

Citation: 2016 BCSECCOM 64

Order

Patrick Aaron Dunn

Securities Act, RSBC 1996, c. 418 (the Act)

Background

- ¶ 1 The Executive Director of the British Columbia Securities Commission has entered into a settlement agreement with Patrick Aaron Dunn (Dunn), a copy of which is attached as Schedule A.

Order

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders (the Order) that:
1. under section 161(1)(a) of the Act, Dunn will comply fully with the Act, the Securities Rules, and any applicable regulations;
 2. under section 161(1)(b) of the Act, Dunn cease trading in and be prohibited from purchasing any securities or exchange contracts for a period of 2 years, except that Dunn may purchase or trade securities:
 - (a) through one account in his own name at a registered dealer, provided that he gives a copy of the Commission's Order to the registered dealer; and
 - (b) in the course of his employment with a dealer registered under the applicable securities legislation and only with or to the clients of that dealer provided that:
 - (i) Dunn is registered under the Act in accordance with the regulations and in the category prescribed for the purpose of the activity; and
 - (ii) Dunn is subject to strict supervision, meaning that all trades are pre-approved by a person approved by the Executive Director to act on behalf of the dealer in this capacity; and
 3. under section 161(1)(d) of the Act, Dunn is prohibited from:
 - (a) becoming or acting as a director or officer of any issuer or registrant except that Dunn may become or act as an officer or director of a company of which all the shares are owned by him;

- (b) becoming or acting as a registrant or promoter except that he may become or act as a registrant provided that he complies with paragraph 2 of this Order;
- (c) acting in a management or consultative capacity in connection with activities in the securities market; and
- (d) engaging in investor relations activities

for a period of 2 years from the date of this agreement.

¶ 3 March 3, 2016

Peter Brady

¶ 4 Peter Brady
Acting Executive Director