

Citation: 2016 BCSECCOM 184

Order

**HSBC Investment Funds (Canada) Inc. and
HSBC Private Wealth Services (Canada) Inc.**

Securities Act, RSBC 1996, c. 418 (the Act)

Background

- ¶ 1 The Executive Director of the British Columbia Securities Commission has entered into a settlement agreement with HSBC Investment Funds (Canada) Inc. (HIFC) and HSBC Private Wealth Services (Canada) Inc. (HPWS) (together the HSBC Entities), a copy of which is attached as Schedule A.

Order

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders (the Order) that the HSBC Entities comply fully with the Act, the Securities Rules, and any applicable regulations.

- ¶ 3 June 13, 2016

“Brenda Leong”

- ¶ 4 Brenda Leong
Executive Director