

Citation: 2015 BCSECCOM 122

Order

Daniel Quo Ming Sam

Securities Act, RSBC 1996, c. 418 (the Act)

Background

- ¶ 1 The Executive Director of the British Columbia Securities Commission has entered into a settlement agreement with Daniel Quo Ming Sam (Sam), a copy of which is attached as Schedule A.

Order

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders (the Order) that:
1. under section 161(1)(b) of the Act, Sam cease trading in, or be prohibited from purchasing, any securities, except that he may trade securities through one account in his own name through a registrant if he first provides a copy of the Order to the registrant;
 2. under section 161(1)(d)(iii) of the Act, Sam is prohibited from becoming or acting as a registrant or promoter;
 3. under section 161(1)(d)(iv) of the Act, Sam is prohibited from acting in a management or consultative capacity in connection with activities in the securities market;
 4. under section 161(1)(d)(v) of the Act, Sam is prohibited from engaging in investor relations activities;

for a period of 5 years.

- ¶ 3 April 27, 2015

Paul Bourque

- ¶ 4 Paul C. Bourque, Q.C.
Executive Director