is permanently prohibited from acting in a management or consultative capacity in connection with activities in the securities market; and

2011 BCSECCOM 324

5. under section 161(1(d)(v) of the Act, that Woodward is permanently prohibited from engaging in investor relations activities.

¶ 4 July 7, 2011

¶ 5 **For the Commission**

Brent W. Aitken
Vice Chair

Don Rowlatt
Commissioner