

2009 BCSECCOM 514

Order

Kerry John O'Neill

Securities 161 of the *Securities Act*, RSBC 1996, c. 418

Background

- ¶ 1 The Executive Director of the British Columbia Securities Commission has entered into a settlement agreement with Kerry John O'Neill (O'Neill), a copy of which is attached as Schedule A.

Order

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders, by consent (the Order), that:
1. under section 161(1)(a) of the Securities Act, RSBC 1996, c. 418 (the Act), O'Neill comply fully with the Act, the Securities Rules, and any applicable regulations;
 2. under section 161(1)(b) of the Act, O'Neill cease trading in and be permanently prohibited from purchasing any securities or exchange contracts, except that he may trade in one account in his own name through a registered representative if he provides a copy of this Order to the registered representative before any trade;
 3. under section 161(1)(d)(i) and (ii) of the Act, O'Neill resign any position he may hold as a director or officer of an issuer, registrant or investment fund manager and be permanently prohibited from becoming or acting as a director or officer of any issuer, registrant or investment fund manager;
 4. under section 161(1)(d)(iv) of the Act, O'Neill be permanently prohibited from acting in a management or consultative capacity in connection with activities in the securities market; and
 5. under section 161(1)(d)(v) of the Act, O'Neill be permanently prohibited from engaging in investor relations activities.

- ¶ 3 September 9, 2009

“Brenda Leong”

- ¶ 4 Brenda M. Leong
Executive Director