Citation: 2019 BCSECCOM 81

## Order

## Lyle Charles Hogaboam

Securities Act, RSBC 1996, c. 418 (the Act)

## **Background**

¶ 1 The Executive Director of the British Columbia Securities Commission has entered into a settlement agreement with Lyle Charles Hogaboam, a copy of which is attached as Schedule A.

## Order

The Executive Director, considering it to be in the public interest to do so, orders (the Order) that:

- under section 161(1)(b)(ii), Hogaboam is prohibited from trading in or purchasing any securities or exchange contracts, except that he may trade securities through a registrant in one RRIF account and one TFSA account held in his own name, and one non-registered account held in his own name or jointly with his spouse, if he first provides a copy of this Order to the registrant;
- 2. under section 161(1)(d)(i), Hogaboam resigns any position he holds as a director or officer of any issuer or registrant;
- 3. under section 161(1)(d)(ii), Hogaboam is prohibited from becoming or acting as a director or officer of any issuer or registrant;
- 4. under section 161(1)(d)(iii), Hogaboam is prohibited from becoming or acting as a registrant or promoter;
- 5. under section 161(1)(d)(iv), Hogaboam is prohibited from acting in a management or consultative capacity in connection with activities in the securities market; and

6. under section 161(1)(d)(v), Hogaboam is prohibited from engaging in investor relations activities.

for the latter of four years or until Hogaboam has paid in full to the Commission the amounts referred to in paragraph 9 of the settlement agreement attached as Schedule A.

¶ 2 April <u>25</u>, 2019

Peter J. Brady

¶ 3 Peter J. Brady Executive Director