

Order

Chung-Shen “Johnson” Kao

Securities Act, RSBC 1996, c. 418 (the Act)

Background

- ¶ 1 The Executive Director of the British Columbia Securities Commission has entered into a settlement agreement with Chung-Shen “Johnson” Kao (Kao), a copy of which is attached as Schedule A.

Order

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders (the Order) that:
1. under section 161(1)(d)(i), Kao resign any position he holds as a director or officer of an issuer or registrant;
 2. Kao is prohibited for the latter of one year or until he has fulfilled his undertakings in paragraph 11 of the settlement agreement attached as Schedule A:
 - (a) under section 161(1)(b)(ii), from trading in any securities or exchange contracts, except that he may trade securities through a registrant in one RRSP account and one TFSA account held in his own name, if he first provides a copy of the Order to the registrant;
 - (b) under section 161(1)(c), from being eligible for any or all of the exemptions set out in the Act, the regulations or a decision;
 - (c) under section 161(1)(d)(ii), from becoming or acting as a director or officer of any issuer or registrant;
 - (d) under section 161(1)(d)(iii), from becoming or acting as a registrant or promoter;
 - (e) under section 161(1)(d)(iv), from acting in a management or consultative capacity in connection with activities in the securities market; and

(f) under section 161(1)(d)(v), from engaging in investor relations activities.

¶ 3 October 18 , 2019

Peter J. Brady

¶ 4 Peter J. Brady
Executive Director