

Citation: 2013 BCSECCOM 415

Order

Leonard George Ralph, also known as Len Ralph

Securities Act, RSBC 1996, c. 418 (the Act)

Background

- ¶ 1 The Executive Director of the British Columbia Securities Commission has entered into a settlement agreement with Leonard George Ralph, also known as Len Ralph (Ralph), a copy of which is attached as Schedule A.

Order

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders (the Order) that:
1. Ralph cease trading in, and be prohibited from purchasing all securities, under section 161(1)(b)(ii) of the Act, except that he may trade and purchase securities and exchange contracts through a registrant in one non-registered and one TFSA account if he first provides a copy of the Order to the registrant;
 2. Ralph resigns any position he holds as, and is prohibited from becoming or acting as, a director or officer of any issuer or registrant, under section 161(1)(d)(i) and (ii);
 3. Ralph is prohibited from acting in a management or consultative capacity with activities in the securities market, under section 161(1)(d)(iv); and that
 4. Ralph is prohibited from engaging in investor relations activities, under section 161(1)(d)(v) of the Act;
- for a period of 10 years.

- ¶ 3 October 1, 2013

Paul C. Bourque

- ¶ 4 Paul C. Bourque, Q.C.
Executive Director