

Citation: 2013 BCSECCOM 223

Order

Richard Gozdek

Securities Act, RSBC 1996, c. 418

1. The Executive Director of the British Columbia Securities Commission has entered into a settlement agreement with Richard Gozdek (Gozdek), a copy of which is attached as Schedule A.

Order

2. The Executive Director, considering it to be in the public interest to do so, orders (the Order) that:
 1. under section 161(1)(a) of the Act, that Gozdek comply fully with the Act, the Securities Rules, and any applicable regulations;
 2. under section 161(1)(b) of the Act, that Gozdek cease trading, and is prohibited from purchasing, securities or exchange contracts except that he may trade securities through one account in his own name with a person who is registered to trade securities under the Act;
 3. under section 161(1)(d)(i) and (ii), that Gozdek resign any position he holds as, and is prohibited from becoming or acting as, a director or officer of any issuer, registrant, or investment fund manager;
 4. under section 161(1)(d)(iii), that Gozdek is prohibited from becoming or acting as a registrant, investment fund manager or promoter;
 5. under section 161(1)(d)(iv), that Gozdek is prohibited from acting in a management or consultative capacity in connection with activities in the securities market;
 6. under section 161(1)(d)(v), that Gozdek is prohibited from engaging in investor relations activities; and

7. the orders in paragraphs 1 through 6 remain in force until the later of 5 years from the date this agreement is signed and the date the amount described in the undertaking to pay is paid.

3. June 18, 2013

Paul C. Bourque

4. Paul C. Bourque, Q.C.
Executive Director