

Citation: 2014 BCSECCOM 3

Reciprocal Order

John Alexander Allen

Section 161 of the *Securities Act*, RSBC 1996, c. 418

Introduction

This is an order under sections 161(1) and 161(6)(c) and (d) of the *Securities Act*, RSBC 1996, c. 418.

Background

- ¶ 1 John Alexander Allen agreed with the Nova Scotia Securities Commission to be subject to sanctions in a settlement agreement and order approved on June 29, 2011 in the matter of [*John Alexander Allen*](#).

Order

- ¶ 2 After providing Allen an opportunity to be heard and considering staff's submission, and considering it to be in the public interest, we order,
1. under section 161(1)(c) of the Act, that all of the exemptions set out in the regulations do not apply to Allen permanently;
 2. under section 161(1)(d)(i) and (ii) of the Act, that Allen resign any position he holds as, and is prohibited permanently from becoming or acting as, a director or officer of any issuer; and
 3. under section 161(1)(d)(iii) of the Act, that Allen is permanently prohibited from becoming or acting as a registrant or an investment fund manager.

- ¶ 3 January 16, 2014

- ¶ 4 **For the Commission**

Brent W. Aitken
Vice Chair

Don Rowlett
Commissioner