2010 BCSECCOM 620

Order

Parvin Kaur Dhudwal, Amrik Singh Bahd, Kulbir Singh Uppal also known as Kelly Uppal, Gurpreet Kaur Jhutty, and Paul Uppal

Securities Act, RSBC 1996, c. 418 (the Act)

Background

¶ 1 The Executive Director of the British Columbia Securities Commission has entered into a settlement agreement with Parvin Kaur Dhudwal, Amrik Singh Bahd, Kulbir Singh Uppal also known as Kelly Uppal, Gurpreet Kaur Jhutty, and Paul Uppal (the Respondents), a copy of which is attached as Schedule A.

Order

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders, by consent (the Order), that:
 - under section 161(1)(b) of the Act, each of the Respondents are prohibited from purchasing or trading in securities, except that they may each purchase and trade mutual funds through such RSP and RESP accounts as they may hold in their own names as of today's date through a registered representative, provided they first give a copy of the Order to the registered representative through which they make a purchase or trade;
 - 2. under section 161(1)(d)(ii), each of the Respondents are prohibited from becoming or acting as an officer or a director of any issuer;
 - 3. under section 161(1)(d)(iii), each of the Respondents are prohibited from becoming or acting as a promoter,
 - 4. under section 161(1)(d)(iv), each of the Respondents are prohibited from acting in a management or consultative capacity in connection with activities in the securities market; and
 - 5. under section 161(1)(d)(v), each of the Respondents are prohibited from engaging in investor relations activities.

for a period of six years from the date of the Order.

¶ 3 November 12, 2010

Paul C. Bourque

¶ 4 Paul C. Bourque, Q.C. Executive Director